

The rewriting calculus

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In close collaboration with
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Thanks to the Protheo group@Nancy

ESSLLI Nancy August, 2004

1– Introduction



Mathematics is frequently described as “the science of pattern,” a characterisation that makes more sense than most, both of pure mathematics, but also of the ability of mathematics to connect to the world teeming with patterns, symmetries, regularities, and uniformities

Jon Barwise
Lawrence Moss

A simple game

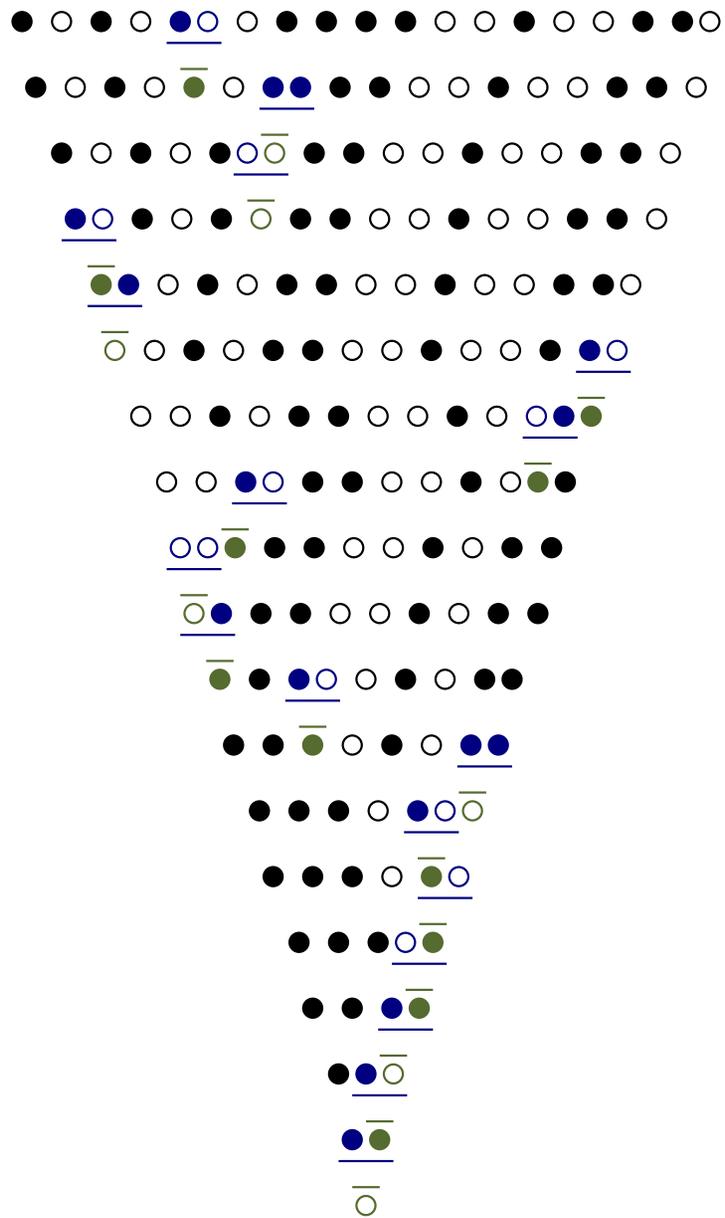
The rules of the game:

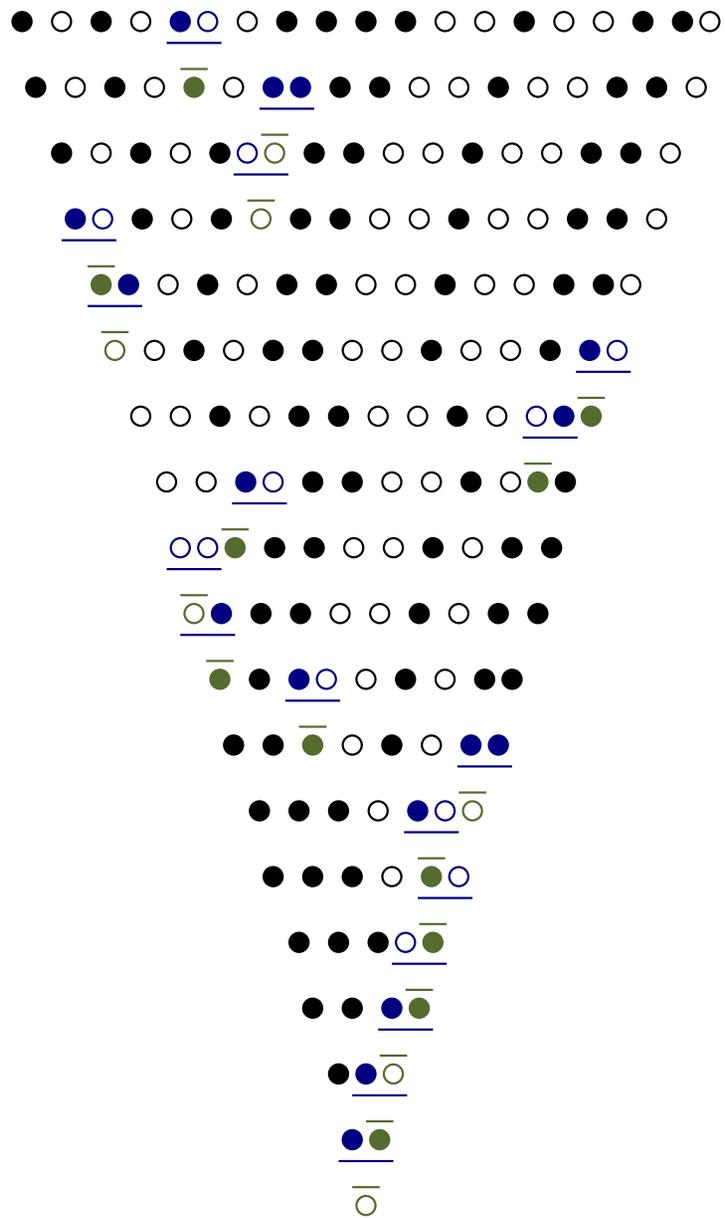
●● → ○
○○ → ○
●○ → ●
○● → ●

A starting point:

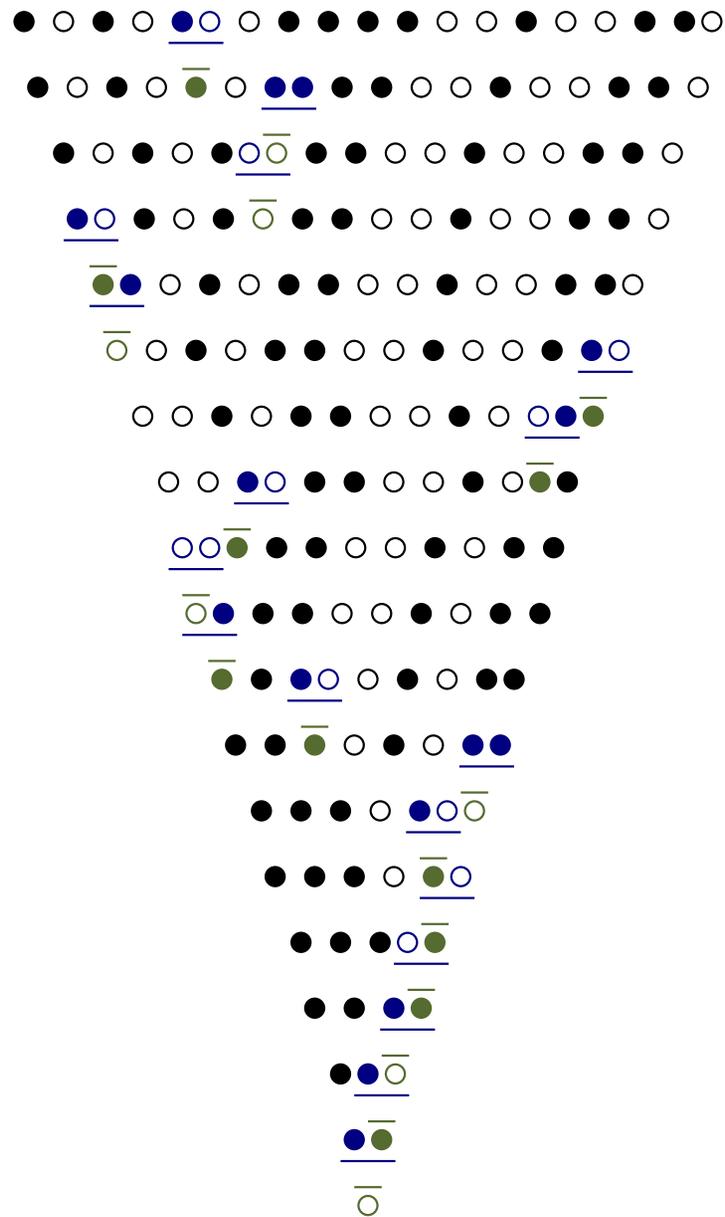
● ○ ● ○ ● ○ ● ● ● ● ○ ○ ● ○ ○ ● ● ○

Who wins? (i.e. put the last white)

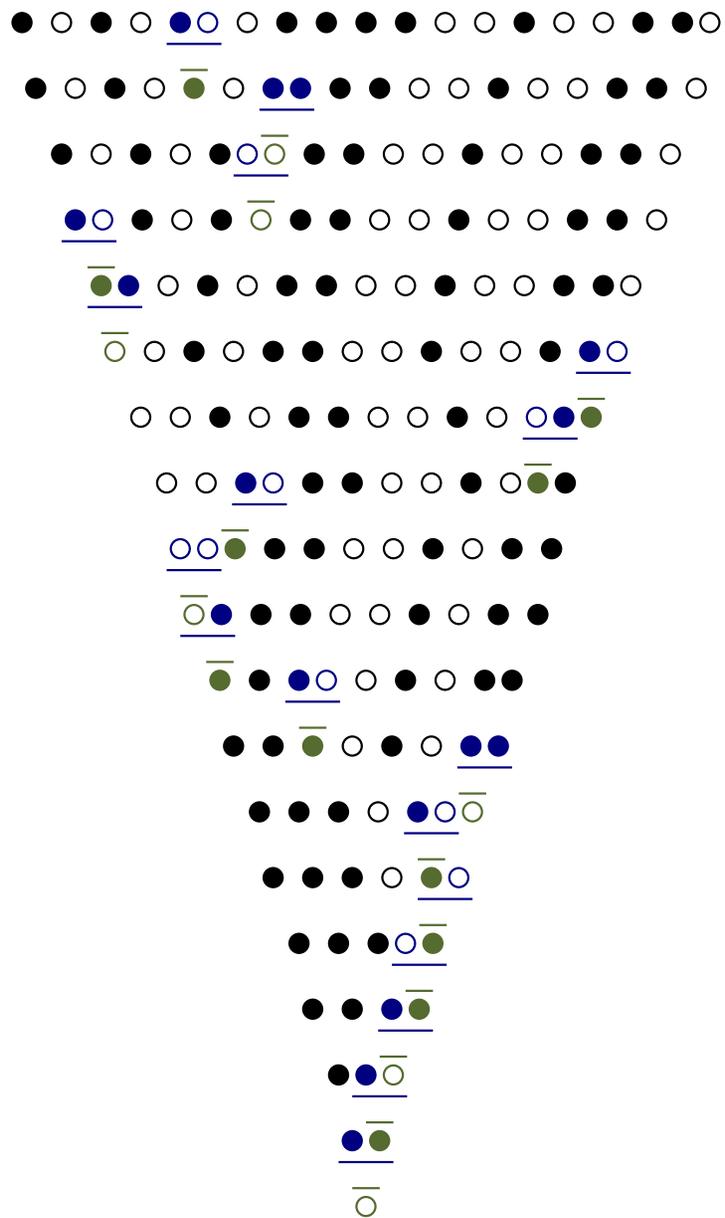




May I always win?



May I always win? Do we get always the same result?



May I always win? Do we get always the same result? Does the game terminate?

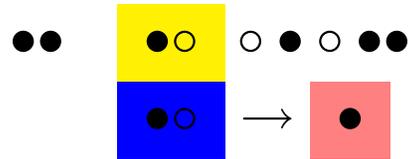
What are the basic operations that have been used?

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1– Matching

The data:

The rewrite rule:



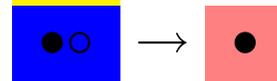
What are the basic operations that have been used?

1– Matching

The data:



The rewrite rule:



2– Compute what should be substituted

The lefthand side:



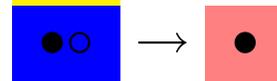
What are the basic operations that have been used?

1– Matching

The data:



The rewrite rule:



2– Compute what should be substituted

The lefthand side:



3– Replacement

The new generated data:



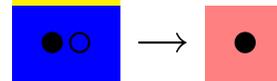
What are the basic operations that have been used?

1– Matching

The data:



The rewrite rule:



2– Compute what should be substituted

The lefthand side:



3– Replacement

The new generated data:



Note that, that last list is a NEW object

Let us do simple math: just addition in Peano arithmetic (1)

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Objects build from a constant called 0 and a unary operator s (successor).

Some example

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how do we express the *result* ?

by the way . . . , what is *the result* ?

Let us do simple math: just addition in Peano arithmetic (2)

Peano gives a meaning to addition by using the following axioms:

$$\begin{aligned}0 + x &= x \\s(x) + y &= s(x + y)\end{aligned}$$

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$$\begin{aligned}s(s(0)) + s(s(0)) &= s(s(0) + s(s(0))) \\&= s(s(0 + s(s(0)))) \\&= s(s(s(s(0)))) \\&= s(0) + s(s(s(0))) \\&= 0 + 0 + 0 + s(s(s(s(0)))) \\&= \dots\end{aligned}$$

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is there a *better* result?

Let us do simple math: just addition in Peano arithmetic (3)

Let us *compute* a result by turning the equalities into rewrite rules:

$$\begin{aligned}0 + x &\rightarrow x \\s(x) + y &\rightarrow s(x + y)\end{aligned}$$

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Is this computation *terminating* ,

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Is this computation *terminating*,

is there always a *result* (e.g. an expression without +)

is such a result *unique* ? ? ?

What are the basic operations that have been used? (2)

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The rewrite rule:

$$s(x) + y \rightarrow s(x + y)$$

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2– Compute what should be substituted

The instantiated lhs:

$$s(s(0) + s(s(0)))$$

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3– Replacement

The new generated data:

$$s(s(0) + s(s(0)))$$

fibonacci

$$\begin{array}{l} [\alpha] \quad \text{fib}(0) \quad \rightarrow \quad 1 \\ [\beta] \quad \text{fib}(1) \quad \rightarrow \quad 1 \\ [\gamma] \quad \text{fib}(n) \quad \rightarrow \quad \text{fib}(n - 1) + \text{fib}(n - 2) \end{array}$$

fib(3)

fibonacci

$$\begin{array}{lll} [\alpha] & fib(0) & \rightarrow 1 \\ [\beta] & fib(1) & \rightarrow 1 \\ [\gamma] & fib(n) & \rightarrow fib(n-1) + fib(n-2) \end{array}$$

$$fib(3) \rightarrow fib(2) + fib(1)$$

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$$fib(3) \rightarrow fib(2) + fib(1)$$

(Note: In the original image, the number 3 is highlighted in green, and the entire expression fib(3) is highlighted in yellow. The expression fib(2) + fib(1) is highlighted in red, with fib(1) also highlighted in yellow.)

$$fib(2) + fib(1)$$

fibonacci

$$\begin{array}{lll} [\alpha] & fib(0) & \rightarrow 1 \\ [\beta] & fib(1) & \rightarrow 1 \\ [\gamma] & fib(n) & \rightarrow fib(n-1) + fib(n-2) \end{array}$$

$$\begin{array}{l} fib(3) \rightarrow fib(2) + fib(1) \\ fib(2) + fib(1) \rightarrow fib(2) + 1 \end{array}$$

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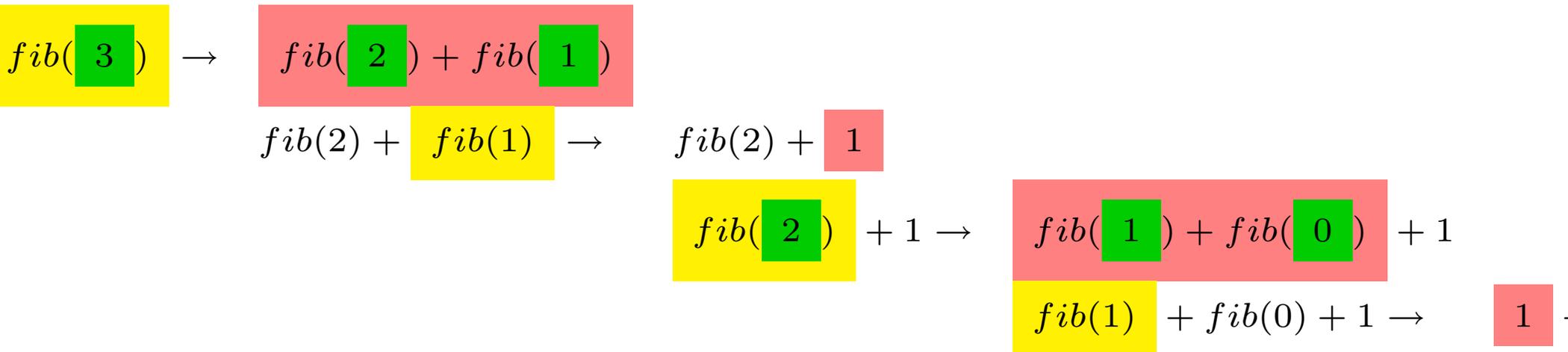
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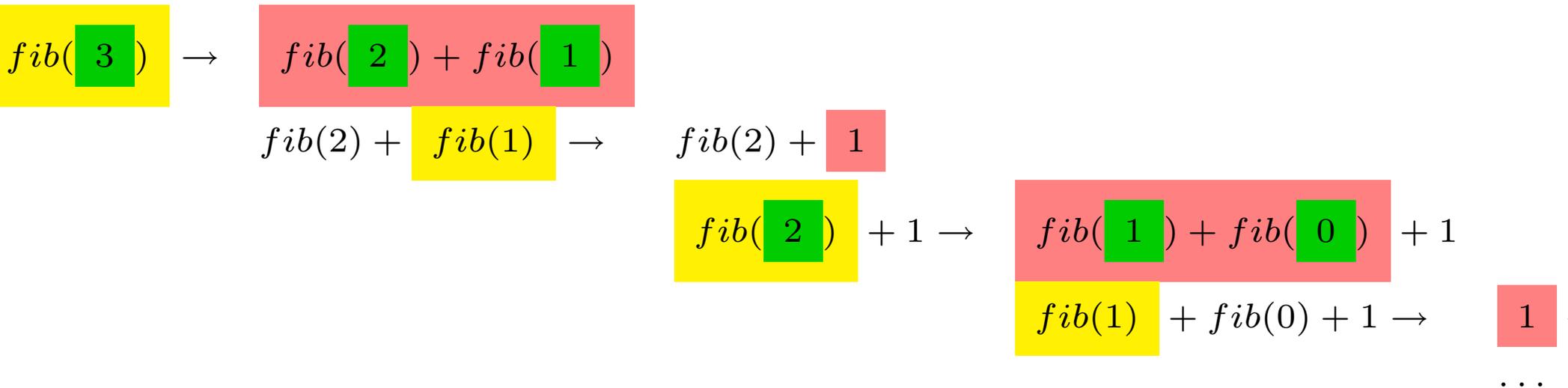
fibonacci

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$$\begin{array}{l}
 [\alpha] \quad fib(0) \rightarrow 1 \\
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 [\gamma] \quad fib(n) \rightarrow fib(n-1) + fib(n-2)
 \end{array}$$



Finally $fib(3) = 3$, $fib(4) = 5$, ...

Graphical Rewriting

$$F \rightarrow F + F - F - FF + F + F - F$$

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$$F \rightarrow F + F - F - FF + F + F - F$$

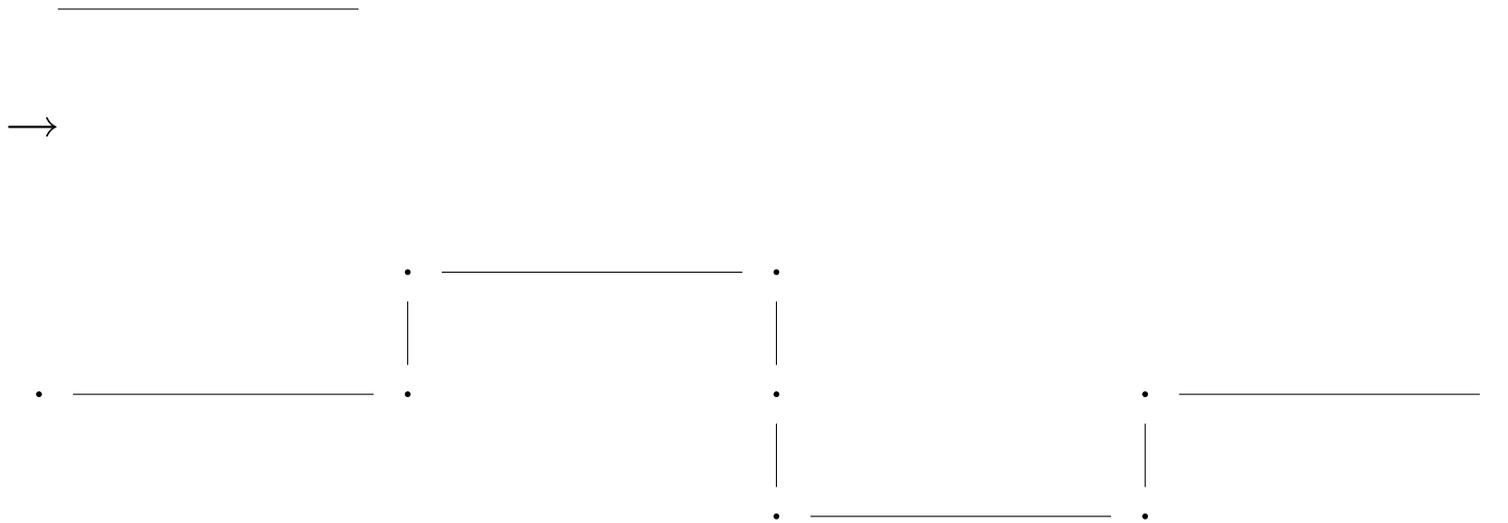
Graphical Rewriting

$$F \rightarrow F + F - F - FF + F + F - F$$

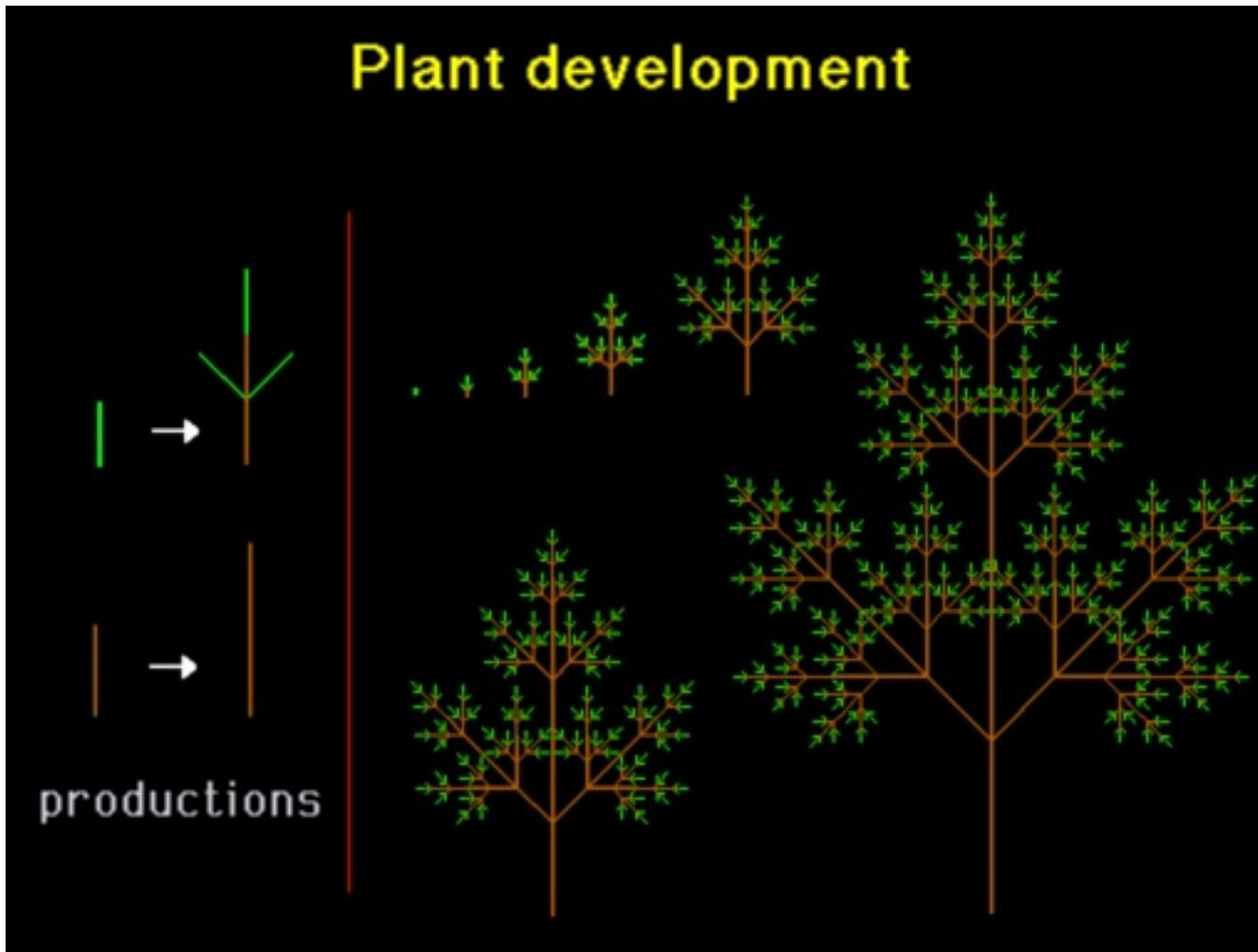
→

Graphical Rewriting

$$F \rightarrow F + F - F - FF + F + F - F$$



Ecological Rewriting



<http://www.cpsc.ucalgary.ca/Redirect/bmv/vmm-deluxe/Section-08.html>


```
#####
```

```
S4
```

```
R$* <@>          $@          handle <> and list;;
```

```
# strip trailing dot off before passing to nullclient relay
```

```
R$* @ $+ .      $1 @ $2
```

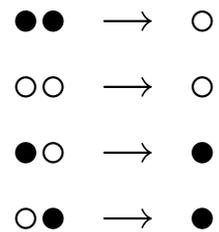
Equational description of a sorting algorithm

```
sorts NeList List ;    subsorts Nat < NeList < List ;
operators
nil : List ;
@@ : (List List) List    [associative id: nil] ;
@@ : (NeList List) NeList [associative] ;
hd @ : (NeList) Nat ;
tl @ : (NeList) List ;
sort @ : (List) List ;
end
rules for List
X, Y : Nat ; L L' L'' : List;
hd (X L) => X ;                tl (X L) => L ;
sort nil => nil .
sort (L X L' Y L'') => sort (L Y L' X L'') if Y < X .
end

sort (6 5 4 3 2 1) => ...
```

Back with the simple game

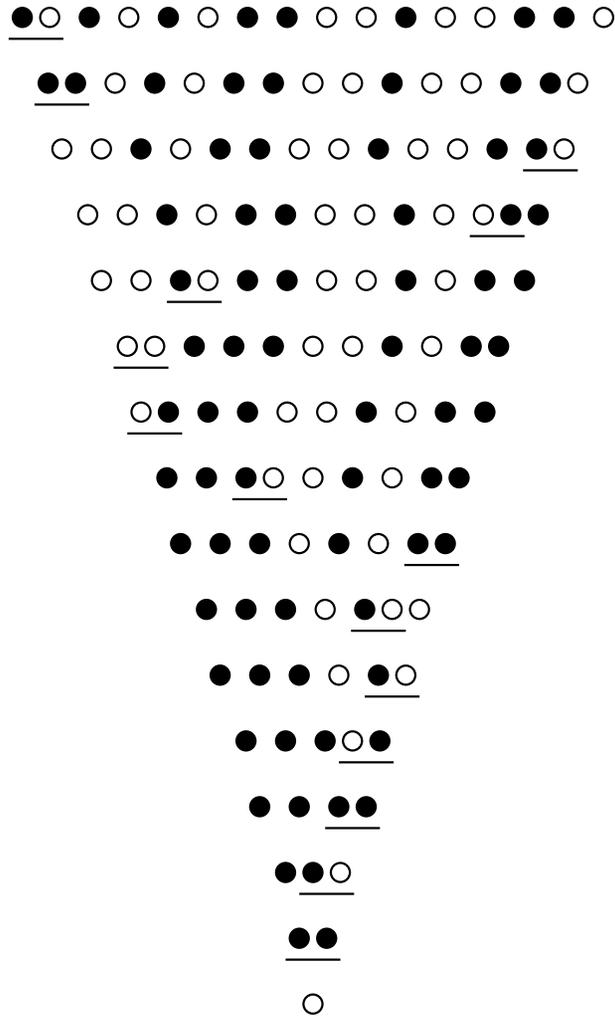
The rules of the game:



A starting point:

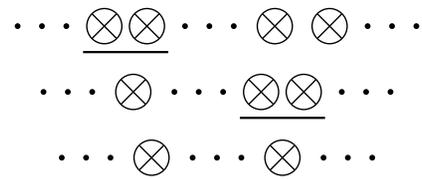


From a given start, is the result determinist?

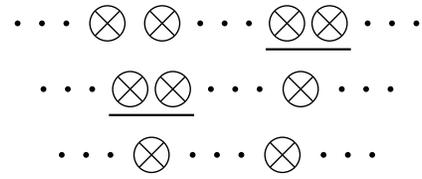


Analysing the different cases

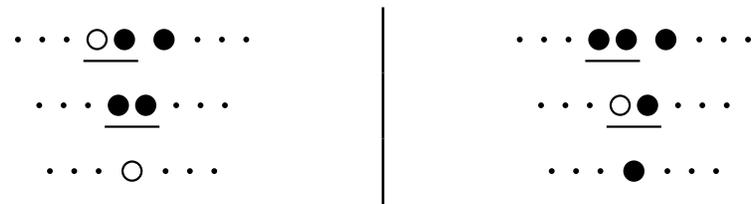
Disjoint redexes:



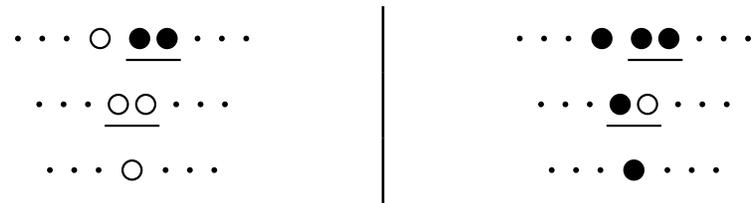
is the same as:



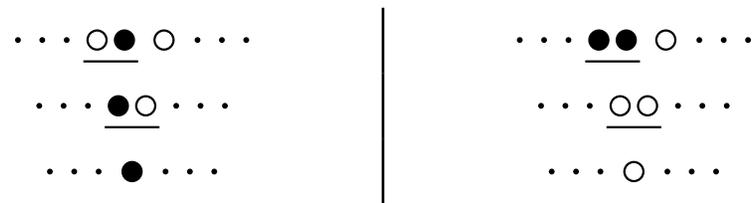
No disjoint redexes (central black):



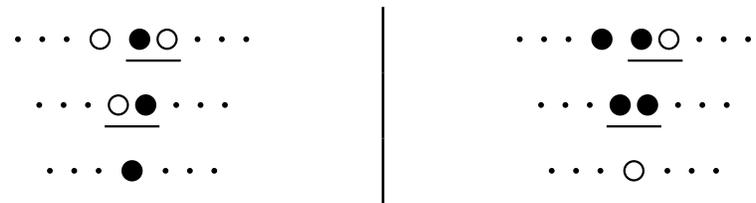
but



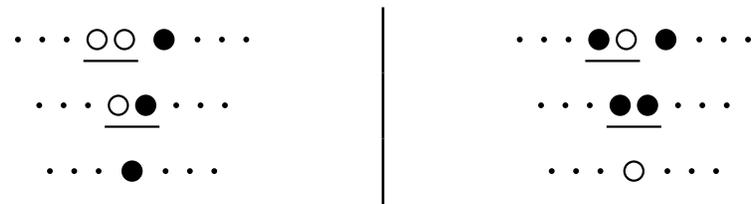
or



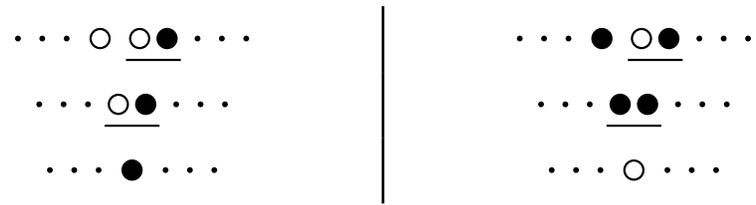
but



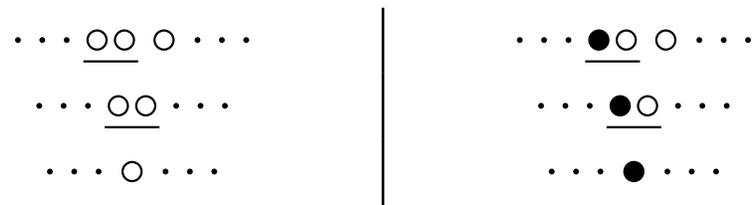
No disjoint redexes (central white):



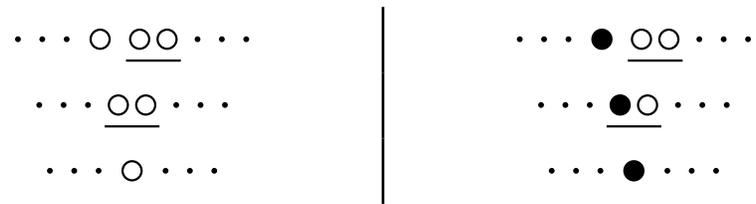
but



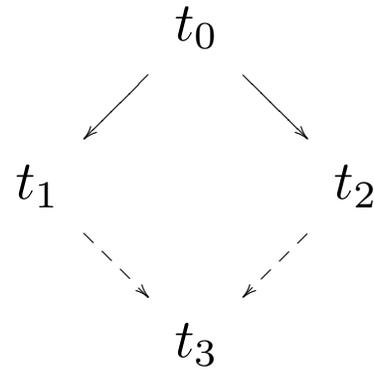
or



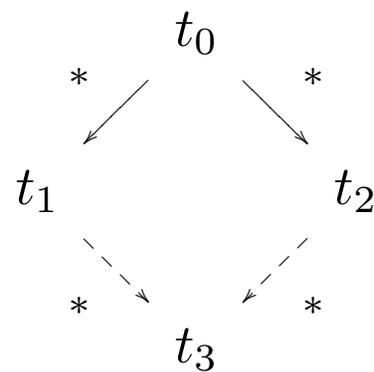
but



Thus in all the cases:



but what about:



Alternative Rings

$$\begin{array}{lcl} 0 + x & = & x \\ x * 0 & = & 0 \\ i(x + y) & = & i(x) + i(y) \\ x * (y + z) & = & (x * y) + (x * z) \\ (x * y) * y & = & x * (y * y) \\ i(x) * y & = & i(x * y) \\ i(0) & = & 0 \\ x + y & = & y + x \end{array} \quad \begin{array}{lcl} 0 * x & = & 0 \\ i(x) + x & = & 0 \\ i(i(x)) & = & x \\ (x + y) * z & = & (x * z) + (y * z) \\ (x * x) * y & = & x * (x * y) \\ x * i(y) & = & i(x * y) \\ (x + y) + z & = & x + (y + z) \end{array}$$

Can we prove by rewriting the Moufang Identities?

$$\begin{aligned}(x * y) * x &= x * (y * x) \\ x * ((y * z) * x) &= (x * (y * z)) * x \\ x * (y * (x * z)) &= ((x * y) * x) * z \\ ((z * x) * y) * x &= z * (x * (y * x)) \\ (x * y) * (z * x) &= (x * (y * z)) * x\end{aligned}$$

(R.Moufang, 1933)

(S.Anantharaman and J.Hsiang, JAR 6, 1990)

An additive group G is defined by the set of equalities

$$x + e = x$$

$$x + (y + z) = (x + y) + z$$

$$x + i(x) = e$$

How to check that two elements of the group are the same?

$$i(x + y) \stackrel{=?}{=} i(y) + i(x)$$

An equivalent deterministic term rewrite system

$$\begin{aligned}x + e &\rightarrow x \\e + x &\rightarrow x \\x + (y + z) &\rightarrow (x + y) + z \\x + i(x) &\rightarrow e \\i(x) + x &\rightarrow e \\i(e) &\rightarrow e \\(y + i(x)) + x &\rightarrow y \\(y + x) + i(x) &\rightarrow y \\i(i(x)) &\rightarrow x \\i(x + y) &\rightarrow i(y) + i(x)\end{aligned}$$

Then

$$i(x + y) =? = i(y) + i(x) \Leftrightarrow i(x + y) \rightarrow \dots \rightarrow \bullet \leftarrow \dots \leftarrow i(y) + i(x)$$

XSLT

Note that XSLT is just a (special) kind of rewriting language,
acting on XML documents

XSLT: example 1/3

Document Example D.1 in the Appendix of XSLT specification

```
<xsl:stylesheet
  version="1.0"   xmlns:xsl="http://www.w3.org/1999/XSL/Transform"
  xmlns="http://www.w3.org/TR/xhtml1/strict">

<xsl:template match=doc>
  <html>
    <head>
      <title> <xsl:value-of select=title /> </title>
    </head>
    <body> <xsl:apply-templates/> </body>
  </html>
</xsl:template>

...

<xsl:template match=chapter/title>
  <h2> <xsl:apply-templates/> </h2>
</xsl:template>
```

XSLT: example 2/3

When applied to

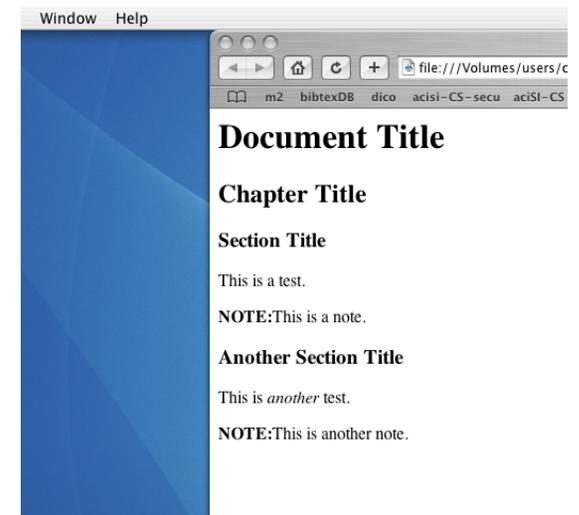
```
<doc>
  <title>Document Title</title>
  <chapter>
    <title>Chapter Title</title>
    <section>
      <title>Section Title</title>
      <para>This is a test.</para>
      <note>This is a note.</note>
    </section>
    <section>
      <title>Another Section Title</title>
      <para>
        This is
        <emph> another </emph>
        test.
      </para>
      <note> This is another note. </note>
    </section>
  </chapter> </doc>
```

XSLT: example 3/3

we get as result the HTML document

```
<html><head><title>Document Title</title></head><body>  
<h1>Document Title</h1><h2>Chapter Title</h2><h3>Section Title</h3>  
<p>This is a test.</p><p class=note>  
<b>NOTE:</b>This is a note.</p><h3>Another Section  
  Title</h3><p>This is <em>another</em> test.</p><p class=note>  
<b>NOTE:</b>This is another note.</p>  
</body></html>
```

Which we usually prefer to see as



The 4 basic ingredients of a rewriting step: 1- The rewritten objects

They can be:

- terms like $2 + i(3)$ or XML documents
- strings like “What is rewriting?” `sed` performs string rewriting
- graphs
- sets
- multisets
- higher-order terms
-

The 4 basic ingredients of a rewriting step: 2- Substitution

Graphing = First-order substitution

Replace all the instantiated variables by their values

Example: Apply $\sigma = \{x \mapsto b, y \mapsto a\}$ on $f(x, g(x, y))$

Denoted either $\sigma(g(x, g(x, y)))$ or $(g(x, g(x, y)))\sigma$

The 4 basic ingredients of a rewriting step: 3- Matching

Finding a substitution σ such that

$$l\sigma \stackrel{\mathbb{T}}{=} t$$

is called the matching problem from l to t , modulo the theory \mathbb{T}

When $\mathbb{T} = \emptyset$, it is decidable in linear time in the size of t

It induces a relation on terms called subsumption

Example: $f(x, g(x, y)) \ll_{\emptyset} f(b, g(b, a))$

The 4 basic ingredients of a rewriting step: 4- Replacement

At a given occurrence of a term, replace the existing subterm by another one

Example:

Put $f(x, a)$ at occurrence 1.1 in $g(g(a, x), f(b, b))$

How does (first-order) rewriting work?

It relies on the 4 notions:

(first-order) terms, substitution, matching, replacement

and, given a set of rules \mathcal{R} and a term t to be rewritten, it consists to:

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Simple? . . .

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Simple? . . . let's sum-up

What is rewriting? (1/2)

1- Discriminate

to give the possibility to discriminate directly
“one image is better than ten explanations”

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lambda-calculus is not discriminating: one needs to encode matching

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- $\boxed{x \text{ et } x \rightarrow x}$ discriminates objects where x is repeated

$x = 3 \text{ et } x = 3$ is rewritten into $x = 3$

Important: Rewriting is always in need of a strategy

- to chose the appropriate rule
- to find an appropriate occurrence
- to chose the appropriate result

Examples using ELAN

ELAN, a system for clever rewriting: *deduction modulo* at work

ELAN = computation rules + (deduction rules + strategies)

Implements rewriting for

- computation Fibonacci
- proving Prop. Seq. Calculus
- solving 8 queens

Example 1: Very simple ...

```
module fib_builtin
import global builtinInt;
  end

operators global
  fib(@) : (builtinInt) builtinInt ;
  end

rules for builtinInt
  n : builtinInt ;
global
  [] fib(0) => 1 end
  [] fib(1) => 1 end
  [] fib(n) => fib(n - 1) + fib(n - 2) if greater_builtinInt(n,1) end
  end
end
```

fib(33) = 5702887 11405773 rewrite steps in 0.695 s 16.411.184 rewrite/s

Digital 500/500, 128Mo

Example 2: propositional sequent calculus

$$\frac{H, P \vdash Q}{H \vdash \neg P, Q} \text{neg} - r$$

rules for Seq

P, Q, R : Pred; H : Pred; S1, S2 : Seq;

global

[negd] H |- ^P : Q => S1

where S1 := (dedstrat) H : P |- Q

end

...

The true code

Built (for later use) the proof term:

```
[negr]  H |- ^P : Q  =>  [#negd,H |- ^P : Q] <> S1
                                where S1 := (dedstrat) H : P |- Q
end
```

Strategies

```
strategies for Seq  
implicit
```

```
  [] SetRules => first one(  
    axio  
    ,negd ,disjd  
    ,impd ,negg ,conjg  
    ,disjg ,conjg ,impg)  
  end  
end
```

```
strategies for Seq  
implicit
```

```
  [] dedstrat => first one( Start );  
  repeat*( SetRules )  
end
```

```
end
```

The resulting proof term

`[dedstrat] (A \Rightarrow B \vdash \neg (B) \Rightarrow \neg (A))`

evaluates to:

```
#infer[#impd]<(A#to B)#vdash(#neg(B)#to#neg(A))>
<#infer[#negd]<(A#to B),#neg(B)#vdash#neg(A)>
<#infer[#negg]<A,(A#to B),#neg(B)#vdash EmptyP>
<#infer[#impg]<A,(A#to B)#vdash B>
<#infer[#axiom]<A,B#vdash B><#mbox<>>&
#infer[#axiom]<A#vdash A,B><#mbox<>>>>>>
end
```

Example 3: 8 queens

•			
			•
	•		
		•	

is represented by the list (3,1,0,2)

Module nqueensAC

```
operators
global
  queensAC(@) : (int) list[int] ;
local
  queens(@,@) : (set list[int]) list[int] ;
  ok(@,@,@)   : ( int int list[int] ) bool;
  @ U @       : (set set) set (AC);
  (@)         : (int) set ;
  [@ U @]     : (int set) set ;
  Set(@)      : (int) set ;
  Empty       : set ;
end
```

```

rules for list[int]
  n:int;
global
  [] queensAC(n) => queens(Set(n-1),nil)

rules for list[int]
  p1: int;  s,s1:set ;  l,l1: list[int];
local
  [final] queens(Empty,l) => l      end

  [queensrule]  queens(s,l)      => queens(s1,p1.l)
                where (set) [p1 U s1] :=(extractPos) s
                if ok(1,p1,l)

strategies for list[int]
implicit
  [] queens => repeat*(dk(queensrule)); first(final)

```

Related systems

- TOM (`tom.loria.fr`)
- ASF+SDF
- OBJ, MAUDE
- STRATEGO
- LPG
-

Some applications

- XML and XSLT
- Program transformation (e.g. compilation)
- Simplification (e.g. computer algebra)
- Computation
- Production rules
- Model checking
- Proof search —Cariboo—
- Chemistry
- . . .

Syntactic Matching: A rule based description

Delete	$t \ll t \wedge P$ $\rightarrow P$	
Decomposition	$f(t_1, \dots, t_n) \ll f(t'_1, \dots, t'_n) \wedge P$ $\rightarrow \bigwedge_{i=1, \dots, n} t_i \ll t'_i \wedge P$	
SymbolClash	$f(t_1, \dots, t_n) \ll g(t'_1, \dots, t'_m) \wedge P$ $\rightarrow \text{fail}$	if $f \neq g$
MergingClash	$x \ll t \wedge x \ll t' \wedge P$ $\rightarrow \text{fail}$	if $t \neq t'$
SymbolVariableClash	$f(t_1, \dots, t_n) \ll x \wedge P$ $\rightarrow \text{fail}$	if $x \in \mathcal{X}$

Find a match

$$x + (y * y) \ll 1 + (4 * 3)$$

$$\Rightarrow \text{Decomposition } x \ll 1 \wedge y * y = 4 * 3$$

$$\Rightarrow \text{Decomposition } x \ll 1 \wedge y \ll 4 \wedge y \ll 3$$

$$\Rightarrow \text{MergingClash } \mathbf{fail}$$

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$$x + (y * 3) \ll 1 + (4 * 3)$$

$$\Rightarrow \text{Decomposition } x \ll 1 \wedge y * 3 = 4 * 3$$

$$\Rightarrow \text{Decomposition } x \ll 1 \wedge y \ll 4 \wedge 3 \ll 3$$

$$\Rightarrow \text{Delete } x \ll 1 \wedge y \ll 4$$

Theorem

The normal form by the rules in **Match**, of any matching problem $t \ll t'$ such that $\mathcal{V}ar(t) \cap \mathcal{V}ar(t') = \emptyset$, exists and is unique.

1. If it is `fail`, then there is no match from t to t' .
2. If it is of the form $\bigwedge_{i \in I} x_i \ll t_i$ with $I \neq \emptyset$, the substitution $\sigma = \{x_i \mapsto t_i\}_{i \in I}$ is the unique match from t to t' .
3. If it is empty then t and t' are identical: $t = t'$.

Aims of the ρ -calculus

- To define at the same level
 - ⇒ rewrite rules
 - ⇒ rewriting strategies
 - ⇒ applications of rules and strategies
 - ⇒ results

Aims of the ρ -calculus

- To define at the same level
 - ⇒ rewrite rules
 - ⇒ rewriting strategies
 - ⇒ applications of rules and strategies
 - ⇒ results
- To unify:
 - ⇒ first-order rewriting (ELAN, Maude . . .)
 - ⇒ λ -calculus

For the rewriting RELATION

$$f(x, y) \rightarrow x$$



For the rewriting RELATION

$$f(x, y) \rightarrow x$$

$$f(a, b)$$



For the rewriting RELATION

$$\begin{array}{ccc} & \boxed{f(x, y) \rightarrow x} & \\ & \text{\scriptsize \color{red} \text{\textasciitilde}} & \\ f(a, b) & \Longrightarrow_{\mathcal{R}} & a \end{array}$$

For the rewriting CALCULUS

$$f(X, Y) \xrightarrow{\quad} X$$

 Abstraction Operator

For the rewriting calculus

$$f(X, Y) \rightarrow X \quad f(a, b)$$

For the rewriting calculus

$$\left(f(X, Y) \rightarrow X \right) \cdot f(a, b)$$

 Application Operator

For the rewriting calculus

$$\left(f(X, Y) \rightarrow X \right) \cdot f(a, b)$$

 Application Operator

Rule to evaluate such a term: (ρ)

For the rewriting calculus

$$\left(\boxed{f(X, Y)} \rightarrow X \right) \cdot \boxed{f(a, b)}$$

The diagram illustrates a substitution in the rewriting calculus. A red sigma symbol (σ) is positioned at the bottom center. Two wavy red lines originate from the sigma symbol and connect to the boxed terms $f(X, Y)$ and $f(a, b)$ in the equation above. The equation shows a rewrite rule $f(X, Y) \rightarrow X$ multiplied by the term $f(a, b)$.

For the rewriting calculus

$$\left(f(X, Y) \rightarrow X \right) \cdot f(a, b)$$

σ

$$= \{X \mapsto a, Y \mapsto b\}$$

For the rewriting calculus

$$\left(f(X, Y) \rightarrow X \right) \cdot f(a, b)$$

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$$= \{X \mapsto a, Y \mapsto b\}$$

For the rewriting calculus

$$\left(f(X, Y) \rightarrow X \right) \cdot f(a, b)$$

$$\xrightarrow{\rho} \sigma(X)$$

For the rewriting calculus

$$\left(f(X, Y) \rightarrow X \right) \cdot f(a, b)$$

$$\xrightarrow{\rho} \sigma(X) \text{ i.e. } a$$

For the rewriting relation

$$f(x, y) \rightarrow x$$



For the rewriting relation

$$f(x, y) \rightarrow x$$

$$g(a, b)$$



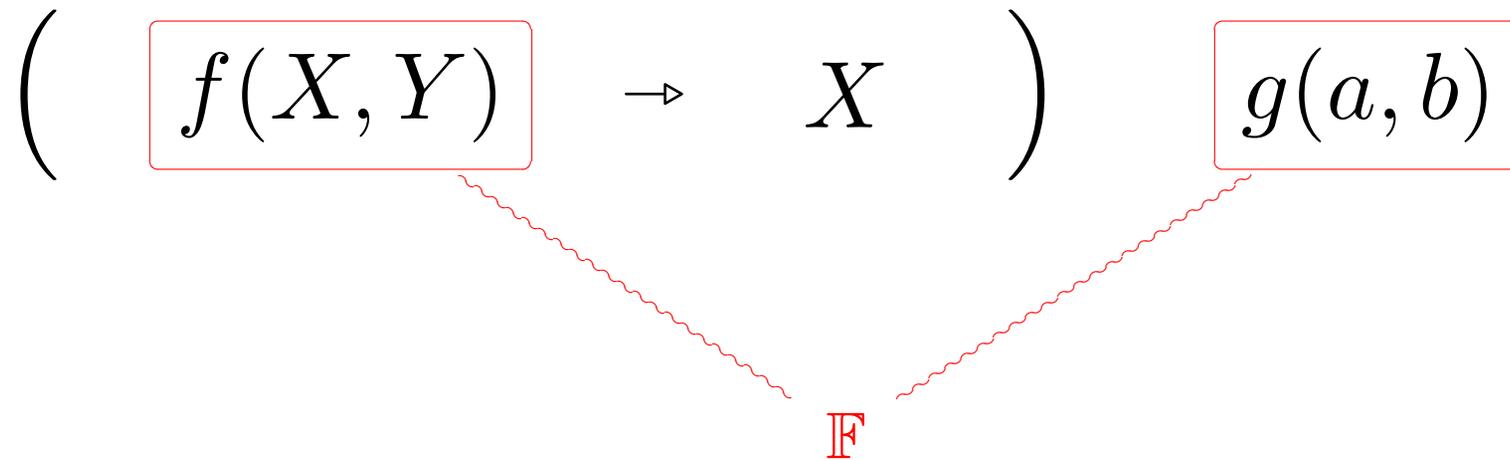
For the rewriting relation

$$g(a, b) \quad \begin{array}{c} \boxed{f(x, y) \rightarrow x} \\ \text{⋈} \\ \Longrightarrow \mathcal{R} \end{array}$$

For the rewriting calculus

$$\left(f(X, Y) \rightarrow X \right) g(a, b)$$

For the rewriting calculus



Related topics and works

- pattern lambda calculus (Peyton-Jones, Van Oostrom, Colson)
- pattern calculus (Jay)
- combination of rewriting and HO features
 - ★ CRS (Klop)
 - ★ lambda calculus and rewriting (Breazu Tannen & Gallier, Okada)
 - ★ CC and rewriting (Blanqui, Jouannaud, Okada)
 - ★ HO rewriting (Nipkow)
- ML, Haskell, Rogue

(Some) Recommended Readings

- The Rewriting Calculus Home page
<http://www.loria.fr/~faure/TheRhoCalculusHomePage/>
- Repository of Lectures on Rewriting and Related Topics
www.loria.fr/~ckirchne/
- Online book on rewriting www.loria.fr/~ckirchne/rsp.ps.gz
- L'intelligence et le calcul (may be translated to English?)
Jean-Paul Delahaye
Look also at his web page
- Term Rewriting Systems
Terese (M. Bezem, J. W. Klop and R. de Vrijer, eds.)
Cambridge University press, 2002
- Term *Rewriting and all That*
Franz Baader and Tobias Nipkow
Cambridge University press, 1998

The syntax and semantics of the untyped rewriting calculus



The Untyped Syntax

$\mathcal{P} ::= \mathcal{T}$ Patterns

$\mathcal{T} ::= \mathcal{X} \mid \mathcal{K} \mid \mathcal{P} \rightarrow \mathcal{T} \mid \mathcal{T} \mathcal{T} \mid [\mathcal{P} \ll \mathcal{T}]\mathcal{T} \mid \mathcal{T}, \mathcal{T}$ Terms

1. $T_1 \rightarrow T_2$ denotes a *rule abstraction* with pattern T_1 and body T_2
... the free variables of T_1 are bound in T_2
2. $[T_1 \ll T_2]T_3$ denotes a *delayed matching constraint*
... the free variables of T_1 are bound in T_3 but not in T_2
3. The terms can be also *structures* built using the symbol “,”
4. We work modulo the *α -convention* and the *hygiene-convention*

Some ρ -terms

$(\mathcal{X} \rightarrow \mathcal{X}) a$ similar to the λ -term $(\lambda x.x) a$

$(\mathcal{X} \rightarrow \mathcal{X} \mathcal{X}) (\mathcal{X} \rightarrow \mathcal{X} \mathcal{X})$ the well-known λ -term $(\omega\omega)$

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$(a \rightarrow b) a$ the application of the rule $a \rightarrow b$ to the term a

$(f(\mathcal{X}, \mathcal{Y}) \rightarrow g(\mathcal{X}, \mathcal{Y})) f(a, b)$ a classical rewrite rule application

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$(f(\mathcal{X}, \mathcal{Y}) \rightarrow g(\mathcal{X}, \mathcal{Y})) f(a, b)$ a classical rewrite rule application

$(a \rightarrow b, a \rightarrow c) a$ “non-deterministic” application

Some abbreviations

$(T_i)^{i=1\dots n} \triangleq T_1, \dots, T_n$ structure/object ($n \in \text{Nat}$)

$T_1.T_2 \triangleq T_1 T_2 T_1$ Kamin's self-application

Operator	Associate	Priority
$--$	Left	$>$
$[- \ll -]$	Right	$>$
$- \rightarrow -$	Right	$>$
$-, -$	Right	$>$

Matching Equations and Solutions

1. A *match equation* is a formula of the form $T_1 \ll T_2$.
2. A *matching system* $T \triangleq \bigwedge_{i=0 \dots n} T_i \ll T'_i$ is a conjunction of match equations, where \wedge is associative, commutative, and idempotent.
3. A matching system T is *successful* if it is empty or:
 - (a) has the shape $\bigwedge_{i=0 \dots n} \mathcal{X}_i \ll T_i \bigwedge_{j=0 \dots m} \mathcal{K}_j \ll \mathcal{K}_j$;
 - (b) for all $h, k = 0 \dots n$, such that $h \neq k$, we have $\mathcal{X}_h \neq \mathcal{X}_k$;
4. A substitution $\sigma_T = \{T_1/\mathcal{X}_1 \cdots T_n/\mathcal{X}_n\}$ is the solution of a successful matching system T . The set of solutions of T is denoted by $Sol(T)$.

Reduction relies on matching power

Matching is parametrized over a theory \mathbb{T} and an order \prec on substitutions

$$\mathcal{Sol}(T_1 \prec_{\mathbb{T}} T_2) = \sigma_1, \dots, \sigma_n, \dots$$

$$\sigma \in \mathcal{Sol}(T_1 \prec_{\mathbb{T}} T_2) \Leftrightarrow \models_{\mathbb{T}} \sigma(T_1) = T_2$$

$$\sigma_1 \prec \dots \prec \sigma_n \quad (n \leq \infty)$$

THEORIES

ALGORITHM

The Small-step Reduction Semantics

$$(P \rightarrow A) B \rightarrow_{\rho} [P \ll B]A$$

$$[P \ll B]A \rightarrow_{\sigma} A\theta_{(P \ll B)} \quad \text{if } \exists \theta. P\theta =_{\mathbb{T}} B$$

$$(A, B) C \rightarrow_{\delta} A C, B C$$

The Small-step Reduction Semantics

$$(P \rightarrow A) B \rightarrow_{\rho} [P \ll B]A$$

$$[P \ll B]A \rightarrow_{\sigma} A\theta_1, \dots, A\theta_n, \dots$$

$$\text{with } \{\theta_1, \dots, \theta_n, \dots\} = \text{Sol}(P \ll_{\mathbb{T}} B)$$

$$(A, B) C \rightarrow_{\delta} A C, B C$$

Intuition on the small-step Semantics

$$(P \rightarrow A) B \xrightarrow{\rho} [P \ll B]A$$

$$\xrightarrow{\sigma} A\theta$$

if $\exists \theta. P\theta =_{\mathbb{T}} B$

$$(P \rightarrow A) B \xrightarrow{\rho} [P \ll B]A$$

STOP!

if $\nexists \theta. P\theta =_{\mathbb{T}} B$

Some ρ -reductions

$$(\mathcal{X} \rightarrow \mathcal{X}) a$$

$$(\mathcal{X} \rightarrow (\mathcal{X} \mathcal{X})) (\mathcal{X} \rightarrow (\mathcal{X} \mathcal{X}))$$

$$(a \rightarrow b) a$$

$$(f(\mathcal{X}, \mathcal{Y}) \rightarrow g(\mathcal{X}, \mathcal{Y})) (f(a, b))$$

$$(f(\mathcal{X}, \mathcal{Y}) \rightarrow g(\mathcal{X}, \mathcal{Y})) (g(a, b))$$

$$(a \rightarrow b, a \rightarrow c) a$$

Some ρ -reductions

$$(\mathcal{X} \rightarrow \mathcal{X}) a \quad \mapsto_{\rho\delta} a$$

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$$(f(\mathcal{X}, \mathcal{Y}) \rightarrow g(\mathcal{X}, \mathcal{Y})) (f(a, b)) \quad \mapsto_{\rho\delta} [f(\mathcal{X}, \mathcal{Y}) \ll f(a, b)]g(\mathcal{X}, \mathcal{Y}) \mapsto_{\rho\delta} g(a, b)$$

$$(f(\mathcal{X}, \mathcal{Y}) \rightarrow g(\mathcal{X}, \mathcal{Y})) (g(a, b))$$

$$(a \rightarrow b, a \rightarrow c) a$$

Some ρ -reductions

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$$(a \rightarrow b, a \rightarrow c) a \quad \mapsto_{\rho\delta} (a \rightarrow b) a, (a \rightarrow c) a \mapsto_{\rho\delta} b, c$$

Simple Success Reduction

$$\begin{aligned} \frac{(f(\mathcal{X}) \rightarrow (3 \rightarrow 3)\mathcal{X}) f(3)}{\quad} &\mapsto_{\rho} \frac{[f(\mathcal{X}) \ll f(3)]((3 \rightarrow 3) \mathcal{X})}{\quad} \\ &\mapsto_{\sigma} \frac{(3 \rightarrow 3) 3}{\quad} \\ &\mapsto_{\rho} \frac{[3 \ll 3]3}{\quad} \\ &\mapsto_{\sigma} 3 \end{aligned}$$

Simple Success Reduction

$$\begin{aligned}
 \underline{(f(\mathcal{X}) \rightarrow (3 \rightarrow 3)\mathcal{X}) f(3)} &\mapsto_{\rho} \underline{[f(\mathcal{X}) \ll f(3)]((3 \rightarrow 3) \mathcal{X})} \\
 &\mapsto_{\sigma} \underline{(3 \rightarrow 3) 3} \\
 &\mapsto_{\rho} \underline{[3 \ll 3]3} \\
 &\mapsto_{\sigma} 3
 \end{aligned}$$

$$\begin{aligned}
 (f(\mathcal{X}) \rightarrow \underline{(3 \rightarrow 3)\mathcal{X}}) f(3) &\mapsto_{\rho} \underline{(f(\mathcal{X}) \rightarrow [3 \ll \mathcal{X}]3) f(3)} \\
 &\mapsto_{\rho} \underline{[f(\mathcal{X}) \ll f(3)]([3 \ll \mathcal{X}]3)} \\
 &\mapsto_{\sigma} \underline{[3 \ll 3]3} \\
 &\mapsto_{\sigma} 3
 \end{aligned}$$

Simple Failure Reduction

$$\begin{aligned} \underline{(f(\mathcal{X}) \rightarrow (3 \rightarrow 3)\mathcal{X}) f(4)} &\mapsto_{\rho} \underline{[f(\mathcal{X}) \ll f(4)]((3 \rightarrow 3) \mathcal{X})} \\ &\mapsto_{\sigma} \underline{(3 \rightarrow 3) 4} \\ &\mapsto_{\rho} \underline{[3 \ll 4]3} \end{aligned}$$

Simple Failure Reduction

$$\underline{(f(\mathcal{X}) \rightarrow (3 \rightarrow 3)\mathcal{X}) f(4)} \mapsto_{\rho} \underline{[f(\mathcal{X}) \ll f(4)]((3 \rightarrow 3) \mathcal{X})}$$

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$$\mapsto_{\rho} \underline{[3 \ll 4]3}$$

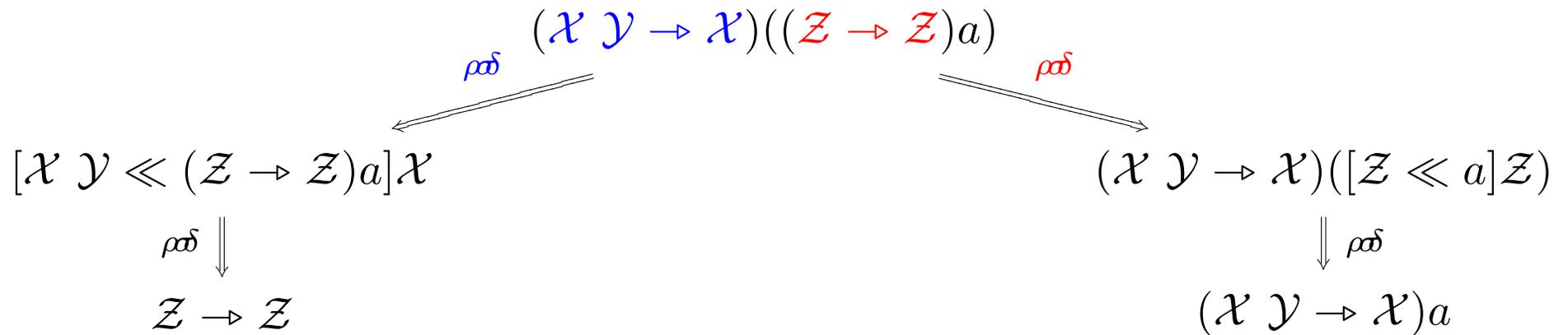
$$(f(\mathcal{X}) \rightarrow \underline{(3 \rightarrow 3)\mathcal{X}}) f(4) \mapsto_{\rho} \underline{(f(\mathcal{X}) \rightarrow [3 \ll \mathcal{X}]3) f(4)}$$

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On the (non-)confluence

Variables in applicative position



On the (non-)confluence

Non-linear patterns

$$\mathcal{C} \triangleq \text{rec} \rightarrow S \rightarrow X \rightarrow (d(Y, Y) \rightarrow e) \ d(X, S.\text{rec } X)$$

$$\mathcal{A} \triangleq \text{rec}' \rightarrow S' \rightarrow \mathcal{C}.\text{rec } S'.\text{rec}'$$

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$$\mathcal{C}.\text{rec} \ e \mapsto (d(Y, Y) \rightarrow e) \ d(e, \mathcal{C}.\text{rec} \ e)$$

and e and $\mathcal{C}.\text{rec} \ e$ have no common reduction (by induction on the supposed length of a reduction from $\mathcal{C}.\text{rec} \ e$ to e).

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$$\mapsto_{\rho\delta} \mathcal{C}.\text{rec} \ \mathcal{A}.\text{rec}'$$

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Rigid Pattern Condition (RPC) [van Oostrom 90]

$$\mathcal{P} \triangleq \{T \in NF(\rho\sigma\delta) \mid T \text{ is "linear" with no "active" variables}\}$$

Big-step Operational Semantics

- Natural proof deduction system à la Kahn
- Map every closed expression into a term in *weak head normal form*
- We present a *lazy call-by-name* strategy

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- The big-step is **deterministic**, and immediately suggests how to build an **interpreter** for the calculus;

Big-step Natural Semantics I

$$\overline{\mathcal{V} \Downarrow \mathcal{V}} \text{ (Red-Val)}$$

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Big-step Natural Semantics II

$$\frac{\exists \sigma. \sigma(\mathcal{T}_1) \equiv \mathcal{T}_2 \quad \sigma(\mathcal{T}_3) \Downarrow \mathcal{O}}{[\mathcal{T}_1 \ll \mathcal{T}_2] \mathcal{T}_3 \Downarrow \mathcal{O}} \text{ (Red-}\sigma_1\text{)}$$

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$$\frac{\mathcal{T}_1 \Downarrow \text{wrong}}{\mathcal{T}_1, \mathcal{T}_2 \Downarrow \text{wrong}} \text{ (Red-}\rho_2\text{)}$$

ρ -ample: Two Natural Deductions

Take the term $(f(\mathcal{X}) \rightarrow (3 \rightarrow 3)\mathcal{X}) f(3)$

$$\frac{\overline{*} \quad \frac{\sigma \equiv \{3/\mathcal{X}\} \quad (3 \rightarrow \overset{\vdots}{3})3 \Downarrow 3}{[f(\mathcal{X}) \ll f(3)](3 \rightarrow 3)\mathcal{X} \Downarrow 3}}{(f(\mathcal{X}) \rightarrow (3 \rightarrow 3)\mathcal{X}) f(3) \Downarrow 3}$$

with $* \equiv (f(\mathcal{X}) \rightarrow (3 \rightarrow 3)\mathcal{X}) \Downarrow (f(\mathcal{X}) \rightarrow (3 \rightarrow 3)\mathcal{X})$.

ρ -ample: Two Natural Deductions

Take the term $(f(\mathcal{X}) \rightarrow (3 \rightarrow 3)\mathcal{X}) f(4)$

$$\frac{\frac{\sigma \equiv \{4/\mathcal{X}\} \quad (3 \rightarrow 3)4 \Downarrow \text{wrong}}{\exists \sigma. \sigma(3) \equiv 4}}{[f(\mathcal{X}) \ll f(4)](3 \rightarrow 3)\mathcal{X} \Downarrow \text{wrong}} \bar{*} \frac{}{(f(\mathcal{X}) \rightarrow (3 \rightarrow 3)\mathcal{X}) f(4) \Downarrow \text{wrong}}$$

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The Rationale of Optimistic and Pessimistic Machines

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- **Optimistic machine** (at least one computation does not go wrong), *vs.*
Pessimistic machine (the machine stops if at least one wrong occurs).

Why a new calculus?

Rewriting is nice, but

- the rewrite relation or the rewriting logic are difficult to control
- non-reducibility is impossible to express

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Lambda-calculus is great, but

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- difficult to control

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- that can dissociate binding from matching when abstracting

Comparing the untyped rewriting calculus to other formalisms

Target formalisms

- ▶ Rewriting
- ▶ λ -calculus
- ▶ Higher-order term rewriting (CRS)
- ▶ The Lambda Calculus of Objects λObj
- ▶ The Object Calculus ςObj

Compiling the λ -calculus into the ρ -calculus

1. $\varphi(X) = X$
2. $\varphi(\lambda X.M) = X \rightarrow \varphi(M)$
3. $\varphi(M N) = \varphi(M) \varphi(N)$

Theorem: If $M \mapsto_{\beta} N$, then $\varphi(M) \mapsto_{\rho\delta} \varphi(N)$.

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Example: for Turing's fixpoint combinator [Turing37]

$$\Theta_{\lambda} = (A_{\lambda} A_{\lambda}) \text{ where } A_{\lambda} = \lambda xy.y(xxy)$$

we have $\varphi(A_{\lambda}) = x \rightarrow (y \rightarrow y(xxy))$ and thus, to the λ -reduction

$$\Theta_{\lambda} G \longrightarrow_{\beta} G(\Theta_{\lambda} G)$$

corresponds the following reduction in the rewriting calculus

$$\Theta G \stackrel{\Delta}{=} (x \rightarrow (y \rightarrow y(xxy))) A G \mapsto_{\rho\delta} (y \rightarrow y(AAy)) G \mapsto_{\rho\delta} G(AAG)$$

Rewriting: The relation, the logic and the calculus

Given a set \mathcal{R} of rewrite rules $(l_i \rightarrow r_i)$, we can define:

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The **rewrite relation** :

$$t \longrightarrow_{\mathcal{R}} t'$$

i.e. the smallest relation containing the rewrite rules and stable by context et substitution.

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The **rewriting logic** [José Meseguer, TCS1992]:

$$\mathcal{R} \vdash t \Rightarrow t'$$

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The **rewriting logic** [José Meseguer, TCS1992]:

$$\mathcal{R} \vdash t \Rightarrow t'$$

Formulas are sequents of the form

$$\pi : t \Rightarrow t'$$

where π is a proof term, built on $\mathcal{F} \cup L \cup \{;\}$ recording the proof of the sequent.

Rewriting: The relation, the logic and the calculus

$$\mathcal{R} \vdash \pi : t \Rightarrow t'$$

if $\pi : t \Rightarrow t'$ can be obtained by finite application of the following rules:

Reflexivity For any $t \in \mathcal{T}(\mathcal{F})$:

$$t : t \Rightarrow t$$

Transitivity

$$\frac{\pi_1 : t_1 \Rightarrow t_2 \quad \pi_2 : t_2 \Rightarrow t_3}{\pi_1; \pi_2 : t_1 \Rightarrow t_3}$$

Congruence For any $f \in \mathcal{F}$ with $\text{arity}(f) = n$:

$$\frac{\pi_1 : t_1 \Rightarrow t'_1 \quad \dots \quad \pi_n : t_n \Rightarrow t'_n}{f(\pi_1, \dots, \pi_n) : f(t_1, \dots, t_n) \Rightarrow f(t'_1, \dots, t'_n)}$$

Replacement For any $\ell : l(x_1, \dots, x_n) \Rightarrow r(x_1, \dots, x_n) \in R$,

$$\frac{\pi_1 : t_1 \Rightarrow t'_1 \quad \dots \quad \pi_n : t_n \Rightarrow t'_n}{\ell(\pi_1, \dots, \pi_n) : l(t_1, \dots, t_n) \Rightarrow r(t'_1, \dots, t'_n)}$$

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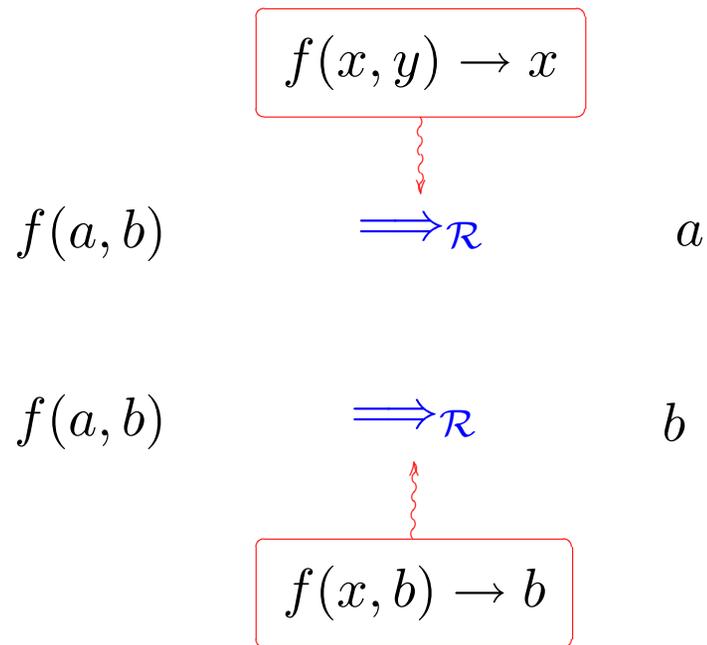
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The rewriting calculus :

$$(lmim(\mathcal{R}) \ t) \mapsto_{\rho\delta} t'$$

Going from rewrite rule to rewriting system: For the rewriting reduction

$$\begin{cases} f(x, y) \rightarrow x \\ f(x, b) \rightarrow b \end{cases}$$



Non Determinism

Going from rewrite rule to rewriting system: In the ρ -calculus

$$f(X, Y) \rightarrow X \quad f(X, b) \rightarrow b$$

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Starting from the proof term, a corresponding ρ -term is obtained:

Theorem: If $T_1 \mapsto_{\mathcal{R}} T_2$, then $\exists T_{\mathcal{R}}$ such that $T_{\mathcal{R}} T_1 \mapsto_{\rho\omega} T_2$.

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Example:

Given $R = \{l1 : a \Rightarrow b, l2 : c \Rightarrow d, l3 : f(x, h(y)) \Rightarrow f(y, h(x))\}$ we have:

$$l3(g(l1), l2) : f(g(a), h(c)) \Rightarrow f(d, h(g(b)))$$

and in rewriting calculus

$$(f(x, h(y)) \rightarrow f((c \rightarrow d) y, h((g(z) \rightarrow (a \rightarrow b) z) x)))) f(g(a), h(c)) \mapsto_{\rho\delta} f(d, h(g(b)))$$

Starting from a given system of rewrite rules reduction strategies can be encoded (using ρ_{\rightarrow})

Encoding Rewriting

Representation of Higher-order term rewriting (CRS)

- ▶ Higher-order term rewriting = *Term rewriting* + *Lambda calculus*
[Breazu-Tannen, Gallier88], [Okada89], [Klop80], [Nipkow91]
- ▶ **CRS** versus TRS: **abstraction** and **metavariables**.

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$$App([x]Z(x), Z') \Rightarrow Z(Z')$$

$$(\lambda x.t)u \Rightarrow_{\beta} t\{x/u\}$$

$$App([x]f(x), a)$$

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$$\text{Reduction :} \qquad \sigma L \longrightarrow_{CRS} \sigma R$$

$$\sigma = \{(Z, \lambda y.fy), (Z', a)\}$$

$$\sigma L = \sigma(App([x]Z(x), Z')) = App([x](\lambda y.fy)(x), a) \downarrow_{\beta} = App([x]f(x), a)$$

$$\sigma R = \sigma(Z(Z')) = (\lambda y.fy)(a) \downarrow_{\beta} = f(a)$$

Representation of Higher-order term rewriting (CRS)

- Higher-order term rewriting = *Term rewriting* + *Lambda calculus*
[Breazu-Tannen, Gallier88], [Okada89], [Klop80], [Nipkow91]
- CRS versus TRS: abstraction and metavariables.

$$App([x]Z(x), Z') \Rightarrow Z(Z') \qquad (\lambda x.t)u \Rightarrow_{\beta} t\{x/u\}$$

$$App([x]f(x), a) \qquad (\lambda x.f) a$$

$$\text{Reduction :} \qquad \sigma L \longrightarrow_{CRS} \sigma R$$

$$\sigma = \{(Z, \lambda y.fy), (Z', a)\}$$

$$\sigma L = \sigma(App([x]Z(x), Z')) = App([x](\lambda y.fy)(x), a) \downarrow_{\beta} = App([x]f(x), a)$$

$$\sigma R = \sigma(Z(Z')) = (\lambda y.fy)(a) \downarrow_{\beta} = f(a)$$

$$App([x]f(x), a) \longrightarrow_{CRS} f(a) \qquad (\lambda x.f) a \longrightarrow_{\beta} f\{x/a\}$$

Translation of *CRS* in the ρ -calculus

- Metaterms :

- ★ $\llbracket x \rrbracket = x$

- ★ $\llbracket f(t_1, \dots, t_n) \rrbracket = f(\llbracket t_1 \rrbracket, \dots, \llbracket t_n \rrbracket)$

- ★ $\llbracket [x]t \rrbracket = x \rightarrow \llbracket t \rrbracket$

- ★ $\llbracket Z(t_1, \dots, t_n) \rrbracket = Z \llbracket t_1 \rrbracket \dots \llbracket t_n \rrbracket$

Example

Translation of *CRS* in the ρ -calculus

- Metaterms :

- ★ $\llbracket x \rrbracket = x$

- ★ $\llbracket f(t_1, \dots, t_n) \rrbracket = f(\llbracket t_1 \rrbracket, \dots, \llbracket t_n \rrbracket)$

- ★ $\llbracket [x]t \rrbracket = x \rightarrow \llbracket t \rrbracket$

- ★ $\llbracket Z(t_1, \dots, t_n) \rrbracket = Z \llbracket t_1 \rrbracket \dots \llbracket t_n \rrbracket$

- Rewriting rules : $\llbracket L \Rightarrow R \rrbracket = \llbracket L \rrbracket \rightarrow \llbracket R \rrbracket$

- Assignment : $\llbracket \{\dots, (Z_i, \xi_i), \dots\} \rrbracket = \{\dots, Z_i / \llbracket \xi_i \rrbracket, \dots\}$

- Substitute : $\llbracket \lambda x_1 \dots x_n. u \rrbracket = x_1 \rightarrow (x_2 \rightarrow (\dots (x_n \rightarrow \llbracket u \rrbracket) \dots))$

Example

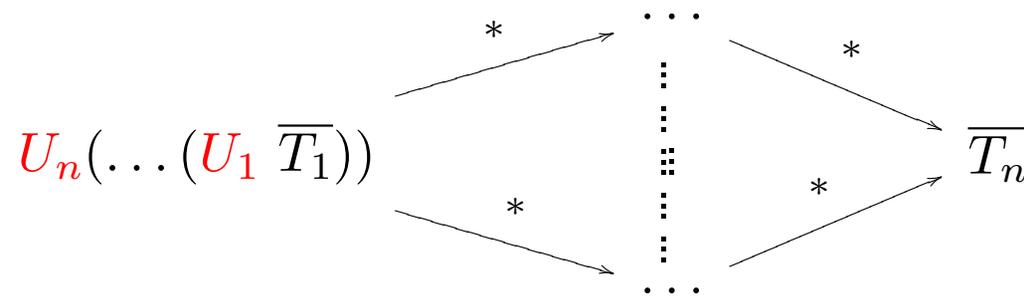
Representation of Higher-order term rewriting (CRS)

- Definition of the $\rho_{\mathbb{A}}$ -calculus (HO matching theory)

$$[P \ll B]A \rightarrow_{\sigma} A\theta_{(P \ll B)} \quad \text{if } \exists \theta. P\theta =_{\rho_{\lambda}} B$$

- Translation of CRS-components into RHO-terms
- Correction and completeness of the translation

Theorem: If $T_1 \mapsto_{\mathcal{R}} T_2 \dots \mapsto_{\mathcal{R}} T_n$, then $\exists U_n \dots U_1$ such that every correspondent RHO-derivation terminates and converges to $\overline{T_n}$



ρ -calculus and records

Record = structure composed of rewriting rules, *i.e.*:

$$(T_i)^{i=1\dots n} \triangleq T_1, \dots, T_n \quad (n \in \text{Nat})$$

$$[m_i = T_i]^{i \in I} \triangleq (m_i \rightarrow T_i)^{i \in I}$$

$$[cx = 0, cy = 0] \triangleq (cx \rightarrow 0, cy \rightarrow 0)$$

ρ -calculus and records

Record = structure composed of rewriting rules, *i.e.*:

$$\begin{aligned} (T_i)^{i=1\dots n} &\triangleq T_1, \dots, T_n \quad (n \in \text{Nat}) \\ [m_i = T_i]^{i \in I} &\triangleq (m_i \rightarrow T_i)^{i \in I} \\ [cx = 0, cy = 0] &\triangleq (cx \rightarrow 0, cy \rightarrow 0) \end{aligned}$$

Record selection = the application of the record to the label, *i.e.* $T_1.T_2$ as $T_1 T_2$.

$$\begin{aligned} (cx \rightarrow 0, cy \rightarrow 0) cx &\mapsto_{\delta} (cx \rightarrow 0) cx, (cy \rightarrow 0) cx \\ &\mapsto_{\rho\delta} 0, [cy \ll cx]0 \\ &=_{\mathbb{T}} (0, \text{stk}) =_{\mathbb{T}} 0 \quad (\mathbb{T}_{\varsigma} \text{Obj}) \quad \dots \text{ the matching theory} \end{aligned}$$

ρ -calculus and objects

Object = record with an explicit account of self, *i.e.*

$$[m_i = \varsigma(\mathcal{X}_i)T_i]^{i \in I} \triangleq (m_i \rightarrow \mathcal{X}_i \rightarrow T_i)^{i \in I}$$

ρ -calculus and objects

Object = record with an explicit account of **self**, *i.e.*

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Self-application = the application of an object to the object itself, *i.e.*

$$T_1.T_2 \triangleq T_1 T_2 T_1$$

ρ -calculus and objects

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Ex: $T \triangleq a \rightarrow S \rightarrow b$. Then: $T.a \triangleq T a T \mapsto_{\rho\delta} (S \rightarrow b) T \mapsto_{\rho\delta} b$

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Ex: $T \triangleq \omega \rightarrow S \rightarrow S.\omega$. Then: $T.\omega \mapsto_{\rho\delta} (S \rightarrow S.\omega) T \mapsto_{\rho\delta} T.\omega \mapsto_{\rho\delta} \dots$

A “ping-pong” object

Let $T \triangleq (ping \rightarrow S \rightarrow S.pong, pong \rightarrow S \rightarrow S.ping)$

Then:

$$T.ping \triangleq T ping T$$

A “ping-pong” object

Let $T \triangleq (\text{ping} \rightarrow S \rightarrow S.\text{pong}, \text{pong} \rightarrow S \rightarrow S.\text{ping})$

Then:

$$\begin{aligned} T.\text{ping} &\triangleq T \text{ ping } T \\ &\mapsto_{\rho\delta} ((\text{ping} \rightarrow S \rightarrow S.\text{pong}) \text{ ping}, \\ &\quad (\text{pong} \rightarrow S \rightarrow S.\text{ping}) \text{ ping}) T \end{aligned}$$

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 &\quad (pong \rightarrow S \rightarrow S.ping) \text{ ping}) T \\
 &\mapsto_{\rho\delta} ((S \rightarrow S.pong), \text{stk}) T \\
 &\mapsto_{\rho\delta} (S \rightarrow S.pong) T, \text{stk } T \\
 &\mapsto_{\rho\delta} (S \rightarrow S.pong) T, \text{stk}
 \end{aligned}$$

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 &\mapsto_{\rho\delta} ((S \rightarrow S.pong), \text{stk}) T \\
 &\mapsto_{\rho\delta} (S \rightarrow S.pong) T, \text{stk } T \\
 &\mapsto_{\rho\delta} (S \rightarrow S.pong) T, \text{stk} \\
 &=_{\mathbb{T}} (S \rightarrow S.pong) T \quad (\mathbb{T}_{\varsigma} Obj)
 \end{aligned}$$

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 &\mapsto_{\rho\delta} (S \rightarrow S.pong) T, \text{stk } T \\
 &\mapsto_{\rho\delta} (S \rightarrow S.pong) T, \text{stk} \\
 &=_{\mathbb{T}} (S \rightarrow S.pong) T \quad (\mathbb{T}_{\varsigma} Obj) \\
 &\mapsto_{\rho\delta} T.pong \\
 &\mapsto_{\rho\delta} T.ping \\
 &\mapsto_{\rho\delta} \dots
 \end{aligned}$$

Functional object update

Update $(a.m := b) \triangleq (a, m \rightarrow b)$

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Point \triangleq $val \rightarrow S \rightarrow v(1, 1),$
 $get \rightarrow S \rightarrow S.val,$
 $set \rightarrow S \rightarrow v(X, Y) \rightarrow (S.val := S' \rightarrow v(X, Y))$

Functional object update

Update $(a.m := b) \triangleq (a, m \rightarrow b)$

$Point \triangleq$

- $val \rightarrow S \rightarrow v(1, 1),$
- $get \rightarrow S \rightarrow S.val,$
- $set \rightarrow S \rightarrow v(X, Y) \rightarrow (S.val := S' \rightarrow v(X, Y))$

Then:

$Point.get \mapsto_{\rho\delta} v(1, 1)$

Functional object update

Update $(a.m := b) \triangleq (a, m \rightarrow b)$

$$\begin{aligned} \mathit{Point} &\triangleq \mathit{val} \rightarrow S \rightarrow v(1, 1), \\ &\mathit{get} \rightarrow S \rightarrow S.\mathit{val}, \\ &\mathit{set} \rightarrow S \rightarrow v(X, Y) \rightarrow (S.\mathit{val} := S' \rightarrow v(X, Y)) \end{aligned}$$

Then:

$$\begin{aligned} \mathit{Point}.\mathit{get} &\mapsto_{\rho\delta} v(1, 1) \\ \mathit{Point}.\mathit{set}(v(2, 2)) &\mapsto_{\rho\delta} \mathit{Point}, (\mathit{val} \rightarrow S' \rightarrow v(2, 2)) \end{aligned}$$

Functional object update

Update $(a.m := b) \triangleq (a, m \rightarrow b)$

$$\begin{aligned} \textit{Point} &\triangleq \textit{val} \rightarrow S \rightarrow v(1, 1), \\ &\textit{get} \rightarrow S \rightarrow S.\textit{val}, \\ &\textit{set} \rightarrow S \rightarrow v(X, Y) \rightarrow (S.\textit{val} := S' \rightarrow v(X, Y)) \end{aligned}$$

Then:

$$\begin{aligned} \textit{Point}.\textit{get} &\mapsto_{\rho\delta} v(1, 1) \\ \textit{Point}.\textit{set}(v(2, 2)) &\mapsto_{\rho\delta} \textit{Point}, (v(2, 2)) \\ \textit{Point}.\textit{set}(v(2, 2)).\textit{get} &\mapsto_{\rho\delta} v(1, 1), v(2, 2) \end{aligned}$$

Imperative object update

Kill_m rule:

$$kill_m \triangleq (m \rightarrow X, Y) \rightarrow Y \quad (\text{in } \mathbb{T}_{\mathcal{S}Obj})$$

Imperative object update

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Update $(a.m := b) \triangleq (\mathit{kill}_m(a), m \rightarrow b)$

Imperative object update

Kill_m rule:

$$\mathit{kill}_m \triangleq (m \rightarrow X, Y) \rightarrow Y \quad (\text{in } \mathbb{T}_{\text{Obj}})$$

Update $(a.m := b) \triangleq (\mathit{kill}_m(a), m \rightarrow b)$

Then:

$$\mathit{Point}_I.\mathit{get} \mapsto_{\rho\delta} v(1, 1)$$

Imperative object update

Kill_m rule:

$$\mathit{kill}_m \triangleq (m \rightarrow X, Y) \rightarrow Y \quad (\text{in } \mathbb{T}_{\mathcal{S}Obj})$$

Update $(a.m := b) \triangleq (\mathit{kill}_m(a), m \rightarrow b)$

Then:

$$\begin{aligned} \mathit{Point}_I.\mathit{get} &\mapsto_{\rho\delta} v(1, 1) \\ \mathit{Point}_I.\mathit{set}(v(2, 2)) &\mapsto_{\rho\delta} \mathit{val} \rightarrow S' \rightarrow v(2, 2), \mathit{get} \rightarrow \dots, \mathit{set} \rightarrow \dots \end{aligned}$$

Imperative object update

Kill_m rule:

$$\mathit{kill}_m \triangleq (m \rightarrow X, Y) \rightarrow Y \quad (\text{in } \mathbb{T}_{\zeta\text{Obj}})$$

Update $(a.m := b) \triangleq (\mathit{kill}_m(a), m \rightarrow b)$

Then:

$$\mathit{Point}_I.\mathit{get} \mapsto_{\rho\delta} v(1, 1)$$

$$\mathit{Point}_I.\mathit{set}(v(2, 2)) \mapsto_{\rho\delta} \mathit{val} \rightarrow S' \rightarrow v(2, 2), \mathit{get} \rightarrow \dots, \mathit{set} \rightarrow \dots$$

$$\mathit{Point}_I.\mathit{set}(v(2, 2)).\mathit{get} \mapsto_{\rho\delta} v(2, 2)$$

The Object Calculus ζObj
The Lambda Calculus of Objects λObj

An object with “self-extension”

Let $t_1 \triangleq \text{add}_n \rightarrow S \rightarrow (S, n \rightarrow S' \rightarrow 1)$

$$(t_1.\text{add}_n).n \quad \mapsto_{\rho\delta} \quad ((S \rightarrow (S, n \rightarrow S' \rightarrow 1)) t_1).n$$

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Let $t_1 \triangleq \text{add}_n \rightarrow S \rightarrow (S, n \rightarrow S' \rightarrow 1)$

$$\begin{aligned} (t_1.\text{add}_n).n &\quad \mapsto_{\rho\delta} \quad ((S \rightarrow (S, n \rightarrow S' \rightarrow 1)) t_1).n \\ &\quad \mapsto_{\rho\delta} \quad \underbrace{(t_1, n \rightarrow S' \rightarrow 1)}_{t_2}.n \end{aligned}$$

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 &\quad \mapsto_{\rho\delta} \quad \underbrace{(t_1, n \rightarrow S' \rightarrow 1)}_{t_2}.n \\
 &\quad \triangleq \quad t_2 \ n \ t_2
 \end{aligned}$$

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 &\quad \mapsto_{\rho\delta} \quad \underbrace{(t_1, n \rightarrow S' \rightarrow 1)}_{t_2}.n \\
 &\quad \triangleq \quad t_2 \ n \ t_2 \\
 &\quad \mapsto_{\rho\delta} \quad ((\text{add}_n \rightarrow \dots) \ n, (n \rightarrow S' \rightarrow 1) \ n) \ t_2
 \end{aligned}$$

An object with “self-extension”

Let $t_1 \triangleq \text{add}_n \rightarrow S \rightarrow (S, n \rightarrow S' \rightarrow 1)$

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 &\quad \triangleq \quad t_2 \ n \ t_2 \\
 &\quad \mapsto_{\rho\delta} \quad ((\text{add}_n \rightarrow \dots) \ n, (n \rightarrow S' \rightarrow 1) \ n) \ t_2 \\
 &\quad \mapsto_{\rho\delta} \quad \text{stk}, (S' \rightarrow 1) \ t_2
 \end{aligned}$$

An object with “self-extension”

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 &\quad \triangleq \quad t_2 \ n \ t_2 \\
 &\quad \mapsto_{\rho\delta} \quad ((\text{add}_n \rightarrow \dots) \ n, (n \rightarrow S' \rightarrow 1) \ n) \ t_2 \\
 &\quad \mapsto_{\rho\delta} \quad \text{stk}, (S' \rightarrow 1) \ t_2 \\
 &\quad =_{\mathbb{T}} \quad (S' \rightarrow 1) \ t_2 \quad (\mathbb{T}_{\text{Obj}})
 \end{aligned}$$

An object with “self-extension”

Let $t_1 \triangleq add_n \rightarrow S \rightarrow (S, n \rightarrow S' \rightarrow 1)$

$$\begin{aligned}
 (t_1.add_n).n &\quad \mapsto_{\rho\delta} \quad ((S \rightarrow (S, n \rightarrow S' \rightarrow 1)) t_1).n \\
 &\quad \mapsto_{\rho\delta} \quad \underbrace{(t_1, n \rightarrow S' \rightarrow 1)}_{t_2}.n \\
 &\quad \triangleq \quad t_2 \ n \ t_2 \\
 &\quad \mapsto_{\rho\delta} \quad ((add_n \rightarrow \dots) \ n, (n \rightarrow S' \rightarrow 1) \ n) \ t_2 \\
 &\quad \mapsto_{\rho\delta} \quad \text{stk}, (S' \rightarrow 1) \ t_2 \\
 &\quad =_{\mathbb{T}} \quad (S' \rightarrow 1) \ t_2 \quad (\mathbb{T}_{\zeta Obj}) \\
 &\quad \mapsto_{\rho\delta} \quad 1
 \end{aligned}$$

Inheritance in the ρ -calculus

(Abadi & Cardelli encoding of classes-as-objects)

$$\begin{aligned} PClass \quad \triangleq \quad & new \rightarrow S \rightarrow (val \rightarrow S' \rightarrow (S.preval) S', \\ & \quad \quad \quad get \rightarrow S' \rightarrow (S.preget) S', \\ & \quad \quad \quad set \rightarrow S' \rightarrow (S.preset) S'), \\ & preval \rightarrow S \rightarrow S' \rightarrow v(1, 1), \\ & preget \rightarrow S \rightarrow S' \rightarrow S'.val, \\ & preset \rightarrow S \rightarrow S' \rightarrow v(X, Y) \rightarrow (S'.val := S'' \rightarrow v(X, Y)) \end{aligned}$$

Inheritance in the ρ -calculus (Abadi & Cardelli encoding of classes-as-objects)

$$\begin{aligned} PClass \triangleq & \text{new} \rightarrow S \rightarrow (\text{val} \rightarrow S' \rightarrow (S.\text{preval}) S', \\ & \text{get} \rightarrow S' \rightarrow (S.\text{preget}) S', \\ & \text{set} \rightarrow S' \rightarrow (S.\text{preset}) S'), \\ & \text{preval} \rightarrow S \rightarrow S' \rightarrow v(1, 1), \\ & \text{preget} \rightarrow S \rightarrow S' \rightarrow S'.\text{val}, \\ & \text{preset} \rightarrow S \rightarrow S' \rightarrow v(X, Y) \rightarrow (S'.\text{val} := S'' \rightarrow v(X, Y)) \end{aligned}$$

Then:

$$PClass.\text{new} \mapsto_{\rho\delta} Point$$

The Para object: labels as first-class entities

$Para \triangleq (ten \rightarrow S \rightarrow 10, par(X) \rightarrow S \rightarrow S.X)$

This object has a method $par(X)$ which seeks for a method name that is assigned to the variable X and then sends this method to the object itself.

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$$Para.(par(ten)) \triangleq Para (par(ten)) Para$$

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$$\begin{aligned} Para.(par(ten)) &\triangleq Para (par(ten)) Para \\ \mapsto_{\rho\delta} & ((ten \rightarrow S \rightarrow 10) (par(ten)), \\ & (par(X) \rightarrow S \rightarrow S.X) (par(ten))) Para \end{aligned}$$

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 \mapsto_{\rho\delta} &stk, (S \rightarrow S.ten) Para \\
 =_{\mathbb{T}} &(S \rightarrow S.ten) Para \quad (\mathbb{T}_{\varsigma Obj})
 \end{aligned}$$

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 &\mapsto_{\rho\delta} stk, (S \rightarrow S.ten) Para \\
 &=_{\mathbb{T}} (S \rightarrow S.ten) Para \quad (\mathbb{T}_{\varsigma Obj}) \\
 &\mapsto_{\rho\delta} Para.ten
 \end{aligned}$$

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 \mapsto_{\rho\delta} & ((ten \rightarrow S \rightarrow 10) (par(ten)), \\
 & (par(X) \rightarrow S \rightarrow S.X) (par(ten))) Para \\
 \mapsto_{\rho\delta} & stk, (S \rightarrow S.ten) Para \\
 =_{\mathbb{T}} & (S \rightarrow S.ten) Para \quad (\mathbb{T}_{\varsigma Obj}) \\
 \mapsto_{\rho\delta} & Para.ten \\
 \mapsto_{\rho\delta} & 10
 \end{aligned}$$

The object Daemon: methods as first-class entities

$Daemon \triangleq set \rightarrow S \rightarrow X \rightarrow (X, set \rightarrow S' \rightarrow Y \rightarrow (Y, S'))$

The object Daemon: methods as first-class entities

$$Daemon \triangleq set \rightarrow S \rightarrow X \rightarrow (X, set \rightarrow S' \rightarrow Y \rightarrow (Y, S'))$$

$$Daemon.set(x \rightarrow S \rightarrow 3)$$

$$\triangleq Daemon \ set \ Daemon \ (x \rightarrow S \rightarrow 3)$$

The object Daemon: methods as first-class entities

$$Daemon \triangleq set \rightarrow S \rightarrow X \rightarrow (X, set \rightarrow S' \rightarrow Y \rightarrow (Y, S'))$$

$$Daemon.set(x \rightarrow S \rightarrow 3)$$

$$\triangleq Daemon \ set \ Daemon \ (x \rightarrow S \rightarrow 3)$$

$$\mapsto_{\rho\delta} (S \rightarrow X \rightarrow (X, set \rightarrow S' \rightarrow Y \rightarrow (Y, S'))) \ Daemon \ (x \rightarrow S \rightarrow 3)$$

The object Daemon: methods as first-class entities

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$$\vdash_{\rho\delta} (S \rightarrow X \rightarrow (X, set \rightarrow S' \rightarrow Y \rightarrow (Y, S'))) \ Daemon \ (x \rightarrow S \rightarrow 3)$$

$$\vdash_{\rho\delta} (X \rightarrow (X, set \rightarrow S' \rightarrow Y \rightarrow (Y, S'))) \ (x \rightarrow S \rightarrow 3)$$

The object Daemon: methods as first-class entities

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$$Daemon.set(x \rightarrow S \rightarrow 3)$$

$$\triangleq Daemon \ set \ Daemon \ (x \rightarrow S \rightarrow 3)$$

$$\vdash_{\rho\delta} (S \rightarrow X \rightarrow (X, set \rightarrow S' \rightarrow Y \rightarrow (Y, S'))) \ Daemon \ (x \rightarrow S \rightarrow 3)$$

$$\vdash_{\rho\delta} (X \rightarrow (X, set \rightarrow S' \rightarrow Y \rightarrow (Y, S'))) \ (x \rightarrow S \rightarrow 3)$$

$$\vdash_{\rho\delta} \underbrace{x \rightarrow S \rightarrow 3, set \rightarrow S' \rightarrow Y \rightarrow (Y, S')}_{obj}$$

The object Daemon: methods as first-class entities

$$Daemon \triangleq set \rightarrow S \rightarrow X \rightarrow (X, set \rightarrow S' \rightarrow Y \rightarrow (Y, S'))$$

$$Daemon.set(x \rightarrow S \rightarrow 3)$$

$$\triangleq Daemon \ set \ Daemon \ (x \rightarrow S \rightarrow 3)$$

$$\mapsto_{\rho\delta} (S \rightarrow X \rightarrow (X, set \rightarrow S' \rightarrow Y \rightarrow (Y, S'))) \ Daemon \ (x \rightarrow S \rightarrow 3)$$

$$\mapsto_{\rho\delta} (X \rightarrow (X, set \rightarrow S' \rightarrow Y \rightarrow (Y, S'))) \ (x \rightarrow S \rightarrow 3)$$

$$\mapsto_{\rho\delta} \underbrace{x \rightarrow S \rightarrow 3, set \rightarrow S' \rightarrow Y \rightarrow (Y, S')}_{obj}$$

$$obj.set(y \rightarrow S \rightarrow 4) \mapsto_{\rho\delta} (y \rightarrow S \rightarrow 4, x \rightarrow S \rightarrow 3, set \rightarrow S' \rightarrow Y \rightarrow (Y, S'))$$

The Object Calculus ςObj

Abstract syntax

$a, b ::= X \mid [m_i = \varsigma(X)b_i]^{i \in I} \mid a.m \mid a.m := \varsigma(X)b$

Small-step semantics

Let $a \triangleq [m_i = \varsigma(X)b_i]^{i \in I}$

(*Select*) $a.m_j \rightsquigarrow \{X/a\}b_j \quad (j \in I)$

(*Update*) $a.m_j := \varsigma(X)b \rightsquigarrow [m_i = \varsigma(X)b_i, m_j = \varsigma(X)b]^{i \in I \setminus \{j\}} \quad (j \in I)$

(*Extend*) $a.m_j := \varsigma(X)b \rightsquigarrow [m_i = \varsigma(X)b_i, m_j = \varsigma(X)b]^{i \in I} \quad (j \notin I)$

Compiling $\varsigma\mathit{Obj}$ in ρ -calculus

$$\begin{aligned} \llbracket X \rrbracket &\triangleq X \\ \llbracket a.m_j \rrbracket &\triangleq \llbracket a \rrbracket.m_j \\ \llbracket [m_i = \varsigma(X)b_i]^{i \in I} \rrbracket &\triangleq (m_i \rightarrow X \rightarrow \llbracket b_i \rrbracket)^{i \in I} \\ \llbracket a.m := \varsigma(X)b \rrbracket &\triangleq \llbracket a \rrbracket.m := X \rightarrow \llbracket b \rrbracket \end{aligned}$$

Theorem:

If $a \sim_{\varsigma\mathit{Obj}} b$, then $\llbracket a \rrbracket \mapsto_{\rho\mathcal{S}_{\mathbb{T}_{\varsigma\mathit{Obj}}}} \llbracket b \rrbracket$.

Example with object update

Typed rewriting calculi



The new syntax - contexts, etc

$\tau ::= \iota \mid \tau \rightarrow \tau$

Types

$\Delta ::= \emptyset \mid \Delta, X:\tau \mid \Delta, f:\tau$

Contexts

$\mathcal{P} ::= \mathcal{X} \mid \text{stk} \mid \mathcal{K} \bar{P} \quad (\text{variables occur only once in any } P)$

Patterns

$\mathcal{T} ::= \mathcal{K} \mid \text{stk} \mid \mathcal{X} \mid \mathcal{P} \rightarrow_{\Delta} \mathcal{T} \mid [\mathcal{P} \ll_{\Delta} \mathcal{T}] \mathcal{T} \mid \mathcal{T} \mathcal{T} \mid \mathcal{T}, \mathcal{T}$

Terms

The Type System

$$\frac{\mathcal{X}:\sigma \in \Gamma}{\Gamma \vdash \mathcal{X} : \sigma} (\textit{Start})$$

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$$\frac{\Gamma, \Delta \vdash \mathcal{T}_1 : \sigma \quad \Gamma \vdash \mathcal{T}_2 : \sigma \quad \Gamma, \Delta \vdash \mathcal{T}_3 : \tau}{\Gamma \vdash [\mathcal{T}_1 \ll_{\Delta} \mathcal{T}_2] \mathcal{T}_3 : \tau} \text{ (Match)}$$

Typing properties

Well-typed matching:

If $Sol(\mathcal{P} \ll \mathcal{T}) = \theta$, then $\forall X \in \mathcal{P}, \quad \Gamma \vdash X : \sigma \Rightarrow \Gamma \vdash X\theta : \sigma$.

Subject Reduction:

If $\Gamma \vdash \mathcal{T}_1 : \sigma$ and $\mathcal{T}_1 \mapsto_{\rho\delta} \mathcal{T}_2$, then $\Gamma \vdash \mathcal{T}_2 : \sigma$.

Uniqueness:

If $\Gamma \vdash \mathcal{T} : \varphi$ and $\Gamma \vdash \mathcal{T} : \psi$, then $\varphi =_{\alpha} \psi$.

Decidability:

$\left. \begin{array}{l} \text{(typechecking)} \quad \Gamma \vdash \mathcal{T} : \varphi? \\ \text{(type inference)} \quad \Gamma \vdash \mathcal{T} : ? \end{array} \right\}$ are decidable.

Normalization failure

$f : (\alpha \rightarrow \alpha) \rightarrow \alpha$ and $\Gamma = X : \alpha \rightarrow \alpha$, $\omega \triangleq f X \rightarrow X (f X)$

$$\omega (f \omega) \equiv (f X \rightarrow X (f X)) (f \omega)$$

$$\mapsto_{\rho} [f X \ll f \omega](X (f X))$$

$$\mapsto_{\rho\delta} \omega (f \omega)$$

$$\mapsto_{\rho} \dots$$

Normalization failure (cont'd)

$f : (\alpha \rightarrow \alpha) \rightarrow \alpha$ and $\Gamma = X : \alpha \rightarrow \alpha$, $\omega \triangleq f X \rightarrow X (f X)$

$$\frac{\Gamma \vdash f : (\alpha \rightarrow \alpha) \rightarrow \alpha \quad \Gamma \vdash X : \alpha \rightarrow \alpha}{(b) \quad \Gamma \vdash f X : \alpha} \quad \frac{\Gamma \vdash X : \alpha \rightarrow \alpha \quad \overline{\Gamma \vdash f X : \alpha}^{(b)}}{\Gamma \vdash X (f X) : \alpha}$$

$$\frac{(a) \quad \Gamma \vdash f X : \alpha \quad \Gamma \vdash X (f X) : \alpha}{(a) \quad \vdash \omega \equiv f X \rightarrow X (f X) : \alpha \rightarrow \alpha}$$

$$\frac{\overline{\vdash \omega : \alpha \rightarrow \alpha}^{(a)} \quad \frac{\vdash f : (\alpha \rightarrow \alpha) \rightarrow \alpha \quad \overline{\vdash \omega : \alpha \rightarrow \alpha}^{(a)}}{\vdash f \omega : \alpha}}{\vdash \omega (f \omega) : \alpha}$$

(Well-typed) Encoding of Rewriting in the ρ -calculus

- ▶ rewrite rules and their application,
 - ↳ ρ -abstractions and applications (Simple Encoding)

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 - ↳ $\omega (f \omega)$
- ▶ a construction grouping together a set of rewrite rules,
 - ↳ structures and objects
- ▶ an operator testing if a set of rewrite rules is *applicable* to a term.
 - ↳ the symbol stk

ρ -calculus and objects

- **Object** = **record** with an explicit account of **self**, *i.e.*

$$[m_i = \varsigma(X_i)t_i]^{i \in I} \triangleq (m_i(X_i) \rightarrow t_i)^{i \in I}$$

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- **Ex:** $t \triangleq a(S) \rightarrow b$. Then: $t.a \triangleq t a(t) \mapsto_{\rho} [a(S) \ll a(t)]b \mapsto_{\sigma} b$

Typed objects

- An object has type:

$$\frac{\frac{S : lab \rightarrow \phi \vdash meth : (lab \rightarrow \phi) \rightarrow lab}{\vdash meth(S) : lab} (Appl) \quad \vdash \mathcal{T}_{meth} : \phi}{\vdash meth(S) \rightarrow \mathcal{T}_{meth} : lab \rightarrow \phi} (Abst)$$

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- $obj.meth \triangleq obj \ meth(obj)$ can be typed as follows:

$$\frac{\vdash obj : lab \rightarrow \phi \quad \frac{\vdash meth : (lab \rightarrow \phi) \rightarrow lab \quad \vdash obj : lab \rightarrow \phi}{\vdash meth(obj) : lab}}{\vdash obj \ meth(obj) : \phi}$$

Detecting matching failures: the symbol stk

1. The relation $P \not\equiv A$ detects (some) definitive matching failures:

$$\begin{array}{l} f \quad \not\equiv \quad g \\ f(\overline{A_n}) \quad \not\equiv \quad B \quad \text{if } (B \equiv g(\overline{B_m}), f \neq g) \vee (B \equiv f(\overline{B_n}), \exists i, A_i \not\equiv B_i) \\ P \quad \not\equiv \quad A \quad \text{if } A \equiv ([Q \ll A_1]A_2 \wedge Q \not\equiv A_1 \vee P \not\equiv A_2) \end{array}$$

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 P \quad \not\sqsubseteq \quad A \quad \text{if } A \equiv ([Q \ll A_1]A_2 \wedge Q \not\sqsubseteq A_1 \vee P \not\sqsubseteq A_2)
 \end{array}$$

2. The relation \rightarrow_{stk} treats matching failures uniformly:

$$\begin{array}{l}
 [P \ll A]B \quad \rightarrow_{\text{stk}} \quad \text{stk} \quad \text{if } P \not\sqsubseteq A \\
 \text{stk}, A \quad \rightarrow_{\text{stk}} \quad A \\
 A, \text{stk} \quad \rightarrow_{\text{stk}} \quad A \\
 \text{stk } A \quad \rightarrow_{\text{stk}} \quad \text{stk}
 \end{array}$$

Theory of Stuck \mathbb{T}_{stk}

Encoding Rewriting

Encoding rewriting - Addition over Peano integers

$$\mathit{plus} \triangleq \left[\begin{array}{l} S \rightarrow \mathit{add}(0, y) \rightarrow y, \\ S \rightarrow \mathit{add}(\mathit{suc}(x), y) \rightarrow \mathit{suc}((S S) \mathit{add}(x, y)) \end{array} \right]$$

Encoding rewriting - Addition over Peano integers

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$(plus\ plus)\ add(N, M)$

$\mapsto_{\rho\delta} [0 \ll N]M, [0 \ll N-1](M+1) \dots \underline{[0 \ll 0](M+N)}, [suc\ x \ll 0] \dots$

$\mapsto_{\rho\delta} stk, stk \dots \underline{[0 \ll 0](M+N)}, stk \dots$

$\mapsto_{stk} M + N$

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Fill in the blanks with your favorite rewrite system...

$$func \triangleq \left[\begin{array}{l} S \rightarrow \quad , \quad \\ S \rightarrow \quad (S\ S) \end{array} \right]$$

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Fill in the blanks with your favorite rewrite system...

$$func \triangleq \left[\begin{array}{l} S \rightarrow len([]) \rightarrow 0, \\ S \rightarrow len(Cons(x, l)) \rightarrow suc((S S) len(l)) \end{array} \right]$$

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Encoding rewriting in the ρ -calculus

1. The following operator selects the first applicable rule of a set:

$$\begin{aligned} \text{first}(A_1, A_2, \dots, A_n) &\triangleq X \rightarrow ((\text{stk} \rightarrow A_n X, I) (\dots (\text{stk} \rightarrow A_2 X, I) (A_1 X))) \\ \text{first}(A_1, A_2, \dots, A_n) B &\mapsto_{\rho\delta} A_{j+1} B \text{ if } \forall i \leq j, A_i B \mapsto_{\rho\delta} \text{stk} \text{ and } A_{j+1} B \not\mapsto_{\rho\delta} \text{stk} \end{aligned}$$

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2. The Term Rewrite System $\mathcal{R} = \{t_i \rightarrow s_i\}$ with signature $\{a_j\}$ is encoded by:

$$\mathcal{R} \rightsquigarrow \left(\begin{array}{l} (\text{rec } S) \rightarrow \text{first} \left(\begin{array}{l} t_1 \rightarrow S(\text{rec } S) s_1, \\ \dots, \\ a_1 \overline{X} \rightarrow S(\text{Rec } S) (a_1 \overline{S(\text{rec } S) X}), \\ \dots, \end{array} \right) \\ (\text{Rec } S) \rightarrow \text{first} \left(\begin{array}{l} t_1 \rightarrow S(\text{rec } S) s_1, \\ \dots, \\ I \end{array} \right) \end{array} \right),$$

Example - A simple calculator

$$\begin{array}{l}
 \text{calc} \triangleq \text{rec}(\mathcal{S}) \rightarrow \text{first} \\
 \text{Rec}(\mathcal{S}) \rightarrow \text{first}
 \end{array}
 \left(
 \begin{array}{l}
 \text{add } 0 \ Y \rightarrow Y, \\
 \text{add } (\text{suc } X) \ Y \rightarrow \mathcal{S}.\text{rec} (\text{suc } (\text{add } X \ Y)), \\
 \text{mult } 0 \ Y \rightarrow 0, \\
 \text{mult } (\text{suc } X) \ Y \rightarrow \mathcal{S}.\text{rec} (\text{add } (\text{mult } X \ Y) \ X), \\
 \text{add } X \ Y \rightarrow \mathcal{S}.\text{Rec} (\text{add } (\mathcal{S}.\text{rec } X) (\mathcal{S}.\text{rec } Y)), \\
 \text{mult } X \ Y \rightarrow \mathcal{S}.\text{Rec} (\text{mult } (\mathcal{S}.\text{rec } X) (\mathcal{S}.\text{rec } Y)), \\
 \text{suc } X \rightarrow \mathcal{S}.\text{Rec} (\text{suc } (\mathcal{S}.\text{rec } X))
 \end{array}
 \right),$$

$$\left(
 \begin{array}{l}
 \text{add } 0 \ Y \rightarrow Y, \\
 \text{add } (\text{suc } X) \ Y \rightarrow \mathcal{S}.\text{rec} (\text{suc } (\text{add } X \ Y)), \\
 \text{mult } 0 \ Y \rightarrow 0, \\
 \text{mult } (\text{suc } X) \ Y \rightarrow \mathcal{S}.\text{rec} (\text{add } (\text{mult } X \ Y) \ X), \\
 Y \rightarrow Y
 \end{array}
 \right)$$

Computing $(3 + 5) \times 4$

→ $\text{calc}.\text{rec} (\text{mult } (\text{add } \overline{3} \ \overline{5}) \ \overline{4}) \mapsto_{\rho\delta} \overline{32}$.

Example - Computing the length of a list

$$\text{length} \triangleq \text{rec } S \rightarrow \text{first} \left(\begin{array}{l} \text{len nil} \rightarrow 0, \\ \text{len (cons X L)} \rightarrow S.\text{rec (suc (len L))}, \\ \text{suc X} \rightarrow S.\text{Rec suc (S.rec X)} \end{array} \right), \\
 \text{Rec } S \rightarrow \text{first} \left(\begin{array}{l} \text{len nil} \rightarrow 0, \\ \text{len (cons X L)} \rightarrow S.\text{rec (suc (len L))}, \\ Y \rightarrow Y \end{array} \right)$$

Logical inconsistency

- As is, the Curry-Howard isomorphism is not valid:

$$\frac{\Gamma, \Delta \vdash \mathcal{T}_1 : \sigma \quad \Gamma, \Delta \vdash \mathcal{T}_2 : \tau}{\Gamma \vdash \mathcal{T}_1 \rightarrow_{\Delta} \mathcal{T}_2 : \sigma \rightarrow \tau} (Abs)$$

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- Thus, for instance, $\frac{\vdash (h^{\perp \rightarrow \alpha \rightarrow \alpha}(X^{\perp}) \rightarrow X^{\perp}) : \alpha \rightarrow \alpha \rightarrow \perp}{\vdash (h^{\perp \rightarrow \alpha \rightarrow \alpha}(X^{\perp}) \rightarrow X^{\perp}) (Y^{\alpha} \rightarrow Y^{\alpha}) : \perp}$

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- How to fix it ?

$$\frac{\Gamma, X_i : \varphi_i \vdash B : \psi}{\Gamma \vdash A \rightarrow B : (\bigwedge \varphi_i) \rightarrow \psi} (Abs) \quad , \quad FV(A) = \{X_i^{\varphi_i}\}$$

Dependent type discipline

$$\frac{\Gamma, \Delta \vdash \mathcal{T}_1 : \sigma \quad \Gamma, \Delta \vdash \mathcal{T}_2 : \tau}{\Gamma \vdash \mathcal{T}_1 : \Delta \rightarrow \mathcal{T}_2 : \sigma \rightarrow \tau} (Abs)$$

$$\frac{\Gamma \vdash \mathcal{T}_1 : \sigma \rightarrow \tau \quad \Gamma \vdash \mathcal{T}_2 : \sigma}{\Gamma \vdash \mathcal{T}_1 \mathcal{T}_2 : \tau} (Appl)$$

$$\frac{\Gamma, \Delta \vdash \mathcal{T}_2 : \tau}{\Gamma \vdash (\mathcal{T}_1 : \Delta) \rightarrow \mathcal{T}_2 : (\mathcal{T}_1 : \Delta) \rightarrow \tau} (Abs)$$

$$\frac{\Gamma \vdash \mathcal{T}_1 : (\mathcal{T}_{11} : \Delta) \rightarrow \tau \quad \Gamma \vdash \mathcal{T}_2 : \sigma \quad \Gamma, \Delta \vdash \mathcal{T}_{11} : \sigma}{\Gamma \vdash \mathcal{T}_1 \mathcal{T}_2 : [\mathcal{T}_{11} \ll_{\Delta} \mathcal{T}_2] \tau} (Appl)$$

Pure Pattern Type Systems



POPL-03

P²T S

Motivations and Contributions

Small “ λ -Digression”

From Lambda-calculus to Rewriting-calculus

- Lambda-calculus builds upon lambda abstraction:

$$(\lambda X.X) \mathbf{3}$$

From Lambda-calculus to Rewriting-calculus

- Lambda-calculus builds upon lambda abstraction:

3

From Lambda-calculus to Rewriting-calculus

- Lambda-calculus builds upon lambda abstraction:

3

- Lambda-calculus with patterns builds upon pattern abstraction:

From Lambda-calculus to Rewriting-calculus

- Lambda-calculus builds upon lambda abstraction:

3

- Lambda-calculus with patterns builds upon pattern abstraction:

$$(\lambda\langle \mathbf{f}(\mathbf{X}), \mathbf{g}(\mathbf{Y}) \rangle . \langle \mathbf{X}, \mathbf{Y} \rangle) \langle \mathbf{3}, \mathbf{4} \rangle$$

From Lambda-calculus to Rewriting-calculus

- Lambda-calculus builds upon lambda abstraction:

3

- Lambda-calculus with patterns builds upon pattern abstraction:

$$(\lambda \underbrace{\langle \text{sqr}(\mathbf{X}), \text{trl}(\mathbf{X}) \rangle}_{\text{pattern}} . \text{headof}(\mathbf{X})) \underbrace{\langle \text{sqr}(\text{wood}), \text{trl}(\text{wood}) \rangle}_{\text{argument}}$$

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- Rewriting-calculus builds upon generalised abstraction:

$$(\lambda \underbrace{(\lambda \mathbf{P} . \mathbf{Q})}_{\text{pattern}} . \mathbf{M}) \underbrace{\mathbf{N}}_{\text{arg}}$$

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PATTERNS

We Want More Patterns!

Pattern Power!

The Uncle Pat



MATCHING

We Want More Matching!

Matching Power!

The Lady Match



P²T S: Notational Convention

- Capital letters, like A, B, C, \dots range over general terms (metavariables)
- Capital letters, like X, Y, Z, \dots range over variables
- Small letter, like a, b, \dots, f, \dots and strings like $car, cons, nil, int, \dots$ range over constants
- The application of a constant function, say f , to a term A will be usually denoted by $f(A)$, following the algebraic “folklore”
- We can “curryfy” in order to denote a function taking multiple arguments, *e.g.*
 $f(A_1 \cdots A_n) \triangleq f A_1 \cdots A_n$
- **Fact:** Mixing term rewriting and lambda calculus is a longstanding “shambles”: many proposals, many solutions, many problems, ...

P²TS: Tricky !

- Quiz: . . . the below term can have free variables ?

$\lambda \underbrace{\text{cons}(T \ X \ \text{nil}(T))}_{\text{pattern}} . \text{cons}(T \ X \ \text{cons}(T \ X \ \text{nil}(T)))$

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- yes, and we can even abstract over the variable T

$$\lambda T . \lambda \text{cons}(T X \text{nil}(T)) . \text{cons}(T X \text{cons}(T X \text{nil}(T)))$$

P²TS: Tricky !

- ... we will explain why we **cannot** reduce

$$\underbrace{(\lambda \text{cons}(T X \text{nil}(T)))}_{\text{pattern}} . \text{cons}(T X \text{cons}(T X \text{nil}(T)))$$
$$\underbrace{\text{cons}(int 3 \text{nil}(int))}_{\text{argument}}$$

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$$\underbrace{(\lambda \text{cons}(T X \text{nil}(T))).\text{cons}(T X \text{cons}(T X \text{nil}(T)))}_{\text{pattern}}$$

$$\underbrace{\text{cons}(\text{int } 3 \text{nil}(\text{int}))}_{\text{argument}}$$

- ... but we **can** reduce

$$\lambda \underbrace{T}_{\text{patt1}} . \lambda \underbrace{\text{cons}(T X \text{nil}(T))}_{\text{patt2}} . \text{cons}(T X \text{cons}(T X \text{nil}(T)))$$

$$\underbrace{\text{int}}_{\text{arg1}} \quad \underbrace{\text{cons}(\text{int } 3 \text{nil}(\text{int}))}_{\text{arg2}}$$

TYPES

We Need to Plug Types!

Thanks to TAL's Group (Cornell)



Typed Rho

- Our goal is to use the Rewriting-calculus as a foundation for proof assistants based on Curry-Howard isomorphism *à la* Coq, Twelf, Lego, . . .

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P²TS

Free Unrestricted Patterns

Plætora of Serious Problems

P²TS: Some Problems

- Confluence can fail for **bad patterns**

$$\left(\lambda \underbrace{(\mathbf{X} \ \mathbf{Y})}_{\text{bad!}} . \mathbf{X}\right) \left(\left(\lambda \mathbf{Z} . \mathbf{Z}\right) \mathbf{a}\right) \mapsto\!\!\!\!\!\rightarrow$$

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- Subject Reduction can fail for **bad patterns**

$$\vdash (\lambda \underbrace{(\mathbf{X}_1^{\sigma_1 \rightarrow \tau} \ \mathbf{Y}_1^{\sigma_1})}_{\text{bad patt}} . \mathbf{Z}^{\sigma_1 \rightarrow \tau} \ \mathbf{Y}_1^{\sigma_1}) \underbrace{(\mathbf{X}_2^{\sigma_2 \rightarrow \tau} \ \mathbf{Y}_2^{\sigma_2})}_{\text{bad arg}} : \tau$$

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- Shapes of **good patterns** must be **synchronized** with a **sound static** type system!

A Good Recipes for ... Good Patterns

- Good Patterns are in Normal form (no redexes), *i.e.* the bad pattern

$$(\lambda \mathbf{P}. \mathbf{A}) \mathbf{B}$$

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- All those recipes can be formalized, enforced by the syntax, checked at run time or statically, or by any reasonable mathematical technique....

The main contribution of this (ongoing) work are ...

- to provide adequate notions of patterns, substitutions and syntactic matching in a typed setting.

We introduce **delayed matching constraint**, and the possibility for **patterns in abstractions** to evolve (by reduction or substitution) during execution

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- to propose an extension of **PTSs** supporting abstraction over patterns, and enjoying
 - ★ confluence
 - ★ subject reduction
 - ★ conservativity over **PTSs**
 - ★ consistency for normalizing **P²TSs**

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 - ★ confluence
 - ★ subject reduction
 - ★ conservativity over **PTSs**
 - ★ consistency for normalizing **P²TSs**
- Strong normalization for all **P²TS** is an open problem . . . but it is ok for simple **P²TS**-types (see Benjamin Wack SN-paper) and it “seems” ok for the simplest dependent-type discipline (\rightsquigarrow *Pattern Logical Framework*)

P²T S

The Syntax

P²T S

its time to be uniform!

$$\lambda A.B \sim A \rightarrow B$$

The Typed Syntax

$$\Gamma ::= \emptyset \mid \Gamma, X:A \mid \Gamma, f:A$$
$$A ::= X \mid f \mid P \rightarrow_{\Delta} B \mid A A \mid [P \ll_{\Delta} B]C \mid A, B$$
$$\Pi P:\Delta. B$$

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3. Term of the form A, B is called a **structure**
4. Term of the form $\Pi P:\Delta.B$ is called a **product type**

Untyped Rho vs. Typed P²TS

Rho

$$T ::= \mathcal{V} \mid \mathcal{K} \mid \mathcal{P} \rightarrow T \mid T T \mid [\mathcal{P} \ll T].T \mid T, T$$

P²TS

$$\mathcal{C} ::= \emptyset \mid \mathcal{C}, \mathcal{V}:T \mid \mathcal{C}, \mathcal{K}:T \quad \checkmark \in \{\lambda \Pi\}$$

$$T ::= \mathcal{V} \mid \mathcal{K} \mid \checkmark \mathcal{P}:\mathcal{C}.T \mid T T \mid [\mathcal{P} \ll_c T].T \mid T, T$$

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P²TS

Small-step Semantics

Reduction@glance

$$(P \rightarrow_{\Delta} B)C$$

Reduction@glance

$$(P \rightarrow_{\Delta} B)C \mapsto_{\rho} [P \ll_{\Delta} C].B$$

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$$\begin{aligned} (P \rightarrow_{\Delta} B)C &\mapsto_{\rho} [P \ll_{\Delta} C].B \\ &\mapsto_{\sigma} B\sigma \end{aligned}$$

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if $\exists \sigma. A\sigma \equiv C$

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The Small-step Reduction Semantics

$$(\rho) \quad (P \rightarrow_{\Delta} B) C \quad \mapsto_{\rho} \quad [P \ll_{\Delta} C].B$$

$$(\sigma) \quad [P \ll_{\Delta} C].B \quad \mapsto_{\sigma} \quad B\sigma_{(P \ll_{\emptyset}^{\Delta} C)}$$

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- (σ) : run successfully $\mathcal{Alg}(\mathbf{P} \leftarrow_{\emptyset}^{\Delta} \mathbf{C})$, and applying the result σ to the term \mathbf{B}
- (δ) : deals with the distributivity of the application on the structures built with the “,” constructor One-Many-Congruence-As-Usual

P²TS

Galleria & Glance

Galleria I: The Pattern Abstraction $A \rightarrow_{\Delta} B$

- Generalisation of the λ -abstraction in PTSs. The rationale is:

$$\mathbf{X} \rightarrow_{\Delta} (\mathbf{X}:\sigma) \mathbf{A} \sim \lambda \mathbf{X}:\sigma. \mathbf{A}$$

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- Instead of simple variables we abstract over sophisticated patterns
- The free variables of A (bound in B) are declared in the context Δ , *i.e.*

$$\mathcal{FV}\mathbf{ar}(\mathbf{A} \rightarrow_{\Delta} \mathbf{B}) \triangleq (\mathcal{FV}\mathbf{ar}(\mathbf{A}) \cup \mathcal{FV}\mathbf{ar}(\mathbf{B}) \cup \mathcal{FV}\mathbf{ar}(\Delta)) \setminus \mathcal{Dom}(\Delta)$$

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- Δ discriminates on which $\mathcal{FV}\mathbf{ar}(A)$ will be bound in B and which not

$$\mathbf{cons}(\mathbf{T} \ \mathbf{X} \ \mathbf{nil}(\mathbf{T})) \rightarrow_{(\mathbf{X}:\mathbf{T})} \mathbf{cons}(\mathbf{T} \ \mathbf{X} \ \mathbf{cons}(\mathbf{T} \ \mathbf{X} \ \mathbf{nil}(\mathbf{T})))$$

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Galleria II: The Matching Constraint $[A \ll_{\Delta} B]C$

- In the term

$$[A \ll_{\Delta} B]C$$

the matching equation $[A \ll_{\Delta} B]$ is **put on the stack**, hence **constraints** and “*de facto*” **blocks** the evaluation of C

- The body C will be **evaluated** (in case a matching solution exists) or **delayed** (in case no solution exists at this stage of the evaluation)
- If a solution exists, the delayed matching constraint **self-evaluates** to $C\sigma$, otherwise the evaluation is delayed to a later stage
- The free variables of A declared in Δ are bound in B but not in C , *i.e.*

$$\mathcal{FVar}([A \ll_{\Delta} B]C) \triangleq \mathcal{FVar}((A \rightarrow_{\Delta} C) B)$$

P²T S

Matching Algorithm

$\exists \sigma. \text{Alg}(P \ll_{\emptyset}^{\Delta} A) ?$

INSIDE ALGO

HARD RUN

EASY RUN

SKIP

Matching Systems

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$$(a) \quad \mathsf{T} \triangleq \bigwedge_{i=0 \dots n} X_i \ll_{\Delta_i}^{\Sigma} C_i \quad \bigwedge_{j=0 \dots m} f_j \ll_{\Delta_j}^{\Sigma} f_j$$

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 - (b) for all $h, k = 0 \dots n$, $X_h \equiv X_k$ implies $C_h \equiv C_k$
 - (c) for all $i = 0 \dots n$, $X_i \in \text{Dom}(\Delta_i)$ or $X_i \notin \text{Dom}(\Sigma)$ implies $X_i \equiv C_i$
 - (d) for all $i = 0 \dots n$, $\mathcal{FV}ar(C_i) \cap \text{Dom}(\Delta_i) \neq \emptyset$ implies $X_i \equiv C_i$

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(d) for all $i = 0 \dots n$, $\mathcal{FV}ar(C_i) \cap \text{Dom}(\Delta_i) \neq \emptyset$ implies $X_i \equiv C_i$

4. if condition **3** is satisfied the matching system T produces the substitution $\{C_1/X_1 \cdots C_n/X_n\}$, otherwise the matching fails

The Algorithm *Alg*

(Lbd/Prod)

$$(P_1 \rightarrow_{\Delta} B_1) \ll_{\Gamma}^{\Sigma} (P_2 \rightarrow_{\Delta} B_2)$$
$$\rightsquigarrow P_1 \ll_{\Gamma, \Delta}^{\Sigma} P_2 \wedge B_1 \ll_{\Gamma, \Delta}^{\Sigma} B_2$$

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$$\rightsquigarrow P_1 \ll_{\Gamma, \Delta}^{\Sigma} P_2 \wedge B_1 \ll_{\Gamma, \Delta}^{\Sigma} B_2$$

(Delay)

$$[P_1 \ll_{\Delta} C_1].B_1 \ll_{\Gamma}^{\Sigma} [P_2 \ll_{\Delta} C_2].B_2$$

$$\rightsquigarrow P_1 \ll_{\Gamma, \Delta}^{\Sigma} P_2 \wedge B_1 \ll_{\Gamma, \Delta}^{\Sigma} B_2 \wedge C_1 \ll_{\Gamma}^{\Sigma} C_2$$

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$$(Appl/Struct) \quad (A_1 ; B_1) \ll_{\Gamma}^{\Sigma} (A_2 ; B_2)$$

$$\rightsquigarrow A_1 \ll_{\Gamma}^{\Sigma} A_2 \wedge B_1 \ll_{\Gamma}^{\Sigma} B_2$$

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$$(Lbd/Prod) \quad (P_1 \rightarrow_{\Delta} B_1) \ll_{\Gamma}^{\Sigma} (P_2 \rightarrow_{\Delta} B_2)$$

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recall the reduction rule $[P \ll_{\Theta} C].B \mapsto_{\sigma} B\sigma_{(P \ll_{\emptyset}^{\Theta} C)}$

Termination of \mathcal{Alg}

- The relation \rightsquigarrow is defined as the reflexive, transitive and compatible closure of \rightsquigarrow
- If $T \rightsquigarrow T'$, with T' a matching system in **solved** form then, we say that the matching algorithm \mathcal{Alg} (taking as input the system T) succeeds
- The matching algorithm is clearly **terminating** (since all rules decrease the size of terms) and **deterministic** (no critical pairs), and of course, it works modulo α -conversion and Barendregt's hygiene-convention
- Starting from a given solved matching system of the form

$$T \triangleq \bigwedge_{i=0 \dots n} \mathbf{X}_i \ll_{\Delta_i}^{\Sigma} \mathbf{A}_i \quad \bigwedge_{j=0 \dots m} \mathbf{a}_j \ll_{\Delta_j}^{\Sigma} \mathbf{a}_j$$

the corresponding substitution $\{A_1/X_1 \cdots A_n/X_n\}$ is exhibited.

Less *Easy Running*

- $X \rightarrow_{(X:i)} X \not\leftarrow_{\emptyset}^? X \rightarrow_{(X:i)} X$

Less Easy Running

- $X \rightarrow_{(X:i)} X \Leftarrow_{\emptyset}^? X \rightarrow_{(X:i)} X \rightsquigarrow X \Leftarrow_X^? X$

OK!!

Less Easy Running

• $X \rightarrow_{(X:i)} X \Leftarrow_{\emptyset}^? X \rightarrow_{(X:i)} X \rightsquigarrow X \Leftarrow_X^? X$ OK!!

• $X \rightarrow_{(X:i)} X \Leftarrow_{\emptyset}^? X \rightarrow_{(X:i)} Y$

Less Easy Running

- $X \rightarrow_{(X:i)} X \Leftarrow_{\emptyset}^? X \rightarrow_{(X:i)} X \rightsquigarrow X \Leftarrow_X^? X$ OK!!
- $X \rightarrow_{(X:i)} X \Leftarrow_{\emptyset}^? X \rightarrow_{(X:i)} Y \rightsquigarrow X \Leftarrow_X^? X \wedge X \Leftarrow_X^? Y$ KO!!

Less Easy Running

- $X \rightarrow_{(X:i)} X \Leftarrow_{\emptyset}^? X \rightarrow_{(X:i)} X \rightsquigarrow X \Leftarrow_X^? X$ OK!!
- $X \rightarrow_{(X:i)} X \Leftarrow_{\emptyset}^? X \rightarrow_{(X:i)} Y \rightsquigarrow X \Leftarrow_X^? X \wedge X \Leftarrow_X^? Y$ KO!!
- $X \rightarrow_{(X:i)} f(X Y) \Leftarrow_{\emptyset}^Y X \rightarrow_{(X:i)} f(X 3) \rightsquigarrow$

Less Easy Running

• $X \rightarrow_{(X:i)} X \leftarrow_{\emptyset}^? X \rightarrow_{(X:i)} X \rightsquigarrow X \leftarrow_X^? X$ OK!!

• $X \rightarrow_{(X:i)} X \leftarrow_{\emptyset}^? X \rightarrow_{(X:i)} Y \rightsquigarrow X \leftarrow_X^? X \wedge X \leftarrow_X^? Y$ KO!!

• $X \rightarrow_{(X:i)} f(X Y) \leftarrow_{\emptyset}^Y X \rightarrow_{(X:i)} f(X 3) \rightsquigarrow$

$X \leftarrow_X^Y X \wedge f \leftarrow_X^Y f \wedge X \leftarrow_X^Y X \wedge Y \leftarrow_X^Y 3$ OK!!

Less Easy Running

- $X \rightarrow_{(X:i)} X \ll_{\emptyset}^? X \rightarrow_{(X:i)} X \rightsquigarrow X \ll_X^? X$ OK!!
- $X \rightarrow_{(X:i)} X \ll_{\emptyset}^? X \rightarrow_{(X:i)} Y \rightsquigarrow X \ll_X^? X \wedge X \ll_X^? Y$ KO!!
- $X \rightarrow_{(X:i)} f(X Y) \ll_{\emptyset}^Y X \rightarrow_{(X:i)} f(X 3) \rightsquigarrow$
 $X \ll_X^Y X \wedge f \ll_X^Y f \wedge X \ll_X^Y X \wedge Y \ll_X^Y 3$ OK!!
- $[f(X) \ll_{(X:i)} f(Y)] X \ll_{\emptyset}^Y [f(X) \ll_{(X:i)} f(3)]. X \rightsquigarrow$

Less Easy Running

• $X \rightarrow_{(X:i)} X \leftarrow_{\emptyset}^? X \rightarrow_{(X:i)} X \rightsquigarrow X \leftarrow_X^? X$ OK!!

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• $X \rightarrow_{(X:i)} f(X Y) \leftarrow_{\emptyset}^Y X \rightarrow_{(X:i)} f(X 3) \rightsquigarrow$

$X \leftarrow_X^Y X \wedge f \leftarrow_X^Y f \wedge X \leftarrow_X^Y X \wedge Y \leftarrow_X^Y 3$ OK!!

• $[f(X) \ll_{(X:i)} f(Y)] X \leftarrow_{\emptyset}^Y [f(X) \ll_{(X:i)} f(3)]. X \rightsquigarrow$

$f \leftarrow_X^Y f \wedge X \leftarrow_X^Y X \wedge X \leftarrow_X^Y X \wedge f \leftarrow_{\emptyset}^Y f \wedge Y \leftarrow_{\emptyset}^Y 3$ OK!!

Two Easy Running

- $(\mathbf{cons}(\mathbf{T} \ \mathbf{X} \ \mathbf{nil}(\mathbf{T}))) \rightarrow_{(\mathbf{X:i})} \mathbf{X} \ \mathbf{cons}(\mathbf{T} \ \mathbf{3} \ \mathbf{nil}(\mathbf{T}))$

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Solve $\mathbf{cons}(\mathbf{T} \ \mathbf{X} \ \mathbf{nil}(\mathbf{T})) \leftarrow_{\emptyset}^{\mathbf{X}} \mathbf{cons}(\mathbf{T} \ \mathbf{3} \ \mathbf{nil}(\mathbf{T}))$

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$\mathbf{X} \leftarrow_{\emptyset}^{\mathbf{X}} \mathbf{3} \wedge \mathbf{T} \leftarrow_{\emptyset}^{\mathbf{X}} \mathbf{T} \quad \mathbf{OK!!} \text{ with } \sigma = \{\mathbf{3}/\mathbf{X}\}$

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Solve $\text{cons}(\mathbf{T} \ \mathbf{X} \ \text{nil}(\mathbf{T})) \leftarrow_{\emptyset}^{\mathbf{X}} \text{cons}(\mathbf{i} \ \mathbf{3} \ \text{nil}(\mathbf{i})) \rightsquigarrow$

$\mathbf{X} \leftarrow_{\emptyset}^{\mathbf{X}} \mathbf{3} \wedge \mathbf{T} \leftarrow_{\emptyset}^{\mathbf{X}} \mathbf{i} \quad \text{KO!!}$

P²TS

Type System

The Type System I

$$\frac{(s_1, s_2) \in A}{\emptyset \vdash s_1 : s_2} \text{ (Axioms)}$$

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$$\frac{\Gamma \vdash A : B \quad \Gamma \vdash C : D \quad B =_{\rho\delta} C}{\Gamma \vdash A : C} \text{ (Conv)}$$

The Type System II

$$\frac{\Gamma, \Delta \vdash B : C \quad \Gamma \vdash \Pi A : \Delta . C : s}{\Gamma \vdash A \rightarrow_{\Delta} B : \Pi A : \Delta . C} (Abs)$$

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$$\frac{\Gamma \vdash A : \Pi C : \Delta. D \quad \Gamma, \Delta \vdash C : E \quad \Gamma \vdash B : E}{\Gamma \vdash A B : [C \ll_{\Delta} B] D} \text{ (Appl)}$$

$$\frac{\Gamma, \Delta \vdash A : E \quad \Gamma, \Delta \vdash C : D \quad \Gamma \vdash B : D}{\Gamma \vdash [C \ll_{\Delta} B] A : [C \ll_{\Delta} B]^{\top} E} \text{ (Subst)}$$

The Type System II

$$\frac{\Gamma, \Delta \vdash B : C \quad \Gamma \vdash \Pi A : \Delta. C : s}{\Gamma \vdash A \rightarrow_{\Delta} B : \Pi A : \Delta. C} (Abs)$$

$$\frac{\Gamma \vdash C : s_1 \quad (s_1, s_2, s_3) \in \mathcal{R} \quad \Gamma, \Delta \vdash A : C \quad \Gamma, \Delta \vdash B : s_2}{\Gamma \vdash \Pi A : \Delta. B : s_3} (Prod)$$

$$\frac{\Gamma \vdash A : \Pi C : \Delta. D \quad \Gamma, \Delta \vdash C : E \quad \Gamma \vdash B : E}{\Gamma \vdash A B : [C \ll_{\Delta} B] D} (Appl)$$

$$\frac{\Gamma, \Delta \vdash A : E \quad \Gamma, \Delta \vdash C : D \quad \Gamma \vdash B : D}{\Gamma \vdash [C \ll_{\Delta} B] A : [C \ll_{\Delta} B]^{\top} E} (Subst)$$

The Type System II

$$\frac{\Gamma, \Delta \vdash B : C \quad \Gamma \vdash \Pi A : \Delta. C : s}{\Gamma \vdash A \rightarrow_{\Delta} B : \Pi A : \Delta. C} \text{ (Abs)}$$

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$$\frac{\Gamma, \Delta \vdash A : E \quad \Gamma, \Delta \vdash C : D \quad \Gamma \vdash B : D}{\Gamma \vdash [C \ll_{\Delta} B] A : [C \ll_{\Delta} B]^{\top} E} \text{ (Subst)}$$

Fetch Your System

$$\frac{\Gamma \vdash C : s_1 \quad (s_1, s_2, s_3) \in \mathcal{R} \quad \Gamma, \Delta \vdash A : C \quad \Gamma, \Delta \vdash B : s_2}{\Gamma \vdash \Pi A : \Delta . B : s_3} \quad (Prod)$$

System	Rules			
ρ_{\rightarrow}	$(*, *, *)$			
ρ_2	$(*, *, *)$	$(\square, *, *)$		
$\rho_{\underline{\omega}}$	$(*, *, *)$			$(\square, \square, \square)$
ρ_{ω}	$(*, *, *)$		$(*, \square, \square)$	$(\square, \square, \square)$
ρ_{LF}	$(*, *, *)$		$(*, \square, \square)$	
ρ_{P2}	$(*, *, *)$	$(\square, *, *)$	$(*, \square, \square)$	
$\rho_{P\underline{\omega}}$	$(*, *, *)$		$(*, \square, \square)$	$(\square, \square, \square)$
$\rho_{P\omega}$	$(*, *, *)$	$(\square, *, *)$	$(*, \square, \square)$	$(\square, \square, \square)$

P²T S

Typed Examples

Example: Simple Type Derivation

Let $\Gamma \triangleq i:*$, $f:\Pi Z:\Sigma.i, 3:i, 4:i$, and $\Delta \triangleq X:i$, and $\Sigma \triangleq Z:i$,

$$\boxed{3} \quad (*, *, *) \in \mathcal{R}$$

$$\Gamma \vdash [Z \ll_{\Sigma} X].i : *$$

$$\Gamma, \Delta \vdash [3 \ll_{\emptyset} X].i : *$$

$$\Gamma, \Delta \vdash (\lambda 3:\emptyset.3) : \Pi 3:\emptyset.i \quad \boxed{5 \ 6}$$

$$\frac{\Gamma, \Delta \vdash (\lambda 3:\emptyset.3) X : [3 \ll_{\emptyset} X].i \quad \Gamma \vdash \Pi f(X):\Delta.[3 \ll_{\emptyset} X].i : *}{\Gamma \vdash \lambda f(X):\Delta.(\lambda 3:\emptyset.3) X : \Pi f(X):\Delta.[3 \ll_{\emptyset} X].i} \quad \boxed{3 \ 4}$$

$$\Gamma \vdash \lambda f(X):\Delta.(\lambda 3:\emptyset.3) X : \Pi f(X):\Delta.[3 \ll_{\emptyset} X].i$$

$$\frac{\Gamma \vdash (\lambda f(X):\Delta.(\lambda 3:\emptyset.3) X) f(3) : [f(X) \ll_{\Delta} f(3)].[3 \ll_{\emptyset} X].i \quad \boxed{1 \ 2}}{\Gamma \vdash (\lambda f(\mathbf{X}):\Delta.(\lambda 3:\emptyset.3)\mathbf{X}) \mathbf{f}(3) : \mathbf{i}}$$

$$\Gamma \vdash (\lambda f(\mathbf{X}):\Delta.(\lambda 3:\emptyset.3)\mathbf{X}) \mathbf{f}(3) : \mathbf{i}$$

where $\boxed{1} \triangleq [f(X) \ll_{\Delta} f(3)].[3 \ll_{\emptyset} X].i \stackrel{\text{red}}{=} i$, and $\boxed{2} \triangleq \Gamma \vdash i : *$, and

$\boxed{3} \triangleq \Gamma, \Delta \vdash f(X) : [Z \ll_{\Sigma} X].i$, and $\boxed{4} \triangleq \Gamma \vdash f(3) : [Z \ll_{\Sigma} 3].i$, and

$\boxed{5} \triangleq \Gamma, \Delta \vdash X : i$, and $\boxed{6} \triangleq \Gamma, \Delta \vdash 3 : i$.

Example: Simple Type Derivation

Let $\Gamma \triangleq i:*$, $f:\Pi Z:\Sigma.i$, $3:i$, $4:i$, and $\Delta \triangleq X:i$, and $\Sigma \triangleq Z:i$,

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$$\Gamma, \Delta \vdash (\lambda 3:\emptyset.3) : \Pi 3:\emptyset.i \quad \boxed{5 \ 6}$$

$$\Gamma, \Delta \vdash [3 \ll_{\emptyset} X].i : *$$

$$\frac{\Gamma, \Delta \vdash (\lambda 3:\emptyset.3) X : [3 \ll_{\emptyset} X].i \quad \Gamma \vdash \Pi f(X):\Delta.[3 \ll_{\emptyset} X].i : *}{\Gamma \vdash \lambda f(X):\Delta.(\lambda 3:\emptyset.3) X : \Pi f(X):\Delta.[3 \ll_{\emptyset} X].i} \quad \boxed{3 \ 4}$$

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$$\frac{\Gamma \vdash \lambda f(X):\Delta.(\lambda 3:\emptyset.3) X : \Pi f(X):\Delta.[3 \ll_{\emptyset} X].i \quad \Gamma \vdash (\lambda f(X):\Delta.(\lambda 3:\emptyset.3) X) f(3) : [f(X) \ll_{\Delta} f(3)].[3 \ll_{\emptyset} X].i}{\Gamma \vdash (\lambda f(X):\Delta.(\lambda 3:\emptyset.3) X) f(3) : [f(X) \ll_{\Delta} f(3)].[3 \ll_{\emptyset} X].i} \quad \boxed{1 \ 2}$$

$$\Gamma \vdash (\lambda f(X):\Delta.(\lambda 3:\emptyset.3) X) f(3) : i$$

where $\boxed{1} \triangleq [f(X) \ll_{\Delta} f(3)].[3 \ll_{\emptyset} X].i \stackrel{\text{red}}{=} i$, and $\boxed{2} \triangleq \Gamma \vdash i : *$, and

$\boxed{3} \triangleq \Gamma, \Delta \vdash f(X) : [Z \ll_{\Sigma} X].i$, and $\boxed{4} \triangleq \Gamma \vdash f(3) : [Z \ll_{\Sigma} 3].i$, and

$\boxed{5} \triangleq \Gamma, \Delta \vdash X : i$, and $\boxed{6} \triangleq \Gamma, \Delta \vdash 3 : i$.

Playing with the Rho-cube: ρLF

- Let $\Gamma \triangleq i:*, f:\Pi X:(X:i).*, 3:i$

$$\frac{\Gamma, X:i \vdash X : i \quad \Gamma \vdash i : * \quad \Gamma, X:i \vdash * : \square}{\Gamma \vdash \Pi X:(X:i).* : \square}$$

$$\frac{\Gamma \vdash f : \Pi X:(X:i).* \quad \Gamma, X:i \vdash X : i \quad \Gamma \vdash 3 : i}{\Gamma \vdash f(3) : [X \ll_{(X:i)} 3]^{\top} * \equiv *}$$

- $\Gamma \vdash \Pi X:(X:i).* : \square$ can be derived thanks to the specific rule $(*, \square, \square)$

Playing with the Rho-cube: ρ_2

- In this system the following **polymorphic identity with pattern f** can be derived (where f denotes $f^{X^* \rightarrow X^*}$):

$$\begin{array}{c}
 \text{(Conv+Appl)} \\
 \frac{\frac{\frac{\overline{\vdash * : \square}}{\vdash X^* : *}}{\vdash Y^{X^*} : X^*} \quad \frac{\frac{\vdots}{\vdash f(Y^{X^*}) : X^*} \quad \frac{\overline{\vdash * : \square}}{\vdash X^* : *} \quad \frac{\overline{\vdash * : \square}}{\vdash X^* : *}}{X:*, Y:X \vdash f(Y^{X^*}) \rightarrow X : *} \quad \frac{\overline{\vdash * : \square}}{\vdash X^* : *} \quad \frac{\overline{\vdash * : \square}}{\vdash * : \square} \quad \frac{\text{ok!}}{\vdots}}{\vdash f(Y^{X^*}) \rightarrow X^* : *} \quad \frac{\vdots}{X:*, Y:X \vdash X^* \rightarrow f(Y^{X^*}) \rightarrow X : *}}{\vdash f(Y^{X^*}) \rightarrow Y^{X^*} : f(Y^{X^*}) \rightarrow X^*} \quad \frac{\vdots}{X:*, Y:X \vdash X^* \rightarrow f(Y^{X^*}) \rightarrow X : *} \\
 \hline
 X:*, Y:X \vdash X^* \rightarrow f(Y^{X^*}) \rightarrow Y^{X^*} : X:*, Y:X \vdash X^* \rightarrow f(Y^{X^*}) \rightarrow X : *
 \end{array}$$

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 \hline
 \frac{\vdash f(Y^{X^*}) \rightarrow Y^{X^*} : f(Y^{X^*}) \rightarrow X^* \quad \text{X:*, Y:X} \vdash f(Y^{X^*}) \rightarrow X : *}{\text{X:*, Y:X} \vdash X^* \rightarrow f(Y^{X^*}) \rightarrow Y^{X^*} : \text{X:*, Y:X} \vdash X^* \rightarrow f(Y^{X^*}) \rightarrow X : *} \quad \frac{\text{X:*, Y:X} \vdash X^* \rightarrow f(Y^{X^*}) \rightarrow X^* \quad \text{X:*, Y:X} \vdash X^* \rightarrow f(Y^{X^*}) \rightarrow X : *}{\text{X:*, Y:X} \vdash X^* \rightarrow f(Y^{X^*}) \rightarrow Y^{X^*} : \text{X:*, Y:X} \vdash X^* \rightarrow f(Y^{X^*}) \rightarrow X : *}
 \end{array}$$

- $\text{X:*, Y:X} \vdash f(Y^{X^*}) \rightarrow X : *$ can be derived thanks to $(*, *, *)$

Playing with the Rho-cube: ρ_2

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 \end{array}$$

- $X:*, Y:X \vdash f(Y^{X^*}) \rightarrow X : *$ can be derived thanks to $(*, *, *)$
- $X:*, Y:X \vdash X^* \rightarrow f(Y^{X^*}) \rightarrow X : *$ can be derived thanks to $(\square, *, *)$

P²TS

Metatheory

CONCLUSIONS

P²TS: Some Results

- **Confluence** The relation $\mapsto_{\rho\delta}$ is confluent
- **Subject Reduction.** If $\Gamma \vdash A : B$, and $A \mapsto_{\rho\delta} C$, then $\Gamma \vdash C : B$

P²TS: Some Results

- **Confluence** The relation $\mapsto_{\rho\omega}$ is confluent
- **Subject Reduction.** If $\Gamma \vdash A : B$, and $A \mapsto_{\rho\omega} C$, then $\Gamma \vdash C : B$
- **Consistency.** Any normalizing P²TS is logically consistent, *i.e.* for every sort $s \in \mathcal{S}$, $X:s \not\vdash A : X$
- **Conservativity.** P²TSs are a conservative extension of PTSs:

$$\Gamma \vdash_{PTS} A : B \quad \iff \quad \Gamma^\dagger \vdash_{P^2TS} A^\dagger : B^\dagger$$

Open Tracks

- strong normalization, we conjecture that standard model construction techniques can be used to prove strong normalization of the λ^{\llcorner} -cube;
- type checking/inference, we conjecture that existing algorithms for PTSs adapt readily to P^2TS s;
- it would be interesting to study P^2TS s with a limited form of decidable higher-order unification, in the style of λ -Prolog;
- encoding dependent case analysis, dependent sum types (records) *à la* Coquand-Pollack-Luo;
- explicit substitutions. The extension is not trivial, because of delayed matching constraints, but the resulting formalism could serve as the core *engine* of a little type-checker underneath of a powerful proof assistant;

Challenge ... *Extending the Curry-Howard Isomorphism*

- The extension can be considered from the point of view of sequent calculi, deduction modulo, and natural deduction respectively;
- From the point of view of sequent calculi, it remains to investigate how P^2TS s can be used to extend previous results on term calculi for sequent calculi, and how their extension with matching theories can be used to provide suitable term calculi for deduction modulo;
- From the point of view of natural deduction, P^2TS s correspond to an extension of natural deduction where parts of proof trees are discharged instead of assumptions;
- To our best knowledge, such an extended form of natural deduction has not been considered previously, but it seems interesting to investigate whether such an extended natural deduction could find some applications in proof assistants, *e.g.* for transforming and optimizing proofs.

Logics and Rho à la Church

The relation with (intuitionistic) logic through the so-called Curry-Howard isomorphism, or ‘*formulae-as-types*’ principle, has been profoundly studied for Lambda. However, for Rho à la Church, this relation is less clear, as demonstrated by the authors. The principle could be adapted as follows:

Given a typed term A , if we can derive for A a type τ in the typed system Rho, with a derivation Der_{\top} , then the term A can be seen as the coding of a logical proof, proving the formula φ that can be interpreted as the type τ assigned to A .

Curry from Church

For those systems, if $\mathcal{D}er_{\top}$ is a typed derivation, and $\langle - \rangle$ is the above meant erasing function, then by applying $\langle - \rangle$ to the “subject” of every judgment in $\mathcal{D}er_{\top}$, we obtain a valid type assignment derivation $\mathcal{D}er_{\cup}$ with the same structure of the typed one. Vice versa, every type assignment derivation can be viewed as the result of an application of $\langle - \rangle$ to a typed one. In particular, the erasing function $\langle - \rangle$ induces an *isomorphism* between every typed system and the corresponding type assignment system.

$$\begin{array}{ll}
 \langle f \rangle & \triangleq f \\
 \langle X \rangle & \triangleq X \\
 \langle A \ \tau \rangle & \triangleq \langle A \rangle \\
 \langle [P \ll_{\Delta} A].B \rangle & \triangleq [P \ll \langle A \rangle].\langle B \rangle \\
 \langle P \rightarrow_{\Delta} A \rangle & \triangleq P \rightarrow \langle A \rangle \\
 \langle A \ B \rangle & \triangleq \langle A \rangle \ \langle B \rangle \\
 \langle A, B \rangle & \triangleq \langle A \rangle, \langle B \rangle
 \end{array}$$

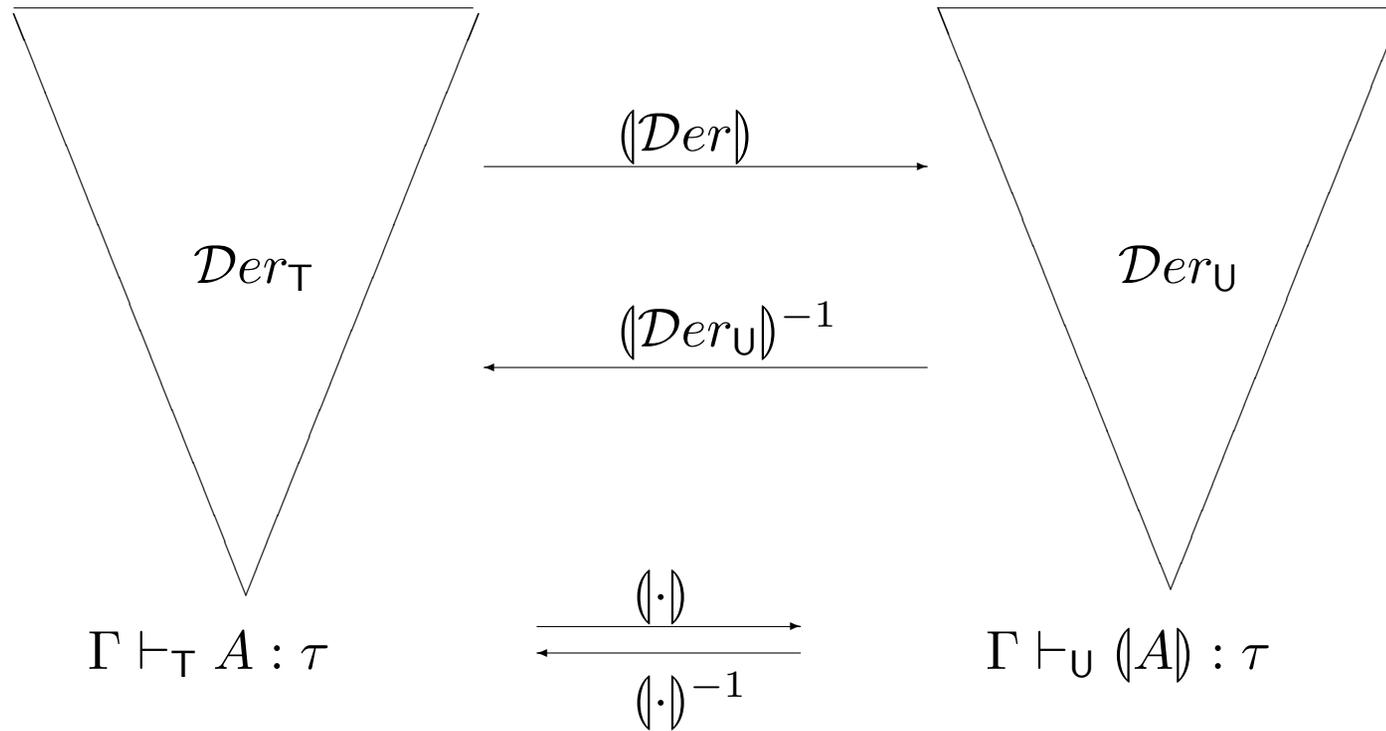
Logics and Rho à la Curry

For the type assignment system **Rho** the relation with logic is less clear even for the corresponding type assignments for the **Lambda**. The ‘formulae-as-types’ principle of Curry and Howard could be extended to the above type assignment systems as follows:

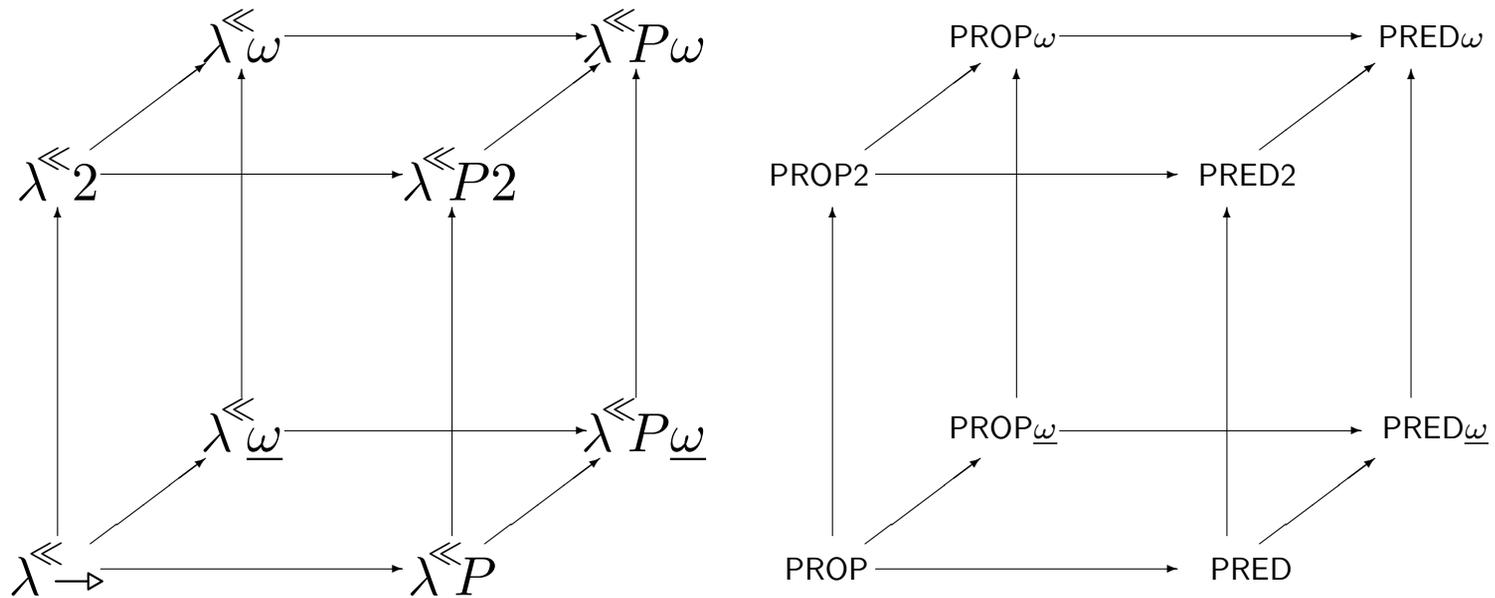
*Given an untyped term U , if we can assign a type τ in the type assignment system **Rho**, with a derivation $\mathcal{D}er_U$, then:*

- *$\mathcal{D}er_U$ can be interpreted as the coding of a proof for the logic formulas φ which corresponds to the interpretation of the type τ assigned to U ;*
- *U can be interpreted as the coding of a “logical proof schema”, whose instances (of the schema) prove, respectively, all the logic formulas φ_i ’s that can be interpreted as the types τ_i ’s that can be assigned to U .*

Typed and Untyped Judgments and Derivations

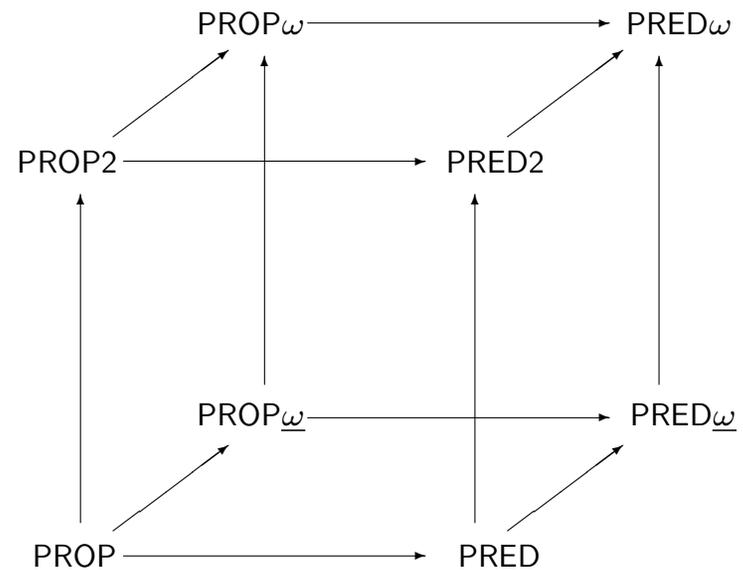
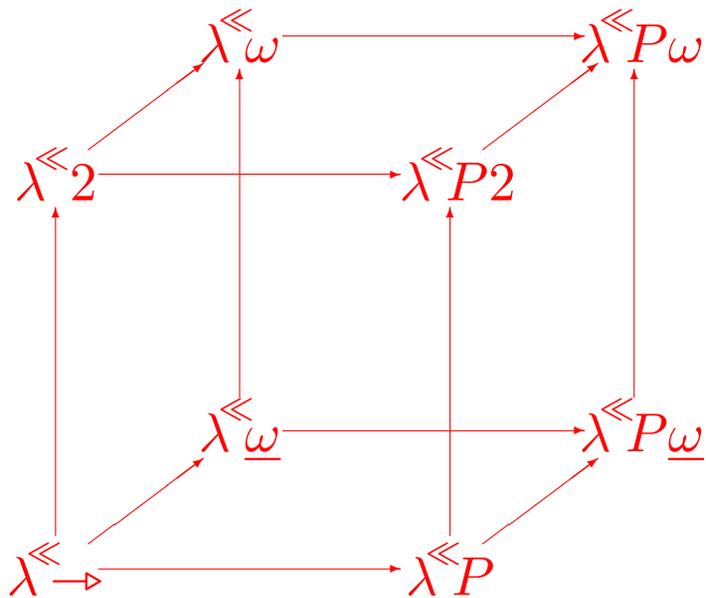


Thanks for your attention ...



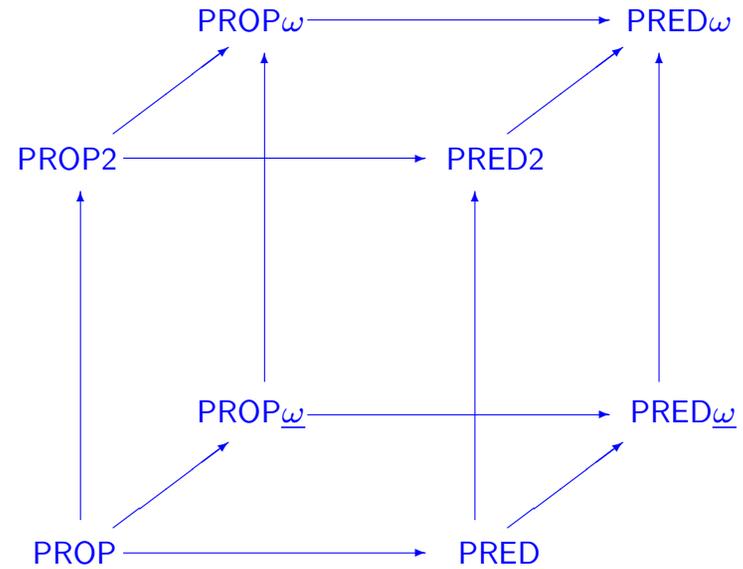
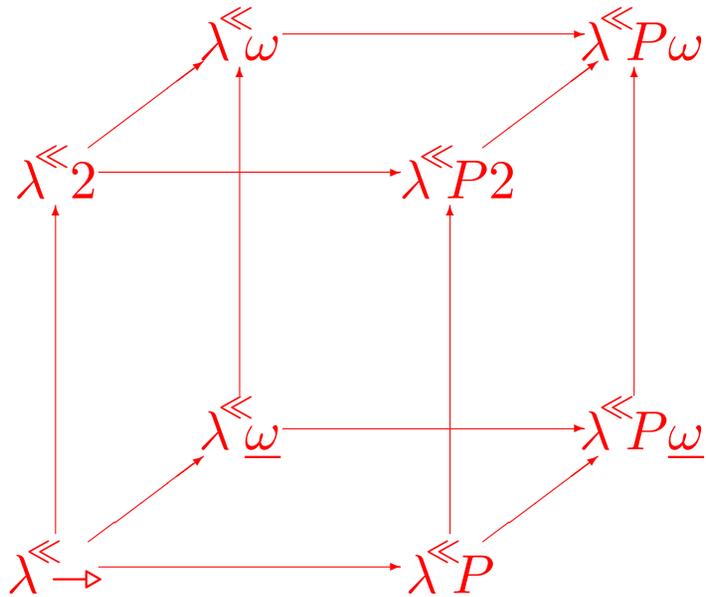
PROP	proposition logic	PRED	predicate logic
PROP ₂	second-order PROP	PRED ₂	second-order PRED
PROP _{<u>ω</u>}	weakly higher-order PROP	PRED _{<u>ω</u>}	weakly higher-order PRED
PROP _ω	higher-order PROP	PRED _ω	higher-order PRED

Thanks for your attention ...



PROP	proposition logic	PRED	predicate logic
PROP2	second-order PROP	PRED2	second-order PRED
PROP $\underline{\omega}$	weakly higher-order PROP	PRED $\underline{\omega}$	weakly higher-order PRED
PROP ω	higher-order PROP	PRED ω	higher-order PRED

Thanks for your attention ...

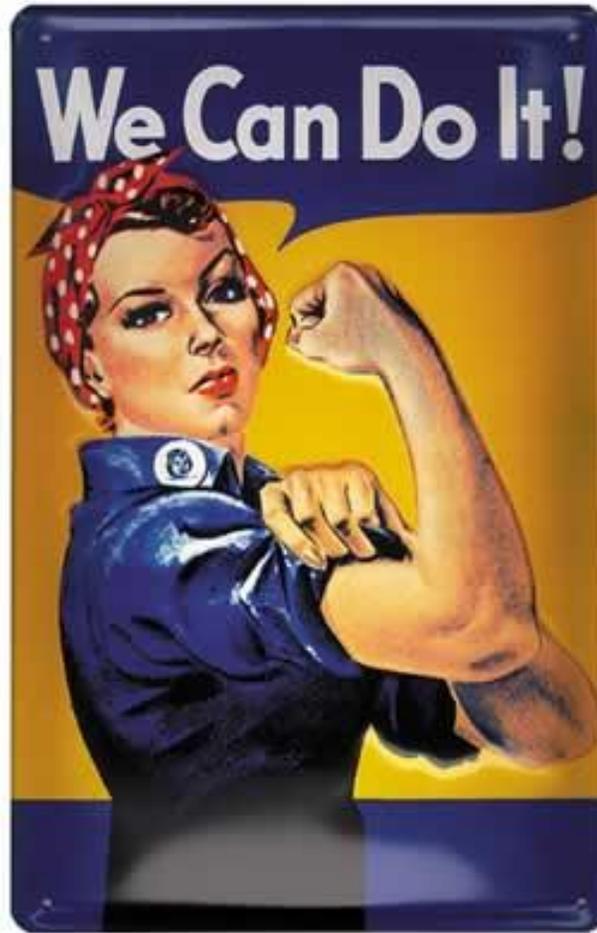


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PROP ω	higher-order PROP	PRED ω	higher-order PRED

The Uncle Pat and the Lady Match



+



= P²T S

Imperative Rewriting Calculus



PPDP-04

iRho

Motivations

Multiparadigm



- *Rewriting-based* languages (like, *e.g.* ELAN, Maude) try to unify the logic paradigm with the functional paradigm.

Multiparadigm



- *Rewriting-based* languages (like, *e.g.* ELAN, Maude) try to unify the logic paradigm with the functional paradigm.
- Although these languages are less used in common practice than *e.g.* object-oriented languages (like, *e.g.*, Java, C#, O’Caml, . . .), they can be used also as (formal) common intermediate languages for implementing compilers for rewriting-based, functional, object-oriented, logic, and others “high-level” modern languages and meta languages.

Main Atout: *Pattern Matching*.

- *Pattern-matching* allows to discriminate between alternatives. Once a pattern is recognized, a pattern is associated to an action. The corresponding pattern is thus rewritten in an appropriate instance of a new one
- Ability to handle a collection of results: pattern matching need not to be exclusive, *i.e.* multiple branches can be “fired” simultaneously
- An **empty** collection of results represents an application **failure**, a **singleton** represents a **deterministic** result, and a **collection** with more than one element represents a **non-deterministic** choice between the elements of the collection

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- An **empty** collection of results represents an application **failure**, a **singleton** represents a **deterministic** result, and a **collection** with more than one element represents a **non-deterministic** choice between the elements of the collection
- Applications: pattern recognition, strings or trees manipulation, etc
- Pattern-matching present in ML, Haskell, Scheme, or Prolog; considered a convenient mechanism for expressing complex requirements about the function’s argument, rather than a basis for an *ad hoc* paradigm of computation

Rho's Goodies

- One of the main features of the Rewriting-calculus is its capacity to deal with (de)structuring structures like *e.g.* lists: we record only the names of the constructor and we discard those of the accessors
- Since structures are built-in the calculus, it follows that the encoding of constructor/accessors is simpler *w.r.t.* the standard encoding in the Lambda-calculus. The table below (informally) compares the (untyped) encoding of accessors in both formalisms

ops/form	Rewriting-calculus	Lambda-calculus
cons	$X \rightarrow Y \rightarrow (\text{cons } X \ Y)$	$\lambda XYZ. ZXY$
car	$(\text{cons } X \ Y) \rightarrow X$	$\lambda Z. Z(\lambda XY.X)$
cdr	$(\text{cons } X \ Y) \rightarrow Y$	$\lambda Z. Z(\lambda XY.Y)$

iRho vs. Programming

- iRho is an extension of Rho with references, memory allocation, and assignment. It features, all the “idiosyncrasies” of functional/rewriting-based languages with imperative features and modern pattern matching facilities

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- The controlled and conscious use of references, gives to the user the programming comfort and a good expressiveness which we could not a priori expect from a so simple calculus
- The “magic ingredients” of iRho are the combination of modern and safe imperative features (full control over internal data-structure reprs), and of the “matching power” (full Lisp-like operations, like cons/car/cdr)
- *insumma*, iRho as theoretical engine for an family of *ad hoc* languages combining functions, patterns, objects with semi-structured XML-data (XDUCE, CDUCE, HYDROJ, TOM) (“...O-O pattern matching focuses on the essential information in a msg and is insensitive to inessential information...”)

A “Fresh” Approach to Rewriting

- We present an **imperative** fully typed (*e.g.* *à la* Church) version of the **Rho**, a **pattern-matching** based calculus with **side-effects**, which we call **iRho**
- We formulate the static and dynamic semantics of **iRho**
- A **call-by-value** deterministic **Natural Semantics** *à la* Kahn: it immediately suggests how to build an interpreter for the calculus
- The static semantics is given via a **first-order** type system based on a form of product-types reflecting the (non-commutative) structure of the term

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- The static semantics is given via a **first-order** type system based on a form of product-types reflecting the (non-commutative) structure of the term
- Access and modify a (monomorphic) typed store, and define fixpoints, control structures, ...
- **iRho** enjoys determinism of the interpreter, subject reduction, and decidability of type-checking (completely checked by a machine assisted approach, using the Coq proof assistant). Progress and decidability of type-checking are proved by pen and paper

Special Emphasis (iRho)

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 - ★ a “sound” machine (the interpreter)
 - ★ a “sound” type system (the type checker), such that
“well typed programs do not go wrong” [Milner],
 - ★ both semantics being suitable to be specified with nice mathematics,
 - ★ to be implemented with high-level programming languages,
 - ★ and to be certified with modern and semi-automatic theorem provers, like Coq

Special Emphasis (iRho)

- A special emphasis has been put in our work on the design of the static/dynamic semantics. We always had in mind the main objectives of a conscious implementor
 - ★ a “sound” machine (the interpreter)
 - ★ a “sound” type system (the type checker), such that
“well typed programs do not go wrong” [Milner],
 - ★ both semantics being suitable to be specified with nice mathematics,
 - ★ to be implemented with high-level programming languages,
 - ★ and to be certified with modern and semi-automatic theorem provers, like Coq
- Thus, we have **encoded** in Coq the static and dynamic semantics of iRho

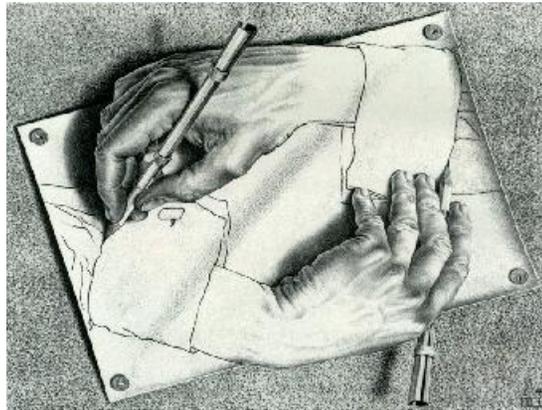
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- All subtle aspects, (usually “swept under the rug”) on the paper, are here enlightened by the rigid discipline imposed by the Logical Framework of Coq

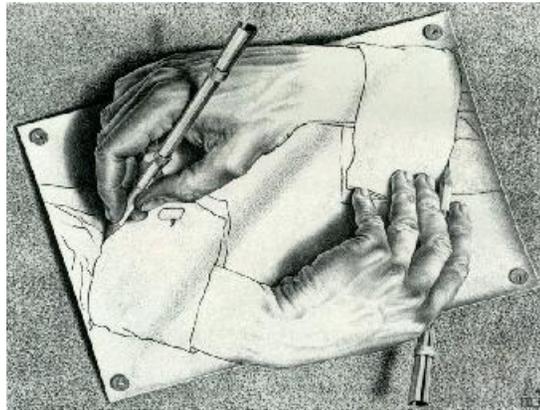
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- Although our calculus is rather simple, it is not impossible, in a near future, to scale-up to larger projects, such as the certified implementation of compilers for a “real” programming language of the C family ($C_{\text{minor}} \subset C$) (**Action Recherche Coordonnée INRIA, Concert**)

iRho

The Syntax

First step: Functional fRho

The symbol τ ranges over types, Γ, Δ range over contexts, P ranges over the set \mathcal{P} of pseudo-patterns, ($\mathcal{V} \subseteq \mathcal{P} \subseteq \mathcal{T}$), and A, B, \dots range over terms

$\tau ::= \mathbf{b} \mid \tau \rightarrow \tau \mid \tau \wedge \tau$ *Types*

$\Delta ::= \emptyset \mid \Delta, X:\tau \mid \Delta, f:\tau$ *Contexts*

$P ::= f \mid X \mid f \bar{P} \mid P, P$ *Patterns*

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iRho in a glance

Intuitively iRho deals with references *à la* Caml *i.e.*:

- **(Deref-types)** The type τ ref is the type of “refs” containing a value of type τ
- **(Deref-op)** The operator `!` is a “dereferencing” operator (goto memory)
- **(Ref-op)** The operator `ref` is a “referencing” operator (malloc)
- **(Assign)** The term $A := B$ is an “assignment” operator, which returns as result the value obtained by evaluating B .

We have not (yet) modeled **garbage collection**: new locations created during reduction will remain in the store forever

Simple, Naïve GC (by Talcott, Mason, Morrisett, *et al.*)

```
for i < n
  letrec x_1 = ref V_1
    and ...
    and x_n = ref V_n
  in A
-->
letrec x_1 = ref V_1
  and ...
  and x_i = ref V_i
  in A
if FV(V_1, ..., V_i) / \ {x_(i+1), ..., x_n} = 0
```

Let-like and conditionals (in a call-by value setting)

As usual, let-like constructs can be generalized with pattern and becomes simple syntactic sugar for applications (types are omitted), *i.e.*

$$\text{let } P \ll A \text{ in } B \triangleq (P \rightarrow B) A$$

Conditional too can be easily encoded using pair, applications, and constants, *i.e.*

$$\iff A \text{ then } B \text{ else } C \triangleq (\text{true} \rightarrow B, \text{false} \rightarrow C) A$$

and

$$\text{neg} \triangleq (\text{true} \rightarrow \text{false}, \text{false} \rightarrow \text{true})$$

Values and Environments in fRho

- We introduce the set \mathcal{Val} of **values** and the set of environments \mathcal{Env}

$$A_v ::= f \mid f \overline{A}_v \mid A_v, A_v \mid \underbrace{\langle P \rightarrow_{\Delta} A \cdot \rho \rangle}_{\text{fun closures}} \mid \underbrace{\langle [P \ll_{\Delta} A_v].B \cdot \rho \rangle}_{\text{fail closures}}$$

- Environments are partial functions from the set of variables to the set of values

$$\rho[X \mapsto A_v](Y) \triangleq \begin{cases} A_v & \text{if } X \equiv Y \\ \rho(Y) & \text{otherwise} \end{cases}$$

- “Failure-values” $\langle [P \ll_{\Delta} A_v].B \cdot \rho \rangle$ denote failure occurring when we cannot find a correct substitution θ on the free variables of P such that $\theta(P) \equiv A_v$; Failure-values are obtained during the computation when a matching failure occurs. It could (in principle) be caught by a suitable exception handler

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Values and Stores in iRho

- The new set of values is enriched by **locations**. Moreover we define the set of **global stores** *Store* (the symbol σ ranges over stores)

$A_v ::= \dots$ as in fRho \dots | $\underbrace{\mathcal{L}}_{\text{locations}}$ Imperative Values

- Stores are partial functions from the set \mathcal{L} of locations to the set of values

$$\sigma[\iota_1 \mapsto A_v](\iota_2) \triangleq \begin{cases} A_v & \text{if } \iota_1 \equiv \iota_2 \\ \sigma(\iota_2) & \text{otherwise} \end{cases}$$

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Natural Semantics for fRho

- We define a call-by-value **optimistic** operational semantics via a natural proof deduction system *à la* Gilles Kahn (induces quasi directly an “interpreter machine”)
- The purpose of the deduction system is to map every expression into a normal form, *i.e.* an irreducible term in weak head normal form. The present strategy is **call-by-value** since it does not work under plain abstractions (*i.e.* $P \rightarrow_{\Delta} A$)
- The present interpreter machine is **optimistic** since it gives a result if at least one computation does not produce a failure-value: of course other choices are possible, like *e.g.* a “pessimistic” machine which stops if at least one failure-value occurs

Natural Semantics (NS) in a Nutshell

- Variant of Plotkin's *Structured Operational Semantics* (SOS)
- The semantics induces quasi directly an **Interpreter**. **Judgments** are:

$$\frac{\text{premises}_i \quad i \geq 0}{\text{store} \cdot \text{env} \vdash \text{expr} \Downarrow_{\text{val}} \text{value} \cdot \text{store}'} \text{ (expr}\cdot\text{rule)}$$

$$\frac{\text{premises}_i \quad i \geq 0}{\text{store} \vdash \langle A_v \cdot A_v \rangle \Downarrow_{\text{call}} \text{value} \cdot \text{store}'} \text{ (appl}\cdot\text{case)}$$

$$\frac{\text{premises}_i \quad i \geq 0}{\text{store} \cdot \text{env} \vdash \langle A \cdot A_v \rangle \Downarrow_{\text{match}} \text{env}'} \text{ (patt}\cdot\text{case)}$$

Optimistic- vs. Pessimistic-machines

Our machine is **optimistic**, *i.e.* it does not abort computations if a matching failure occurs, recording that one computation goes wrong, and **hoping** that at least one computation succeeds. The choice of **killing** the computation once a failure-value is produced leads to a **pessimistic** machine

$$\frac{\dots}{\dots \vdash (3 \rightarrow 3, 4 \rightarrow 4) \quad 4 \Downarrow_{\text{val}} \underbrace{\langle [3 \ll 4].3 \cdot \emptyset \rangle, 4 \dots}_{\text{fail}+4}} \quad (\text{Opt})$$

$$\frac{\dots}{\dots \vdash (3 \rightarrow 3, 4 \rightarrow 4) \quad 4 \Downarrow_{\text{val}} \underbrace{\langle [3 \ll 4].3 \cdot \emptyset \rangle}_{\text{just fail}} \dots} \quad (\text{Pex})$$

A **pessimistic**-machine can be quite easily produced “trucking” an optimistic one by propagating failure-values

Just try before I tell you the full story ...

- Take the imperative term (types omitted)

$$A \triangleq \underbrace{(f(X, Y))}_{\text{pattern}} \rightarrow (3 \rightarrow (X := !Y)) !X \quad \underbrace{f(\text{ref } 3, \text{ref } 4)}_{\text{argument}}$$

- We evaluate this term in the empty store \emptyset and the empty environment \emptyset
- The result would be?

$$\emptyset \cdot \emptyset \vdash A \Downarrow_{\text{val}}$$

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$$\emptyset \cdot \emptyset \vdash A \Downarrow_{\text{val}} 4 \cdot [\iota_0 \mapsto 4,$$

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$$\emptyset \cdot \emptyset \vdash A \Downarrow_{\text{val}} 4 \cdot [\iota_0 \mapsto 4, \iota_1 \mapsto 4]$$

iRho

Natural Semantics

Natural Judgments in iRho

- The semantics is given in terms of three judgments

$$\sigma \cdot \rho \vdash A \quad \Downarrow_{\text{val}} \quad A_v \cdot \sigma'$$

$$\sigma \vdash \langle A_v \cdot B_v \rangle \quad \Downarrow_{\text{call}} \quad C_v \cdot \sigma'$$

$$\sigma \cdot \rho \vdash \langle A \cdot A_v \rangle \quad \Downarrow_{\text{match}} \quad \rho'$$

- The first judgment evaluates a term in iRho, the second apply one value to another producing a result value and a new store, and the last judgment updates a correct environment obtained by matching a term against a value

iRho:

↓val

\Downarrow_{val} : Application and Structures

$$\begin{array}{c} \sigma_0 \cdot \rho \vdash A \quad \Downarrow_{\text{val}} \quad A_v \cdot \sigma_1 \\ \sigma_1 \cdot \rho \vdash B \quad \Downarrow_{\text{val}} \quad B_v \cdot \sigma_2 \\ \sigma_2 \vdash \langle A_v \cdot B_v \rangle \quad \Downarrow_{\text{call}} \quad C_v \cdot \sigma_3 \\ \hline \sigma_0 \cdot \rho \vdash A B \quad \Downarrow_{\text{val}} \quad C_v \cdot \sigma_3 \end{array} \quad (\text{Red} - \rho_v)$$

\Downarrow_{val} : Application and Structures

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 \sigma_2 \quad \vdash \langle A_v \cdot B_v \rangle \quad \Downarrow_{\text{call}} \quad C_v \cdot \sigma_3 \\
 \hline
 \sigma_0 \cdot \rho \vdash A \ B \ \Downarrow_{\text{val}} \ C_v \cdot \sigma_3 \quad (\text{Red} - \rho_v)
 \end{array}$$

$$\begin{array}{c}
 \sigma_0 \cdot \rho \vdash A \ \Downarrow_{\text{val}} \ A_v \cdot \sigma_1 \\
 \sigma_1 \cdot \rho \vdash B \ \Downarrow_{\text{val}} \ B_v \cdot \sigma_2 \\
 \hline
 \sigma_0 \cdot \rho \vdash A, B \ \Downarrow_{\text{val}} \ A_v, B_v \cdot \sigma_2 \quad (\text{Red} - \text{Struct})
 \end{array}$$

\Downarrow_{val} : Functions, Values, Variables

$$\frac{\sigma \cdot \rho \vdash P \rightarrow_{\Delta} A}{\Downarrow_{\text{val}} \langle P \rightarrow_{\Delta} A \cdot \rho \rangle \cdot \sigma} \text{(Red-Fun)}$$

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$$\frac{}{\sigma \cdot \rho \vdash f \Downarrow_{\text{val}} f \cdot \sigma} \text{(Red-v)}$$

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$$\frac{}{\sigma \cdot \rho \vdash f \Downarrow_{\text{val}} f \cdot \sigma} \text{(Red-v)}$$

$$\frac{X \in \text{Dom}(\rho)}{\sigma \cdot \rho \vdash X \Downarrow_{\text{val}} \rho(X) \cdot \sigma} \text{(Red-Var)}$$

\Downarrow_{val} : Referencing, Dereferencing, Assignment

$$\frac{\sigma_0 \cdot \rho \vdash A \Downarrow_{\text{val}} A_v \cdot \sigma_1 \quad \iota \notin \text{Dom}(\sigma_1)}{\sigma_0 \cdot \rho \vdash \text{ref } A \Downarrow_{\text{val}} \iota \cdot \sigma_1[\iota \mapsto A_v]} \text{ (Red-ref)}$$

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$$\frac{\sigma_0 \cdot \rho \vdash A \Downarrow_{\text{val}} \iota \cdot \sigma_1 \quad \sigma_1 \cdot \rho \vdash B \Downarrow_{\text{val}} B_v \cdot \sigma_2}{\sigma_0 \cdot \rho \vdash A := B \Downarrow_{\text{val}} B_v \cdot \sigma_2[\iota \mapsto B_v]} \text{ (Red-:=)}$$

iRho:

↓call

\Downarrow_{call} : **FunOk, FunKO**

$$\frac{\begin{array}{l} \sigma_0 \cdot \rho_0 \vdash \langle P \cdot B_v \rangle \Downarrow_{\text{match}} \rho_1 \\ \sigma_0 \cdot \rho_1 \vdash A \Downarrow_{\text{val}} A_v \cdot \sigma_1 \end{array}}{\sigma_0 \vdash \langle \langle P:\Delta \rightarrow A \cdot \rho \rangle \cdot B_v \rangle \Downarrow_{\text{call}} A_v \cdot \sigma_1} \text{(Call-FunOk)}$$

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$$\frac{\begin{array}{l} \exists \rho_1. \sigma \cdot \rho_0 \vdash \langle P \cdot B_v \rangle \Downarrow_{\text{match}} \rho_1 \\ A_v \equiv \langle P:\Delta \rightarrow A \cdot \rho \rangle \end{array}}{\sigma \vdash \langle A_v \cdot B_v \rangle \Downarrow_{\text{call}} A_v \cdot \sigma} \text{(Call-FunKo)}$$

\Downarrow_{call} : Structure, Algebraic, and Wrong

$$\frac{\begin{array}{l} \sigma_0 \vdash \langle A_v \cdot C_v \rangle \Downarrow_{\text{call}} D_v \cdot \sigma_1 \\ \sigma_1 \vdash \langle B_v \cdot C_v \rangle \Downarrow_{\text{call}} E_v \cdot \sigma_2 \end{array}}{\sigma_0 \vdash \langle (A_v, B_v) \cdot C_v \rangle \Downarrow_{\text{call}} D_v, E_v \cdot \sigma_2} \text{ (Call-Struct)}$$

\Downarrow_{call} : Structure, Algebraic, and Wrong

$$\frac{\begin{array}{l} \sigma_0 \vdash \langle A_v \cdot C_v \rangle \Downarrow_{\text{call}} D_v \cdot \sigma_1 \\ \sigma_1 \vdash \langle B_v \cdot C_v \rangle \Downarrow_{\text{call}} E_v \cdot \sigma_2 \end{array}}{\sigma_0 \vdash \langle (A_v, B_v) \cdot C_v \rangle \Downarrow_{\text{call}} D_v, E_v \cdot \sigma_2} \text{ (Call-Struct)}$$

$$\frac{}{\sigma \vdash \langle f \bar{A}_v \cdot B_v \rangle \Downarrow_{\text{call}} f \bar{A}_v B_v \cdot \sigma} \text{ (Call-Algbr)}$$

\Downarrow_{call} : Structure, Algebraic, and Wrong

$$\frac{\begin{array}{l} \sigma_0 \vdash \langle A_v \cdot C_v \rangle \Downarrow_{\text{call}} D_v \cdot \sigma_1 \\ \sigma_1 \vdash \langle B_v \cdot C_v \rangle \Downarrow_{\text{call}} E_v \cdot \sigma_2 \end{array}}{\sigma_0 \vdash \langle (A_v, B_v) \cdot C_v \rangle \Downarrow_{\text{call}} D_v, E_v \cdot \sigma_2} \text{ (Call-Struct)}$$

$$\frac{}{\sigma \vdash \langle f \bar{A}_v \cdot B_v \rangle \Downarrow_{\text{call}} f \bar{A}_v B_v \cdot \sigma} \text{ (Call-Algbr)}$$

$$\frac{A_v \equiv \langle [P \ll_{\Delta} B_v]. A \cdot \rho \rangle}{\sigma \vdash \langle A_v \cdot C_v \rangle \Downarrow_{\text{call}} A_v \cdot \sigma} \text{ (Call-Wrong)}$$

iRho:

↓match

$\Downarrow_{\text{match}}$: Variables and Constants

$$\frac{}{\sigma \cdot \rho \vdash \langle f \cdot f \rangle \Downarrow_{\text{match}} \rho} \text{(Match-Const)}$$

$\Downarrow_{\text{match}}$: Variables and Constants

$$\frac{}{\sigma \cdot \rho \vdash \langle f \cdot f \rangle \Downarrow_{\text{match}} \rho} \text{ (Match-Const)}$$

$$\frac{}{\sigma \cdot \rho \vdash \langle X \cdot A_v \rangle \Downarrow_{\text{match}} \rho[X \mapsto A_v]} \text{ (Match-Var)}$$

$\Downarrow_{\text{match}}$: Structures and References

Let $\star \in \{\bullet, ,\}$

$$\sigma \cdot \rho_0 \vdash \langle A \cdot A_v \rangle \Downarrow_{\text{match}} \rho_1$$

$$\sigma \cdot \rho_1 \vdash \langle B \cdot B_v \rangle \Downarrow_{\text{match}} \rho_2$$

$$\frac{\sigma \cdot \rho_0 \vdash \langle A \cdot A_v \rangle \Downarrow_{\text{match}} \rho_1 \quad \sigma \cdot \rho_1 \vdash \langle B \cdot B_v \rangle \Downarrow_{\text{match}} \rho_2}{\sigma \cdot \rho_0 \vdash \langle A \star B \cdot A_v \star B_v \rangle \Downarrow_{\text{match}} \rho_2} \text{(Match-Pair)}$$

$\Downarrow_{\text{match}}$: Structures and References

Let $\star \in \{\bullet, ,\}$

$$\frac{\begin{array}{l} \sigma \cdot \rho_0 \vdash \langle A \cdot A_v \rangle \Downarrow_{\text{match}} \rho_1 \\ \sigma \cdot \rho_1 \vdash \langle B \cdot B_v \rangle \Downarrow_{\text{match}} \rho_2 \end{array}}{\sigma \cdot \rho_0 \vdash \langle A \star B \cdot A_v \star B_v \rangle \Downarrow_{\text{match}} \rho_2} \text{ (Match-Pair)}$$

$$\frac{\begin{array}{l} \iota \in \text{Dom}(\sigma) \quad \sigma(\iota) \equiv A_v \\ \sigma \cdot \rho_0 \vdash \langle P \cdot A_v \rangle \Downarrow_{\text{match}} \rho_1 \end{array}}{\sigma \cdot \rho_0 \vdash \langle \text{ref } P \cdot \iota \rangle \Downarrow_{\text{match}} \rho_1} \text{ (Match-Ref)}$$

Remark: About Linearity

This choice induces also a modification in the classical syntactic pattern matching algorithm, since we “hide” the first binding in favor of the second one. The classical syntactic pattern matching algorithm **forces** both occurrences to be matchable with the **same** value. Both solutions are presented in the table below:

patt \ll term	hide/Scheme	force/ML
$f(X, X) \ll f(3, 4)$	$\rho \triangleq [X \mapsto 3][X \mapsto 4]$	$\nexists \theta$
$f(X, X) \ll f(4, 4)$	$\rho \triangleq [X \mapsto 4][X \mapsto 4]$	$\theta \triangleq \{4/X\}$

Linearity can be easily implemented (much bigger effort in the Coq code)

The Uncle Pat and the Lady Match Still at Work...



iRho:

Playing with NS

An Imperative Natural Derivation

Take the imperative term

$$(f(X, Y) \rightarrow (3 \rightarrow (X := !Y)) !X) f(\text{ref } 3, \text{ref } 4)$$

with $\sigma_0 \triangleq [\iota_0 \mapsto 3][\iota_1 \mapsto 4]$, and $\sigma_1 \triangleq \sigma_0[\iota_0 \mapsto 4]$, and $\rho_0 \triangleq [X \mapsto \iota_0][Y \mapsto \iota_1]$.

Just a Nice \LaTeX Exercise

$$\begin{array}{c}
 \begin{array}{c}
 \iota_0 \in \mathcal{D}om(\sigma_0) \\
 \sigma_0 \cdot \rho_0 \vdash X \Downarrow_{\text{val}} \iota_0 \cdot \sigma_0
 \end{array}
 \quad
 \begin{array}{c}
 \iota_1 \in \mathcal{D}om(\sigma_0) \\
 \sigma_0 \cdot \rho_0 \vdash Y \Downarrow_{\text{val}} \iota_1 \cdot \sigma_0 \\
 \hline
 \sigma_0 \cdot \rho_0 \vdash !Y \Downarrow_{\text{val}} 4 \cdot \sigma_0
 \end{array} \\
 \hline
 \sigma_0 \cdot \rho_0 \vdash X := !Y \Downarrow_{\text{val}} 4 \cdot \sigma_1 \\
 \sigma_0 \cdot \rho_0 \vdash \langle 3 \cdot 3 \rangle \Downarrow_{\text{match}} \rho_0 \\
 \hline
 \sigma_0 \vdash \langle \langle 3 \rightarrow X := !Y \cdot \rho_0 \rangle \cdot 3 \rangle \Downarrow_{\text{call}} 4 \cdot \sigma_1 \\
 \sigma_0 \cdot \rho_0 \vdash !X \Downarrow_{\text{val}} 3 \cdot \sigma_0 \\
 \sigma_0 \cdot \rho_0 \vdash 3 \rightarrow X := !Y \Downarrow_{\text{val}} \langle 3 \rightarrow X := !Y \cdot \rho_0 \rangle \cdot \sigma_0 \\
 \hline
 \sigma_0, \rho_0 \vdash (3 \rightarrow X := !Y) !X \Downarrow_{\text{call}} 4 \cdot \sigma_1 \\
 \sigma_0 \cdot \emptyset \vdash \langle f(X, Y) \cdot f(\iota_0, \iota_1) \rangle \Downarrow_{\text{match}} \rho_0 \\
 \hline
 \sigma_0 \cdot \emptyset \vdash \langle \langle (f(X, Y) \rightarrow (3 \rightarrow X := !Y) !X) \cdot \emptyset \rangle \cdot f(\iota_0, \iota_1) \rangle \Downarrow_{\text{call}} 4 \cdot \sigma_1 \\
 \emptyset \cdot \emptyset \vdash f(\text{ref } 3, \text{ref } 4) \Downarrow_{\text{val}} f(\iota_0, \iota_1) \cdot \sigma_0 \\
 \emptyset \cdot \emptyset \vdash (f(X, Y) \rightarrow (3 \rightarrow X := !Y) !X) \Downarrow_{\text{val}} \langle (f(X, Y) \rightarrow (3 \rightarrow X := !Y) !X) \cdot \emptyset \rangle \cdot \emptyset \\
 \hline
 \emptyset \cdot \emptyset \vdash (f(X, Y) \rightarrow (3 \rightarrow X := !Y) !X) f(\text{ref } 3, \text{ref } 4) \Downarrow_{\text{val}} 4 \cdot \sigma_1
 \end{array}$$

Aliases

let $P \ll A$ in B	$\triangleq (P \rightarrow B) A$
$\iff A$ then B else C	$\triangleq (\text{true} \rightarrow B, \text{false} \rightarrow C) A$
neg	$\triangleq (\text{true} \rightarrow \text{false}, \text{false} \rightarrow \text{true})$
$A; B$	$\triangleq (X \rightarrow B) A \quad X \notin \mathcal{FVar}(B)$
$(X_1, \dots, X_n) := (A_1, \dots, A_n)$	$\triangleq X_1 := A_1; \dots; X_n := A_n$
$!A$	$\triangleq (\text{ref } X \rightarrow X) A$

Aliases

let $P \ll A$ in B	$\triangleq (P \rightarrow B) A$
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$(X_1, \dots, X_n) := (A_1, \dots, A_n)$	$\triangleq X_1 := A_1; \dots; X_n := A_n$
$!A$	$\triangleq (\text{ref } X \rightarrow X) A$

Very good, dereferencing is just sugar!

iRho:

iExamples

Computing a Negation Normal Form

This function is used in implementing *decision procedures*, present in almost all model checkers. The processed input is a implication-free languages of formulas with generating grammar:

$$\phi ::= p \mid \text{and}(\phi, \phi) \mid \text{or}(\phi, \phi) \mid \text{not}(\phi)$$

We present two imperative encodings: in the first, the function is shared via a pointer and recursion is achieved via dereferencing. In the second, formulas are shared too with back-pointers to shared-subtrees. Type decorations are omitted

Imperative, I

This imperative encoding uses a variable SELF which contains a pointer to the recursive code: here the recursion is achieved directly via pointer dereferencing, assignment and classical imperative fixed-point in order to implement recursion. Given the constant dummy, the function nnf1 is defined as

$$\text{nnf1} \triangleq \left(\begin{array}{ll} p & \rightarrow p, \\ \text{not}(\text{not}(X)) & \rightarrow \text{!SELF}(X), \\ \text{not}(\text{or}(X, Y)) & \rightarrow \text{and}(\text{!SELF}(\text{not}(X)), \text{!SELF}(\text{not}(Y))), \\ \text{not}(\text{and}(X, Y)) & \rightarrow \text{or}(\text{!SELF}(\text{not}(X)), \text{!SELF}(\text{not}(Y))), \\ \text{and}(X, Y) & \rightarrow \text{and}(\text{!SELF}(X), \text{!SELF}(Y)), \\ \text{or}(X, Y) & \rightarrow \text{or}(\text{!SELF}(X), \text{!SELF}(Y)) \end{array} \right)$$

and the imperative encoding is:

```
let SELF << ref dummy in let NNF << nnf1 in SELF := NNF; NNF( $\phi$ )
```

Imperative-with-Sharing, IS

This encoding uses a variable SELF which contains a pointer to the recursive code and a flag-pointer to a boolean value associated to each node: all flag-pointers are initially set to false; each time we scan a (possibly) shared-formulas we set the corresponding flag-pointer to true. The grammar of shared-formulas is as follows:

$$\text{bool} ::= \text{true} \mid \text{false}$$
$$\text{flag} ::= \text{bool ref}$$
$$\psi ::= \text{ref } \phi$$
$$\phi ::= p \mid \text{and}(\text{flag}, \psi, \psi) \mid \text{or}(\text{flag}, \psi, \psi) \mid \text{not}(\text{flag}, \psi)$$

Imperative-with-Sharing, IS

Given the constant dummy, the structure nnf2 is defined as follows:

p	\rightarrow	$p,$
$\text{not}(B_1, \text{ref not}(B_2, X))$	\rightarrow	$! \text{SELF}(!X),$
$\text{not}(B_1, \text{ref or}(B_2, X, Y))$	\rightarrow	$\text{and}(\text{ref false}, ! \text{SELF}(\text{ref not}(\text{ref false}, X)),$ $! \text{SELF}(\text{ref not}(\text{ref false}, Y))),$
$\text{not}(B_1, \text{ref and}(B_2, X, Y))$	\rightarrow	$\text{or}(\text{ref false}, ! \text{SELF}(\text{ref not}(\text{ref false}, X)),$ $! \text{SELF}(\text{ref not}(\text{ref false}, Y))),$
$\text{and}(B, X, Y)$	\rightarrow	$\iff (\text{neg ref } B)$ $\text{then } (B, X, Y) := (\text{true}, ! \text{SELF}(!X), ! \text{SELF}(!Y))$ $\text{else } \text{and}(B, X, Y),$
$\text{or}(B, X, Y)$	\rightarrow	$\iff (\text{neg ref } B)$ $\text{then } (B, X, Y) := (\text{true}, ! \text{SELF}(!X), ! \text{SELF}(!Y))$ $\text{else } \text{or}(B, X, Y)$

and the imperative encoding is:

$\text{let SELF} \ll \text{ref dummy in let NNF} \ll \text{nnf2 in SELF} := \text{NNF}; \text{NNF}(\psi)$

iRho

Types

Why a type system?

- Our type discipline assigns a semantical meaning to iRho-programs by type-checking and hence, allows to catch some error before run-time
- The type system is powerful enough to ensure a type consistency, and to give a type to a rich collection of interesting examples, namely decision procedures, meaningful objects, fixed-points, term rewriting systems, *etc*
- This type system is, in principle, suitable to be extended with a *subtyping* relation, or with *bounded-polymorphism*, to capture the behavior of *structures-as-objects*, and object-oriented features

What's new in this type system

- The main novelty, with respect to previous type systems for the (functional) fRho is that term-structures can have different types, *i.e.*

$$\frac{\Gamma \vdash_A A : \tau_1 \quad \Gamma \vdash_A B : \tau_2}{\Gamma \vdash_A A, B : \tau_1 \wedge \tau_2} \text{ (Term-Struct)}$$

- The new kind of type $\tau_1 \wedge \tau_2$ is suitable for heterogeneous (non-commutative) structures, like lists, ordered sets, or objects
- More flexible type discipline, where the structure-type $\tau_1 \wedge \tau_2$ reflects the implicit non-commutative property of “,” in the term “ A, B ”, *i.e.* “ A, B ” does not behave necessarily as “ B, A ”
- More expressiveness *w.r.t.* previous typing disciplines on the fRho, in the sense that it gives a type to terms that will not be stuck at run-time, but it complicates the metatheory and the mechanical proof development

Type Judgments

Recall Types and Contexts

$$\tau ::= \mathbf{b} \mid \tau \rightarrow \tau \mid \tau \wedge \tau \mid \tau \text{ ref}$$
$$\Gamma ::= \emptyset \mid \Gamma, X:\tau \mid \Gamma, f:\tau$$

The Type Judgments

$$\Gamma \vdash_{\Gamma} \text{ok} \quad \Gamma \vdash_{\tau} \tau : \text{ok} \quad \Gamma \vdash_{\mathbf{v}} A_{\mathbf{v}} : \tau$$
$$\Gamma \vdash_{\rho} \rho : \Gamma' \quad \Gamma \vdash_{\sigma} \sigma : \Gamma' \quad \Gamma \vdash_{\mathbf{p}} P : \tau \quad \Gamma \vdash_{\mathbf{A}} A : \tau$$

i.e. well-typed contexts, types, values, environments, stores, patterns, and terms

iRho:

$$\Gamma \vdash_P P : \tau$$

\vdash_A : Pattern Rules

$$\frac{\Gamma_1, \alpha:\tau, \Gamma_2 \vdash_{\Gamma} ok}{\Gamma_1, \alpha:\tau, \Gamma_2 \vdash_{\mathcal{P}} \alpha : \tau} \text{ (Patt-Start)}$$

$$\frac{\Gamma \vdash_{\mathcal{P}} P_1 : \tau_1 \quad \Gamma \vdash_{\mathcal{P}} P_2 : \tau_2}{\Gamma \vdash_{\mathcal{P}} P_1, P_2 : \tau_1 \wedge \tau_2} \text{ (Patt-Struct)}$$

\vdash_A : Pattern Rules

$$\frac{\Gamma_1, \alpha:\tau, \Gamma_2 \vdash_{\Gamma} ok}{\Gamma_1, \alpha:\tau, \Gamma_2 \vdash_{\mathcal{P}} \alpha : \tau} \text{ (Patt-Start)}$$

$$\frac{\Gamma \vdash_{\mathcal{P}} P_1 : \tau_1 \quad \Gamma \vdash_{\mathcal{P}} P_2 : \tau_2}{\Gamma \vdash_{\mathcal{P}} P_1, P_2 : \tau_1 \wedge \tau_2} \text{ (Patt-Struct)}$$

$$\frac{\text{arr}(\tau_1) \equiv \tau_2 \rightarrow \tau_3 \quad \Gamma \vdash_{\mathcal{P}} f \overline{P} : \tau_1 \quad \Gamma \vdash_{\mathcal{P}} P : \tau_2}{\Gamma \vdash_{\mathcal{P}} f \overline{P} P : \tau_3} \text{ (Patt-Algbr)}$$

\vdash_A : Pattern Rules

$$\frac{\Gamma_1, \alpha:\tau, \Gamma_2 \vdash_{\Gamma} ok}{\Gamma_1, \alpha:\tau, \Gamma_2 \vdash_{\mathcal{P}} \alpha : \tau} \text{ (Patt-Start)}$$

$$\frac{\Gamma \vdash_{\mathcal{P}} P_1 : \tau_1 \quad \Gamma \vdash_{\mathcal{P}} P_2 : \tau_2}{\Gamma \vdash_{\mathcal{P}} P_1, P_2 : \tau_1 \wedge \tau_2} \text{ (Patt-Struct)}$$

$$\frac{\text{arr}(\tau_1) \equiv \tau_2 \rightarrow \tau_3 \quad \Gamma \vdash_{\mathcal{P}} f \overline{P} : \tau_1 \quad \Gamma \vdash_{\mathcal{P}} P : \tau_2}{\Gamma \vdash_{\mathcal{P}} f \overline{P} P : \tau_3} \text{ (Patt-Algbr)}$$

$$\text{arr}(\tau_1 \rightarrow \tau_2) \triangleq \tau_1 \rightarrow \tau_2$$

$$\text{arr}(\tau_1 \wedge \tau_2) \triangleq \tau_3 \rightarrow (\tau_4 \wedge \tau_5) \left\{ \begin{array}{l} \iff \text{arr}(\tau_1) \equiv \tau_3 \rightarrow \tau_4 \\ \text{and} \quad \text{arr}(\tau_2) \equiv \tau_3 \rightarrow \tau_5 \end{array} \right.$$

iRho:

$$\Gamma \vdash_A A : \tau$$

\vdash_A : Variables, Constants, and Structures

$$\frac{\Gamma_1, \alpha:\tau, \Gamma_2 \vdash_{\Gamma} ok}{\Gamma_1, \alpha:\tau, \Gamma_2 \vdash_A \alpha : \tau} \text{ (Term-Start)}$$

\vdash_A : Variables, Constants, and Structures

$$\frac{\Gamma_1, \alpha:\tau, \Gamma_2 \vdash_{\Gamma} ok}{\Gamma_1, \alpha:\tau, \Gamma_2 \vdash_A \alpha : \tau} \text{ (Term-Start)}$$

$$\frac{\Gamma \vdash_A A : \tau_1 \quad \Gamma \vdash_A B : \tau_2}{\Gamma \vdash_A A, B : \tau_1 \wedge \tau_2} \text{ (Term-Struct)}$$

\vdash_A : Abstraction and Application

$$\frac{\text{Dom}(\Delta) = \mathcal{FV}\text{ar}(P) \quad \Gamma, \Delta \vdash_P P : \tau_1 \quad \Gamma, \Delta \vdash_A A : \tau_2}{\Gamma \vdash_A P \rightarrow_{\Delta} A : \tau_1 \rightarrow \tau_2} \text{ (Term)}$$

\vdash_A : Abstraction and Application

$$\frac{\text{Dom}(\Delta) = \mathcal{FV}\text{ar}(P) \quad \Gamma, \Delta \vdash_P P : \tau_1 \quad \Gamma, \Delta \vdash_A A : \tau_2}{\Gamma \vdash_A P \rightarrow_{\Delta} A : \tau_1 \rightarrow \tau_2} \text{ (Term)}$$

$$\frac{\text{arr}(\tau_1) \equiv \tau_2 \rightarrow \tau_3 \quad \Gamma \vdash_A A : \tau_1 \quad \Gamma \vdash_A B : \tau_2}{\Gamma \vdash_A A B : \tau_3} \text{ (Term-App)}$$

\vdash_A : Assign, Referencing, Dereferencing

$$\frac{\Gamma \vdash_A A : \tau \text{ ref} \quad \Gamma \vdash_A B : \tau}{\Gamma \vdash_A A := B : \tau} \text{ (Term-Assign)}$$

\vdash_A : Assign, Referencing, Dereferencing

$$\frac{\Gamma \vdash_A A : \tau \text{ ref} \quad \Gamma \vdash_A B : \tau}{\Gamma \vdash_A A := B : \tau} \text{ (Term-Assign)}$$

$$\frac{\Gamma \vdash_A A : \tau}{\Gamma \vdash_A \text{ref } A : \tau \text{ ref}} \text{ (Term-Ref)}$$

\vdash_A : Assign, Referencing, Dereferencing

$$\frac{\Gamma \vdash_A A : \tau \text{ ref} \quad \Gamma \vdash_A B : \tau}{\Gamma \vdash_A A := B : \tau} \text{ (Term-Assign)}$$

$$\frac{\Gamma \vdash_A A : \tau}{\Gamma \vdash_A \text{ref } A : \tau \text{ ref}} \text{ (Term-Ref)}$$

$$\frac{\Gamma \vdash_A A : \tau \text{ ref}}{\Gamma \vdash_A !A : \tau} \text{ (Term-Deref)}$$

iRho:

\vdash_{Γ} \vdash_{τ} \vdash_{ν} \vdash_{ρ} \vdash_{σ}

Almost Routine (Hence Omitted)

Typing the Two Imperative Encodings

If **b** type of ϕ , and **b ref** type of ψ , and $\overset{n}{\wedge}\tau \triangleq \overbrace{\tau \wedge \cdots \wedge \tau}^n$

Typing the Two Imperative Encodings

If **b** type of ϕ , and **b ref** type of ψ , and $\overset{n}{\wedge}\tau \triangleq \overbrace{\tau \wedge \cdots \wedge \tau}^n$

let SELF \ll ref dummy in let NNF \ll nnf1 in SELF := NNF; NNF(ϕ)

let SELF \ll ref dummy in let NNF \ll nnf2 in SELF := NNF; NNF(ψ)

Typing the Two Imperative Encodings

If **b** type of ϕ , and **b ref** type of ψ , and $\overset{n}{\wedge}\tau \triangleq \overbrace{\tau \wedge \cdots \wedge \tau}^n$

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let SELF \ll ref dummy in let NNF \ll nnf2 in SELF := NNF; NNF(ψ)

$\Gamma_1 \triangleq$ dummy: $\overset{n}{\wedge}\tau_1$, SELF: $\overset{n}{\wedge}\tau_1$ ref (with $\tau_1 \triangleq \mathbf{b} \rightarrow \mathbf{b}$)

$\Gamma_2 \triangleq$ dummy: $\overset{n}{\wedge}\tau_2$, SELF: $\overset{n}{\wedge}\tau_2$ ref (with $\tau_2 \triangleq \mathbf{b} \text{ ref} \rightarrow \mathbf{b} \text{ ref}$)

Typing the Two Imperative Encodings

If **b** type of ϕ , and **b ref** type of ψ , and $\overset{n}{\bigwedge} \tau \triangleq \overbrace{\tau \wedge \cdots \wedge \tau}^n$

let SELF \ll ref dummy in let NNF \ll nnf1 in SELF := NNF; NNF(ϕ)

let SELF \ll ref dummy in let NNF \ll nnf2 in SELF := NNF; NNF(ψ)

$\Gamma_1 \triangleq$ dummy: $\overset{n}{\bigwedge} \tau_1$, SELF: $\overset{n}{\bigwedge} \tau_1$ ref (with $\tau_1 \triangleq \mathbf{b} \rightarrow \mathbf{b}$)

$\Gamma_2 \triangleq$ dummy: $\overset{n}{\bigwedge} \tau_2$, SELF: $\overset{n}{\bigwedge} \tau_2$ ref (with $\tau_2 \triangleq \mathbf{b} \text{ ref} \rightarrow \mathbf{b} \text{ ref}$)

(I) $\Gamma_1, X: \overset{n}{\bigwedge} \tau_1, \text{NNF}: \overset{n}{\bigwedge} \tau_1 \vdash \text{NNF}(\phi) : \overset{n}{\bigwedge} \mathbf{b}$

(IS) $\Gamma_2, X: \overset{n}{\bigwedge} \tau_2, \text{NNF}: \overset{n}{\bigwedge} \tau_2 \vdash \text{NNF}(\psi) : \overset{n}{\bigwedge} \mathbf{b} \text{ ref}$

iRho:

Properties

Properties ($\checkmark =$ proved by the proof assistant Coq)

(Determinism) \checkmark If $\sigma \cdot \rho \vdash A \Downarrow_{\text{val}} A'_v \cdot \sigma'$, and $\sigma \cdot \rho \vdash A \Downarrow_{\text{val}} A''_v \cdot \sigma''$, then $A'_v \equiv A''_v$, and $\sigma' \equiv \sigma''$;

(Unique Type) \checkmark If $\Gamma \vdash_A A : \tau$, then τ is unique;

(Coherence) \checkmark $\sigma \cdot \rho \vdash_{\text{coh}} \Gamma$ if there exist two sub-contexts Γ_1 , and Γ_2 , such that $\Gamma_1, \Gamma_2 \equiv \Gamma$, and $\Gamma \vdash_{\sigma} \sigma : \Gamma_1$, and $\Gamma \vdash_{\rho} \rho : \Gamma_2$;

(Subject-reduction) \checkmark If $\emptyset \vdash_A A : \tau$, and $\emptyset \cdot \emptyset \vdash A \Downarrow_{\text{val}} A_v \cdot \sigma$, then there exists Γ' which extend Γ , such that $\Gamma' \vdash_{\sigma} \sigma : \text{ok}$, and $\Gamma' \vdash_v A_v : \tau$.

(Type-soundness) If $\emptyset \vdash_A A : \tau$, then $\emptyset \cdot \emptyset \vdash A \Downarrow_{\text{call}} A_v$;

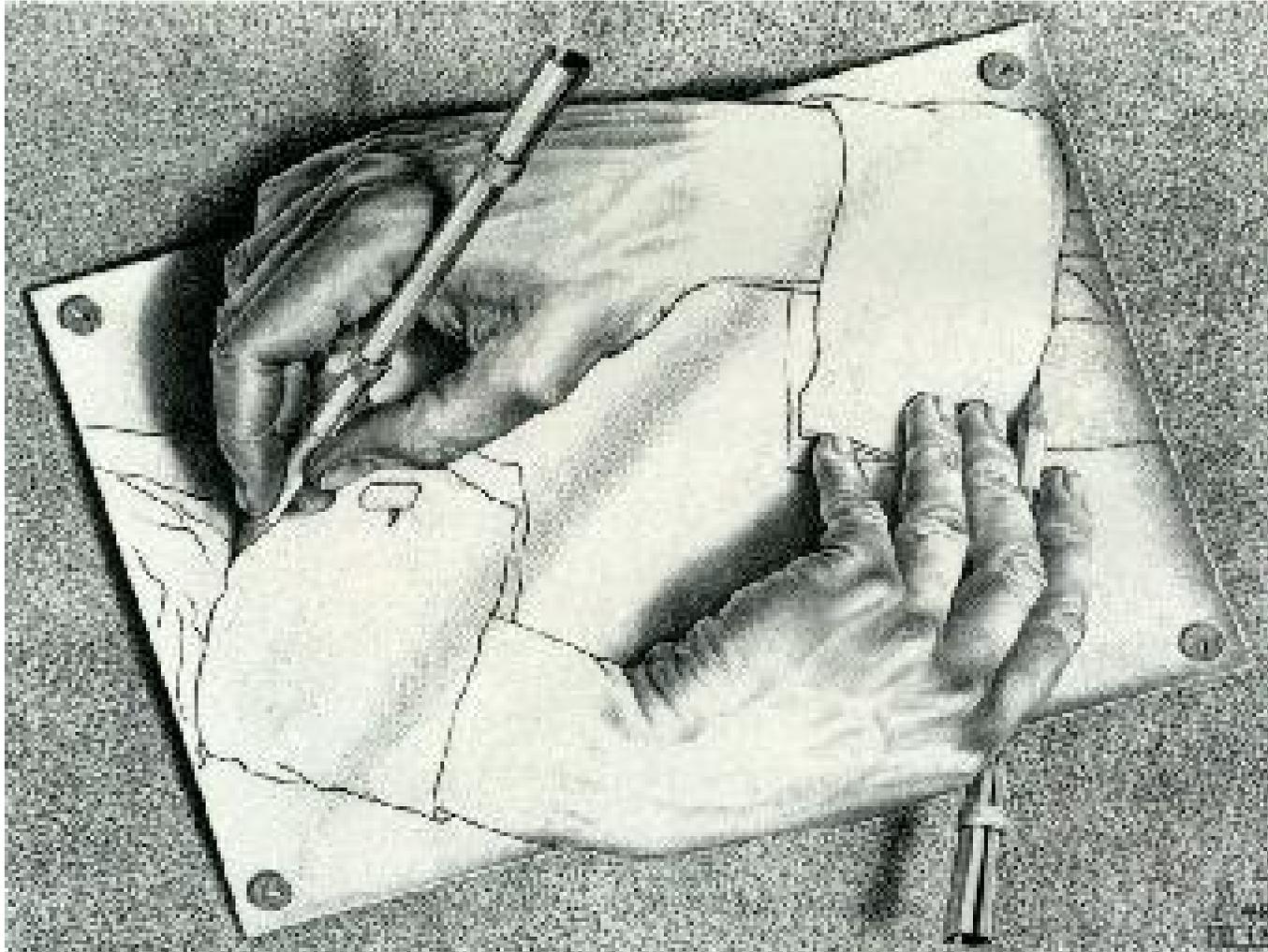
(Type-reconstruction) It is decidable if, for a given τ , is it true that $\emptyset \vdash_A A : \tau$;

(Type-checking) It is decidable if there a type τ such that $\emptyset \vdash_A A : \tau$.

Conclusion

- A special emphasis has been put in our work on the design of the static/dynamic semantics. We always had in mind the main objectives of a conscious implementor
 - ★ a “sound” machine (the interpreter)
 - ★ a “sound” type system (the type checker), such that
“well typed programs do not go wrong” [Milner],
 - ★ both semantics being suitable to be specified with nice mathematics,
 - ★ to be implemented with high-level programming languages,
 - ★ and to be certified with modern and semi-automatic theorem provers, like Coq
- The paper is quasi a “technical manual” of the softwares (2)
- See `imprho.ps`, and `imprho.scm`, and `TypedImpRho.v`

DIMPRO



The explicit rewriting calculus



Lists in the λ -calculus vs in the ρ -calculus

	λ -calculus	ρ -calculus
cons	$\lambda XYZ.ZXY$	$X \rightarrow Y \rightarrow \text{Cons}(X Y)$
car	$\lambda Z.Z(\lambda XY.X)$	$\text{Cons}(X Y) \rightarrow X$
cdr	$\lambda Z.Z(\lambda XY.Y)$	$\text{Cons}(X Y) \rightarrow Y$

Run Time errors in O'Caml

```
#let car l = match l with  
  x::m -> x;;
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Run Time errors in O'Cam1

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Exception: Match_failure ("", 12, 42).
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Exception: Match_failure ("", 12, 42).
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#let car l = match l with  
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  |x::m -> x;;
```

↳ Need to deal with error “by hand”

Errors in the ρ -calculus

$$car \triangleq \text{Cons}(X, Y) \rightarrow X$$

$$\begin{aligned} &(\text{Cons}(X, Y) \rightarrow X) \text{ Empty} \\ &\quad \mapsto_{\rho} [\text{Cons}(X, Y) \ll \text{Empty}]X \end{aligned}$$

Errors in the ρ -calculus

Checking if two persons are brothers (*i.e.* they have the same father):

$\text{Brother}(\text{Person}(\text{Name}(X), \text{Father}(Z)), \text{Person}(\text{Name}(Y), \text{Father}(Z))) \rightarrow \text{tt}$

Errors in the ρ -calculus

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In plain ρ -calculus when we apply the rule to the term

$\text{Brother}(\text{Person}(\text{Name}(\text{Liz}), \text{Father}(\text{John})), \text{Person}(\text{Name}(\text{Bob}), \text{Father}(\text{Jim})))$

we obtain as result the term

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$[\text{Brother}(\text{Person}(\text{Name}(X), \text{Father}(Z)), \text{Person}(\text{Name}(Y), \text{Father}(Z))) \ll \text{Brother}(\text{Person}(\text{Name}(\text{Liz}), \text{Father}(\text{John})), \text{Person}(\text{Name}(\text{Bob}), \text{Father}(\text{Jim})))]$ tt

Errors in the ρ -calculus

Checking if two persons are brothers (*i.e.* they have the same father):

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while in ρ_x -calculus the result is

$(Z \ll \text{John} \wedge Z \ll \text{Jim})$ tt

How to represent programs

- Pattern Matching (possibly non-linear).
- Typed Recursion and Strategies ...to put link
- Imperative features ...to put link

Explicit constraint handling

What for?

- to implement the ρ -calculus [Rogue:Stump]

Explicit constraint handling

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- to have a precise control over matching and constraints. Better deal of errors.

Explicit constraint handling

What for?

- to implement the ρ -calculus [Rogue:Stump]
- to represent proof-terms of rewriting derivation [Nguyen]
- to have a precise control over matching and constraints. Better deal of errors.

How?

- by making explicit matching computations
- by making explicit application of substitutions

Explicit matching decomposition

- Decompose functional symbols.
- Decompose the structure “;”.
- Do not decompose: Abstraction and Application.

Constraint application

What about?

$$[X \ll a \wedge a \ll b]X$$

Constraint application

What about?

$$[X \ll a \wedge a \ll b]X$$

→ Do not apply constraint without solution

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From constraints to substitutions

$$[X \ll A \wedge \underbrace{\hspace{2cm}}_c]B$$

Constraint application

What about?

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↳ Do not apply constraint without solution

From constraints to substitutions

$$[X \ll A \wedge \underbrace{\quad}_{\mathcal{C}}]B \longrightarrow [\underbrace{\quad}_{\mathcal{C}}]\{X \ll A\}B$$

if $X \notin \text{Dom}(\mathcal{C})$

Syntax of the ρ_x -calculus-calculus

Terms

A, B	$::=$	\mathcal{X}	(Variables)
		\mathcal{K}	(Constants)
		$A \rightarrow B$	(Abstraction)
		$A B$	(Functional application)
		$\mathcal{C} B$	(Constraint application)
		A, B	(Structure)
		$\{X \ll A\}B$	(Substitution application on terms)

Constraints

\mathcal{C}, \mathcal{D}	$::=$	$A \ll B$	(Match-equation)
		$\mathcal{C} \wedge \mathcal{D}$	(Conjunctions of constraints)
		$\{X \ll A\}\mathcal{C}$	(Substitution application on const.)

Semantics of the ρ_x -calculus-calculus

From rewrite rules to constraints

(ρ)
(δ)

$(A \rightarrow B) C$

$\rightarrow (A \ll C) B$

$(A; B) C$

$\rightarrow A C; B C$

Semantics of the ρ_x -calculus-calculus

From rewrite rules to constraints

$$\begin{array}{l} (\rho) \\ (\delta) \end{array} \begin{array}{l} (A \rightarrow B) C \\ (A; B) C \end{array} \quad \rightarrow \quad \begin{array}{l} (A \ll C) B \\ A C; B C \end{array}$$

From constraints to substitutions

Decomposition

$$\begin{array}{l} (\text{Decompose};) \\ (\text{Decompose}_{\mathcal{F}}) \\ (NGood) \end{array} \begin{array}{l} A_1; A_2 \ll B_1; B_2 \\ f(A_1 \dots A_n) \ll f(B_1 \dots B_n) \\ f(A_1 \dots A_n) \ll g(B_1 \dots B_n) \end{array} \quad \rightarrow \quad \begin{array}{l} A_1 \ll B_1 \wedge A_2 \ll B_2 \\ A_1 \ll B_1 \wedge \dots \wedge A_n \ll B_n \\ f(A_1 \dots A_n) \ll^{\text{ng}} g(B_1 \dots B_n) \end{array}$$

Semantics of the ρ_x -calculus-calculus

From rewrite rules to constraints

$$\begin{array}{lll} (\rho) & (A \rightarrow B) C & \rightarrow (A \ll C) B \\ (\delta) & (A; B) C & \rightarrow A C; B C \end{array}$$

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From constraints to substitutions

$$\begin{array}{lll} (\text{ToSubst}_{\wedge}) & (X \ll A \wedge \mathcal{C})B & \rightarrow (\mathcal{C})(\{X \ll A\}B) \\ & & \text{if } X \notin \text{Dom}(\mathcal{C}) \end{array}$$

Semantics of the ρ_x -calculus-calculus

From rewrite rules to constraints

(ρ)	$(A \rightarrow B) C$	\rightarrow	$(A \ll C) B$
(δ)	$(A; B) C$	\rightarrow	$A C; B C$

From constraints to substitutions

Decomposition

$(Decompose;)$	$A_1; A_2 \ll B_1; B_2$	\rightarrow	$A_1 \ll B_1 \wedge A_2 \ll B_2$
$(Decompose_{\mathcal{F}})$	$f(A_1 \dots A_n) \ll f(B_1 \dots B_n)$	\rightarrow	$A_1 \ll B_1 \wedge \dots \wedge A_n \ll B_n$
$(NGood)$	$f(A_1 \dots A_n) \ll g(B_1 \dots B_n)$	\rightarrow	$f(A_1 \dots A_n) \ll^{ng} g(B_1 \dots B_n)$

From constraints to substitutions

$(ToSubst_{\wedge})$	$(X \ll A \wedge \mathcal{C})B$	\rightarrow	$(\mathcal{C})(\{X \ll A\}B)$ if $X \notin Dom(\mathcal{C})$
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Substitution applications

$(Replace)$	$\{X \ll A\}X$	\rightarrow	A
$(Eliminate_{\mathcal{X}})$	$\{X \ll A\}Y$	\rightarrow	Y if $X \neq Y$
$(Eliminate_{\mathcal{F}})$	$\{X \ll A\}f$	\rightarrow	f
$(Share_{;})$	$\{X \ll A\}(B ; C)$	\rightarrow	$\{X \ll A\}B ; \{X \ll A\}C$
$(Share_{()})$	$\{X \ll A\}((B)C)$	\rightarrow	$(\{X \ll A\}B)\{X \ll A\}C$
$(Share_{\rightarrow})$	$\{X \ll A\}(B \rightarrow C)$	\rightarrow	$B \rightarrow \{X \ll A\}C$
$(Share_{\ll})$	$\{X \ll A\}(B \ll C)$	\rightarrow	$B \ll \{X \ll A\}C$
$(Share_{\wedge})$	$\{X \ll A\}(\mathcal{C} \wedge \mathcal{D})$	\rightarrow	$\{X \ll A\}\mathcal{C} \wedge \{X \ll A\}\mathcal{D}$

Example

$(\text{not}(\text{and}(X, Y)) \rightarrow \text{or}(\text{not}(X), \text{not}(Y))) \quad \text{not}(\text{and}(tt, ff))$

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$\vdash_{\rho} \quad \left[\text{not}(\text{and}(X, Y)) \ll \text{not}(\text{and}(\text{tt}, \text{ff})) \right] \text{or}(\text{not}(X), \text{not}(Y))$

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\vdash_{Subst} $\left[X \ll \text{tt} \right] \{Y \ll \text{ff}\} \text{or}(\text{not}(X), \text{not}(Y))$

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\mapsto_{ρ} $\left[\text{not}(\text{and}(X, Y)) \ll \text{not}(\text{and}(\text{tt}, \text{ff})) \right] \text{or}(\text{not}(X), \text{not}(Y))$
 $\mapsto_{Decompose}$ $\left[\text{and}(X, Y) \ll (\text{and}(\text{tt}, \text{ff})) \right] \text{or}(\text{not}(X), \text{not}(Y))$
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 \mapsto_{Subst} $\left[X \ll \text{tt} \right] \{Y \ll \text{ff}\} \text{or}(\text{not}(X), \text{not}(Y))$
 $\mapsto_{Propagation}^*$ $(\text{or}(\text{not}(\text{tt}), \text{not}(\text{ff})))$

➡ Need to compose, to able to combine substitutions, to limit term traversal.

Properties of the ρ_x -calculus-calculus

- Well behaved properties for substitution application.
- Termination of the constraint handling part.
- Confluence of the calculus.
- Conservativity
- Simulating the λ -calculus _{x}

The ρ_{xC} -calculus

$$(GI) \quad X \ll A \wedge Y \ll B \quad \rightarrow \quad X \ll A \wedge_g Y \ll B \\ \text{if } X \neq Y$$

The ρ_{xC} -calculus

$$(GI) \quad X \ll A \wedge Y \ll B \quad \rightarrow \quad X \ll A \wedge_g Y \ll B \\ \text{if } X \neq Y$$

$$(Good) \quad \mathcal{C}^g \wedge \mathcal{D}^g \quad \rightarrow \quad \mathcal{C}^g \wedge_g \mathcal{D}^g \\ \text{if } \text{Dom}(\mathcal{C}^g) \cap \text{Dom}(\mathcal{D}^g) = \emptyset$$

$$(ToSubst) \quad [\mathcal{C}^g \wedge \mathcal{D}]A \quad \rightarrow \quad [\mathcal{D}]\{\mathcal{C}^g\}A$$

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The ρ_{xC} -calculus

To deal with compositions: the ρ_{xC} -calculus

$$(Compose) \quad \{\vartheta\}(\{\varphi\}A) \rightarrow \{\{\vartheta\}\varphi\}(\{\vartheta\}A)$$

The ρ_{xC} -calculus

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$$(Compose) \quad \{\vartheta\}(\{\varphi\}A) \rightarrow \{\vartheta \wedge_{\mathbf{g}} \{\vartheta\}\varphi\} A$$

↳ Properties (confluence AC – CiME)

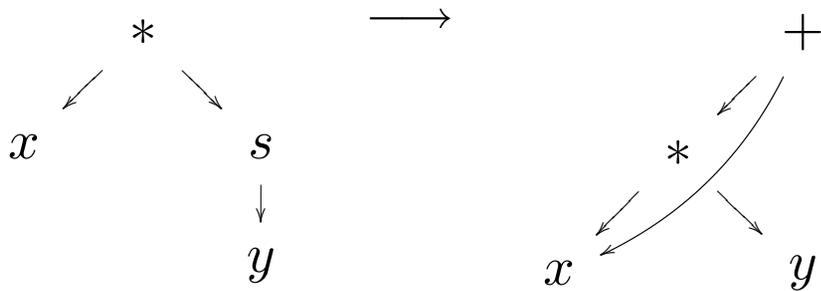
Graphs in the rewriting calculus



Graphs in the ρ -calculus

► improve efficiency

- ➔ save space (sharing subterms)
- ➔ save time (reduce only once)



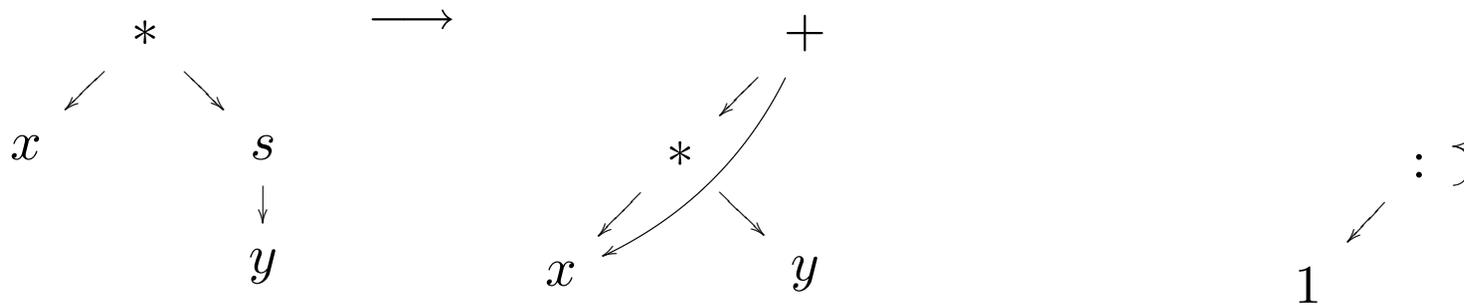
Graphs in the ρ -calculus

► improve efficiency

- ➔ save space (sharing subterms)
- ➔ save time (reduce only once)

► improve expressiveness

- ➔ infinite data structures



The syntax of ρ_g -calculus

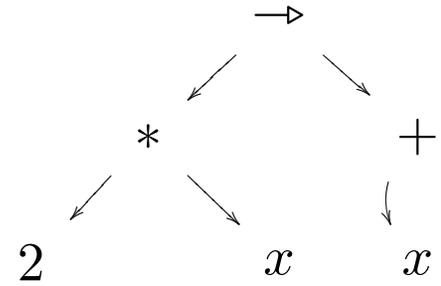
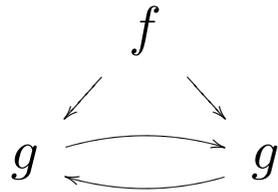
Terms

\mathcal{G}	$::=$	\mathcal{X}	(Variables)
		\mathcal{K}	(Constants)
		$\mathcal{G} \rightarrow \mathcal{G}$	(Abstraction)
		$\mathcal{G} \mathcal{G}$	(Functional application)
		\mathcal{G}, \mathcal{G}	(Structure)
		$\mathcal{G} [\mathcal{C}]$	(Constraint application)

Constraints

\mathcal{C}	$::=$	ϵ	(Empty constraint)
		$\mathcal{X} = \mathcal{G}$	(Recursion equation)
		$\mathcal{G} \ll \mathcal{G}$	(Match equation)
		\mathcal{C}, \mathcal{C}	(Conjunction of constraints)

Some ρ_g -terms



$$f(x, y) [x = g(y), y = g(x)]$$

$$(2 * x) \rightarrow ((y + y) [y = x])$$

The semantics of ρ_g -calculus

$$\begin{array}{l} (\rho) \quad (G_1 \rightarrow G_2) G_3 \quad \rightarrow_\rho \quad G_2 [G_1 \ll G_3] \\ \quad \quad (G_1 \rightarrow G_2) [E] G_3 \quad \rightarrow_\rho \quad G_2 [G_1 \ll G_3, E] \\ (\delta) \quad (G_1, G_2) G_3 \quad \rightarrow_\delta \quad G_1 G_3, G_2 G_3 \\ \quad \quad (G_1, G_2) [E] G_3 \quad \rightarrow_\delta \quad (G_1 G_3, G_2 G_3) [E] \end{array}$$

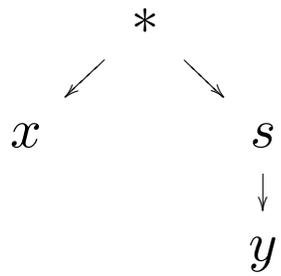
The semantics of ρ_g -calculus

$$\begin{array}{ll}
 (\rho) & (G_1 \rightarrow G_2) G_3 \quad \rightarrow_\rho \quad G_2 [G_1 \ll G_3] \\
 & (G_1 \rightarrow G_2) [E] G_3 \quad \rightarrow_\rho \quad G_2 [G_1 \ll G_3, E] \\
 (\delta) & (G_1, G_2) G_3 \quad \rightarrow_\delta \quad G_1 G_3, G_2 G_3 \\
 & (G_1, G_2) [E] G_3 \quad \rightarrow_\delta \quad (G_1 G_3, G_2 G_3) [E] \\
 (\textit{propagate}) & G_1 \ll (G_2 [E_2]) \quad \rightarrow_p \quad G_1 \ll G_2, E_2 \\
 (\textit{decompose}) & K(G_1, \dots, G_n) \ll K(G'_1, \dots, G'_n) \quad \rightarrow_{dk} \quad G_1 \ll G'_1, \dots, G_n \ll G'_n \\
 (\textit{eliminate}) & K \ll K, E \quad \rightarrow_e \quad E \\
 (\textit{solved}) & x \ll G, E \quad \rightarrow_s \quad x = G, E \quad \textit{if } x \notin \mathcal{DV}(E)
 \end{array}$$

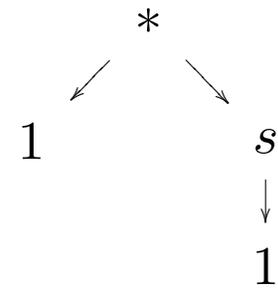
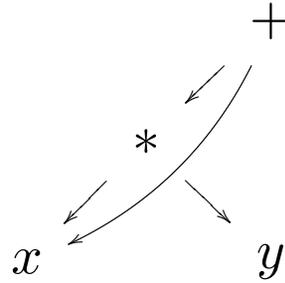
The semantics of ρ_g -calculus

(ρ)	$(G_1 \rightarrow G_2) G_3$	\rightarrow_ρ	$G_2 [G_1 \ll G_3]$
	$(G_1 \rightarrow G_2) [E] G_3$	\rightarrow_ρ	$G_2 [G_1 \ll G_3, E]$
(δ)	$(G_1, G_2) G_3$	\rightarrow_δ	$G_1 G_3, G_2 G_3$
	$(G_1, G_2) [E] G_3$	\rightarrow_δ	$(G_1 G_3, G_2 G_3) [E]$
<i>(propagate)</i>	$G_1 \ll (G_2 [E_2])$	\rightarrow_p	$G_1 \ll G_2, E_2$
<i>(decompose)</i>	$K(G_1, \dots, G_n) \ll K(G'_1, \dots, G'_n)$	\rightarrow_{dk}	$G_1 \ll G'_1, \dots, G_n \ll G'_n$
<i>(eliminate)</i>	$K \ll K, E$	\rightarrow_e	E
<i>(solved)</i>	$x \ll G, E$	\rightarrow_s	$x = G, E$ if $x \notin \mathcal{DV}(E)$
<i>(external sub)</i>	$\text{Ctx}[y] [y = G, E]$	\rightarrow_{es}	$\text{Ctx}[\mathcal{G}] [y = G, E]$
<i>(acyclic sub)</i>	$G [G_0 \lll \text{Ctx}[y], y = G_1, E]$	\rightarrow_{ac}	$G [G_0 \lll \text{Ctx}[G_1], y = G_1, E]$ if $x > y, \forall x \in \mathcal{FVar}(G_0)$ where $\lll \in \{=, \ll\}$
<i>(garbage)</i>	$G [E, x = G']$	\rightarrow_{gc}	$G [E]$ if $x \notin \mathcal{FVar}(E) \cup \mathcal{FVar}(G)$
	$G [\epsilon]$	\rightarrow_{gc}	G
<i>(black hole)</i>	$\text{Ctx}[x] [x =_o x, E]$	\rightarrow_{bh}	$\text{Ctx}[\bullet] [x =_o x, E]$
	$G [y = \text{Ctx}[x], x =_o x, E]$	\rightarrow_{bh}	$G [y = \text{Ctx}[\bullet], x =_o x, E]$ if $y > x$

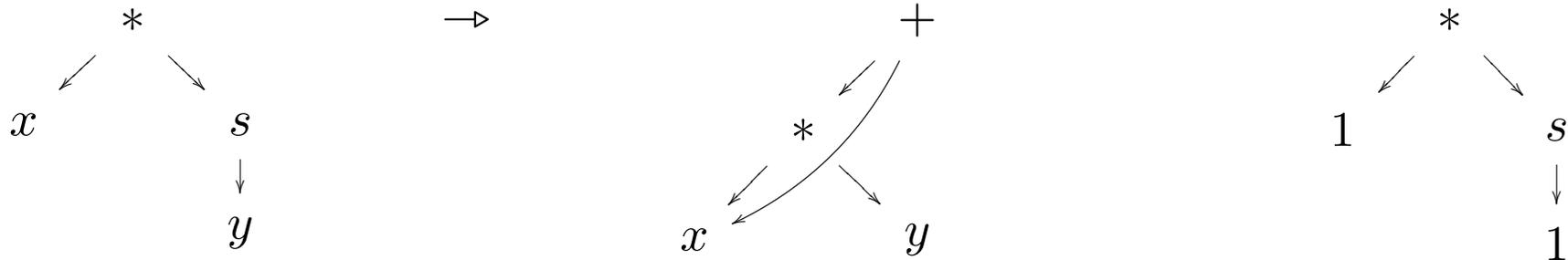
Example - Multiplication



\rightarrow

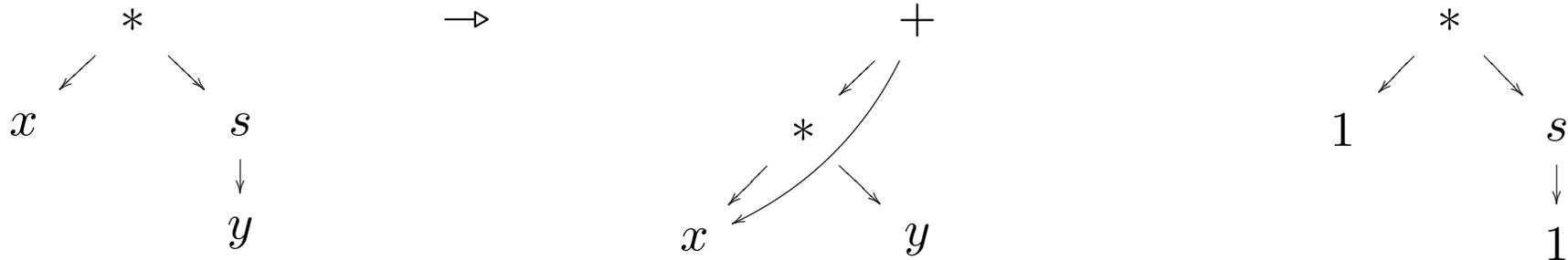


Example - Multiplication



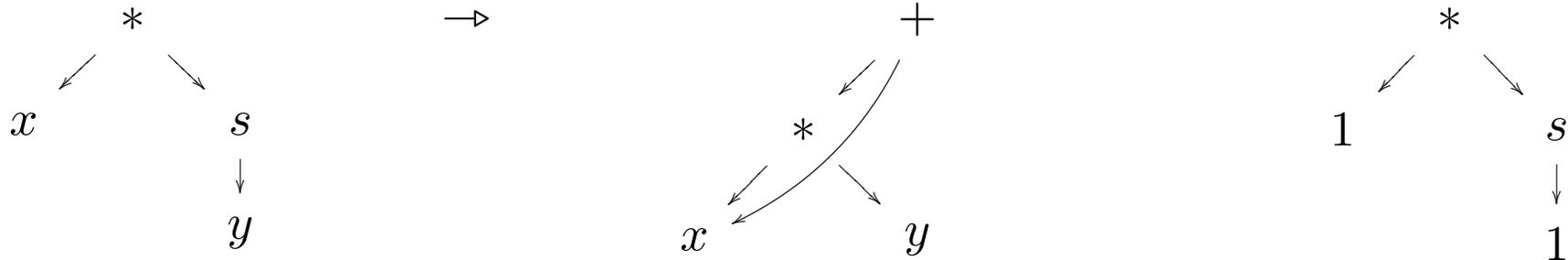
$$\begin{aligned}
 & (x * s(y) \rightarrow (x_1 * y + x_1 [x_1 = x])) (z * s(z) [z = 1]) \\
 \mapsto_{\rho} & x_1 * y + x_1 [x_1 = x] [x * s(y) \ll (z * s(z) [z = 1])] \\
 \mapsto_p & x_1 * y + x_1 [x_1 = x] [x * s(y) \ll z * s(z), z = 1]
 \end{aligned}$$

Example - Multiplication



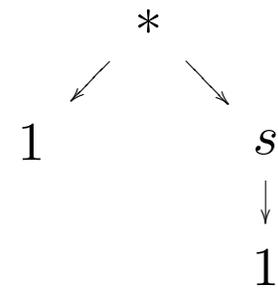
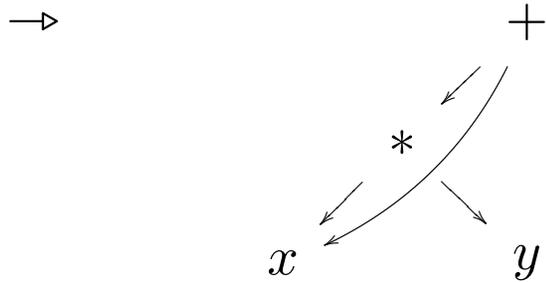
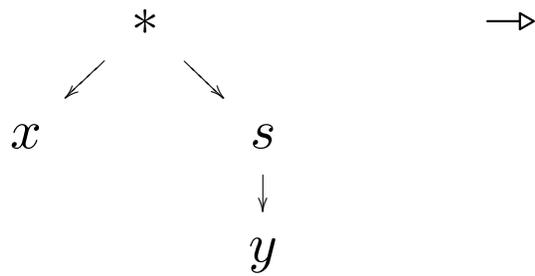
$$\begin{aligned}
 & (x * s(y) \rightarrow (x_1 * y + x_1 [x_1 = x])) (z * s(z) [z = 1]) \\
 \vdash_{\rho} & x_1 * y + x_1 [x_1 = x] [x * s(y) \ll (z * s(z) [z = 1])] \\
 \vdash_p & x_1 * y + x_1 [x_1 = x] [x * s(y) \ll z * s(z), z = 1] \\
 \vdash_{dk} & x_1 * y + x_1 [x_1 = x] [x \ll z, y \ll z, z = 1] \\
 \vdash_s & x_1 * y + x_1 [x_1 = x] [x = z, y = z, z = 1]
 \end{aligned}$$

Example - Multiplication

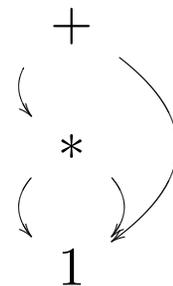


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 \mapsto_{dk} & x_1 * y + x_1 [x_1 = x] [x \ll z, y \ll z, z = 1] \\
 \mapsto_s & x_1 * y + x_1 [x_1 = x] [x = z, y = z, z = 1] \\
 \mapsto_{es} & (z * z + z) [x_1 = z] [x = z, y = z, z = 1] \\
 \mapsto_{gc} & (z * z + z) [x_1 = z] [z = 1] \\
 \mapsto_{gc} & (z * z + z) [z = 1]
 \end{aligned}$$

Example - Multiplication



- $(x * s(y) \rightarrow (x_1 * y + x_1 [x_1 = x])) (z * s(z) [z = 1])$
- $\vdash_{\rho} x_1 * y + x_1 [x_1 = x] [x * s(y) \ll (z * s(z) [z = 1])]$
- $\vdash_p x_1 * y + x_1 [x_1 = x] [x * s(y) \ll z * s(z), z = 1]$
- $\vdash_{dk} x_1 * y + x_1 [x_1 = x] [x \ll z, y \ll z, z = 1]$
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- $\vdash_{gc} (z * z + z) [z = 1]$



Example - Non-linearity

Success:

$$\begin{aligned} & f(x, x) [x = y] \ll f(a, a) \\ \vdash_{\rightarrow_{es}} & f(y, y) [x = y] \ll f(a, a) \\ \vdash_{\rightarrow_{gc}} & f(y, y) \ll f(a, a) \\ \vdash_{\rightarrow_{dk}} & y \ll a \quad (\text{by idempotency}) \\ \vdash_s & y = a \end{aligned}$$

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Failure:

$$\begin{aligned} & f(x, x) [x = y] \ll f(a, b) \\ \vdash_{\rightarrow_{es}} & f(y, y) [x = y] \ll f(a, b) \\ \vdash_{\rightarrow_{gc}} & f(y, y) \ll f(a, b) \\ \vdash_{\rightarrow_{dk}} & y \ll a, y \ll b \end{aligned}$$

ZOOM on matching theories



“Untyped” Matching theories I

Empty theory \mathbb{T}_\emptyset of equality (up to α -conversion)

$$\frac{\Vdash \mathcal{T}_1 = \mathcal{T}_2 \quad \Vdash \mathcal{T}_2 = \mathcal{T}_3}{\Vdash \mathcal{T}_1 = \mathcal{T}_3} (Trans)$$

$$\frac{\Vdash \mathcal{T}_1 = \mathcal{T}_2}{\Vdash \mathcal{T}_2 = \mathcal{T}_1} (Symm)$$

$$\frac{\Vdash \mathcal{T}_1 = \mathcal{T}_2}{\Vdash \mathcal{T}_3[\mathcal{T}_1]_p = \mathcal{T}_3[\mathcal{T}_2]_p} (Context)$$

$$\overline{\Vdash t = t} (Refl)$$

$\mathcal{T}_1[\mathcal{T}_2]_p$: term \mathcal{T}_1 with term \mathcal{T}_2 at position p

BACK

“Untyped” Matching theories II

Theory of *Associativity* $\mathbb{T}_{A(f)}$ (resp. *Commutativity* $\mathbb{T}_{C(f)}$) is defined as \mathbb{T}_\emptyset plus:

$$\overline{\Vdash f(f(\mathcal{T}_1, \mathcal{T}_2), \mathcal{T}_3) = f(\mathcal{T}_1, f(\mathcal{T}_2, \mathcal{T}_3))} \text{ (Assoc)}$$

$$\overline{\Vdash f(\mathcal{T}_1, \mathcal{T}_2) = f(\mathcal{T}_2, \mathcal{T}_1)} \text{ (Comm)}$$

BACK

“Untyped” Matching theories III

Theory of **Idempotency** $\mathbb{T}_{I(f)}$ is defined as \mathbb{T}_\emptyset plus the axiom

$$\overline{\Vdash f(\mathcal{T}, \mathcal{T}) = t} \text{ (Idem)}$$

Theory of **Neutral Element** $\mathbb{T}_{N(f^0)}$ is defined as \mathbb{T}_\emptyset plus

$$\overline{\Vdash f(0, \mathcal{T}) = \mathcal{T}} \text{ (0-Left)} \quad \overline{\Vdash f(\mathcal{T}, 0) = \mathcal{T}} \text{ (0-Right)}$$

BACK

“Untyped” Matching theories IV

The Theory of **Stuck** \mathbb{T}_{stk} is defined as $\mathbb{T}_{N(\text{stk})}$ plus the axioms

$$\frac{\forall \theta_1, \theta_2, \forall C, A\theta_2 \mapsto_{\text{pws}} C \Rightarrow C \neq P\theta_1}{\vdash [P \ll A]B = \text{stk}}$$

$$\overline{\vdash \text{stk } \mathcal{T} = \text{stk}}$$

Examples

$$\vdash [3 \ll 4]5 = \text{stk}$$

$$\not\vdash [3 \ll 3]5 = \text{stk}$$

$$\not\vdash [3 \ll \mathcal{X}]5 = \text{stk}$$

Detecting matching failures

BACK

“Untyped” Matching theories V

Theory of the **Lambda Calculus of Objects** $\mathbb{T}_{\lambda Obj}$ is obtained by considering the symbol “,” as associative and stk as its neutral element, *i.e.*:

$$\mathbb{T}_{\lambda Obj} = \mathbb{T}_{A(,)} \cup \mathbb{T}_{stk}$$

Theory of the **Object Calculus** $\mathbb{T}_{\zeta Obj}$ is obtained by considering the symbol “,” as associative and commutative and stk as its neutral element, *i.e.*:

$$\mathbb{T}_{\zeta Obj} = \mathbb{T}_{A(,)} \cup \mathbb{T}_{C(,)} \cup \mathbb{T}_{stk} = \mathbb{T}_{\lambda Obj} \cup \mathbb{T}_{C(,)}$$

THEORIES

RECORDS

The Matching Algorithm for \mathbb{T}_\emptyset

The matching substitution solving a matching equation can be computed by the following *matching reduction system*:

$$(Appl) \quad (\mathcal{T}_1 \ \mathcal{T}_2) \ll (\mathcal{T}_3 \ \mathcal{T}_4) \rightsquigarrow \mathcal{T}_1 \ll \mathcal{T}_3 \wedge \mathcal{T}_2 \ll \mathcal{T}_4$$

$$(Struct) \quad (\mathcal{T}_1, \mathcal{T}_2) \ll (\mathcal{T}_3, \mathcal{T}_4) \rightsquigarrow \mathcal{T}_1 \ll \mathcal{T}_3 \wedge \mathcal{T}_2 \ll \mathcal{T}_4$$

Example

$$f(\mathcal{X}, \mathcal{Y}) \ll f(a, b) \rightsquigarrow \mathcal{X} \ll a \wedge \mathcal{Y} \ll b$$

successful

$$f(\mathcal{X}, \mathcal{X}) \ll f(a, b) \rightsquigarrow \mathcal{X} \ll a \wedge \mathcal{Y} \ll b$$

unsuccessful

BACK

ZOOM on objects



The Lambda Calculus of Objects λObj

Abstract syntax

$M, N ::= c \mid X \mid \lambda X.M \mid MN \mid$

$\langle \rangle \mid \langle M \longleftarrow n = N \rangle \mid \langle M \longleftarrow + n = N \rangle \mid M \Leftarrow n \mid$

$Sel(M, m, N)$

Small-step semantics $(\longleftarrow * = \longleftarrow \text{ or } \longleftarrow +)$

(Beta) $(\lambda X.M) N \rightsquigarrow \{X/N\}M$

(Sel) $M \Leftarrow m \rightsquigarrow Sel(M, m, M)$

(Next) $Sel(\langle M \longleftarrow * n = N \rangle, m, P) \rightsquigarrow Sel(M, m, P) \quad (m \neq n)$

(Succ) $Sel(\langle M \longleftarrow * n = N \rangle, n, P) \rightsquigarrow NP$

Compiling λObj in ρ -calculus

$\llbracket c \rrbracket$	\triangleq	c
$\llbracket X \rrbracket$	\triangleq	X
$\llbracket \lambda X.M \rrbracket$	\triangleq	$X \rightarrow \llbracket M \rrbracket$
$\llbracket MN \rrbracket$	\triangleq	$\llbracket M \rrbracket \llbracket N \rrbracket$
$\llbracket \langle \rangle \rrbracket$	\triangleq	stk
$\llbracket \langle M \longleftarrow n = N \rangle \rrbracket$	\triangleq	$kill_n(\llbracket M \rrbracket), n \rightarrow \llbracket N \rrbracket$
$\llbracket \langle M \longleftarrow + n = N \rangle \rrbracket$	\triangleq	$\llbracket M \rrbracket, n \rightarrow \llbracket N \rrbracket$
$\llbracket M'' \leq m \rrbracket$	\triangleq	$\llbracket M \rrbracket . m \triangleq \llbracket M \rrbracket m \llbracket M \rrbracket$
$\llbracket Sel(M, m, N) \rrbracket$	\triangleq	$\llbracket M \rrbracket m \llbracket N \rrbracket$

Theorem: If $M \rightsquigarrow_{\lambda Obj} N$, then $\llbracket M \rrbracket \mapsto_{\rho \delta_{\mathbb{T}_{\lambda Obj}}} \llbracket N \rrbracket$.

Example with object update

ZOOM on Combinatory Reduction Systems



The *Combinatory Reduction Systems*

► The **syntax**: **abstraction** and **metavariables**

$$\mathcal{M}T_{CRS} ::= \mathcal{X} \mid [\mathcal{X}]\mathcal{M}T_{CRS} \mid \mathcal{F}(\mathcal{M}T_{CRS}, \dots, \mathcal{M}T_{CRS}) \mid \mathcal{Z}(\mathcal{M}T_{CRS}, \dots, \mathcal{M}T_{CRS})$$

The *Combinatory Reduction Systems*

► The **syntax**: **abstraction** and **metavariables**

$$MT_{CRS} ::= \mathcal{X} \mid [\mathcal{X}]MT_{CRS} \mid \mathcal{F}(MT_{CRS}, \dots, MT_{CRS}) \mid \mathcal{Z}(MT_{CRS}, \dots, MT_{CRS})$$

► The **set of rewrite rules** $\mathcal{R} = \{\dots, L \Rightarrow R, \dots\}$

- L and R are closed metaterms;
- L is of the form $f(A_1, \dots, A_n)$ with A_1, \dots, A_n metatermes;
- $MV(L) \supseteq MV(R)$;
- L is a **Pattern** : $\forall \omega \ L_{[Z(x_1, \dots, x_n)]_\omega}$ x_i bound and distinct.

The Combinatory Reduction Systems

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- $\mathcal{M}\mathcal{V}(L) \supseteq \mathcal{M}\mathcal{V}(R)$;
- L is a **Pattern** : $\forall \omega \ L_{[Z(x_1, \dots, x_n)]_\omega} \ x_i$ bound and distinct.

► Assignment : $\sigma = \{(Z_1, \xi_1), \dots, (Z_n, \xi_n)\}$ s.t. $Z_i \in \mathcal{M}\mathcal{V}(L), |Z_i| = |\xi_i|$

► Substitute : $\xi = \lambda x_1 \dots x_n. u$ s.t. u is a CRS-term

► Substitution at the **meta**-level.

[Back to encodings](#)

Translation examples

Abstraction:

$$[x]Z(x) \quad \rightsquigarrow \quad x \rightarrow Z x$$

Patterns:

$$L = [x]g([y]Z(x, y)) \quad \rightsquigarrow \quad \llbracket L \rrbracket = x \rightarrow g(y \rightarrow (Z x y))$$

Assignment into rho-substitution:

$$\sigma = \{Z, \lambda x.x\} \quad \rightsquigarrow \quad \llbracket \sigma \rrbracket = \{Z/x \rightarrow x\}$$

Rewrite rules into rho-terms:

Beta :

$$App([x]Z(x), Z') \Rightarrow Z(Z') \quad \rightsquigarrow \quad \llbracket Beta \rrbracket : App(x \rightarrow Z x, Z') \rightarrow Z Z'$$

Back to encodings

ZOOM on P^2 S



Lambda Calculi à la Church and Logics

- Lambda abstractions are decorated with types, e.g. $\lambda x:\sigma.M$
- Type Systems λ_i vs. Logic Systems \mathcal{L}_i via the well-known Curry-Howard Isomorphism *”proofs-as-(λ)-terms & propositions-as-types”*
- Each logical system \mathcal{L}_i correspond to a type system λ_i , and for every formula φ

$$\vdash_{\mathcal{L}_i} \varphi \implies \exists M. \Gamma \vdash_{\lambda_i} M : [\varphi]$$

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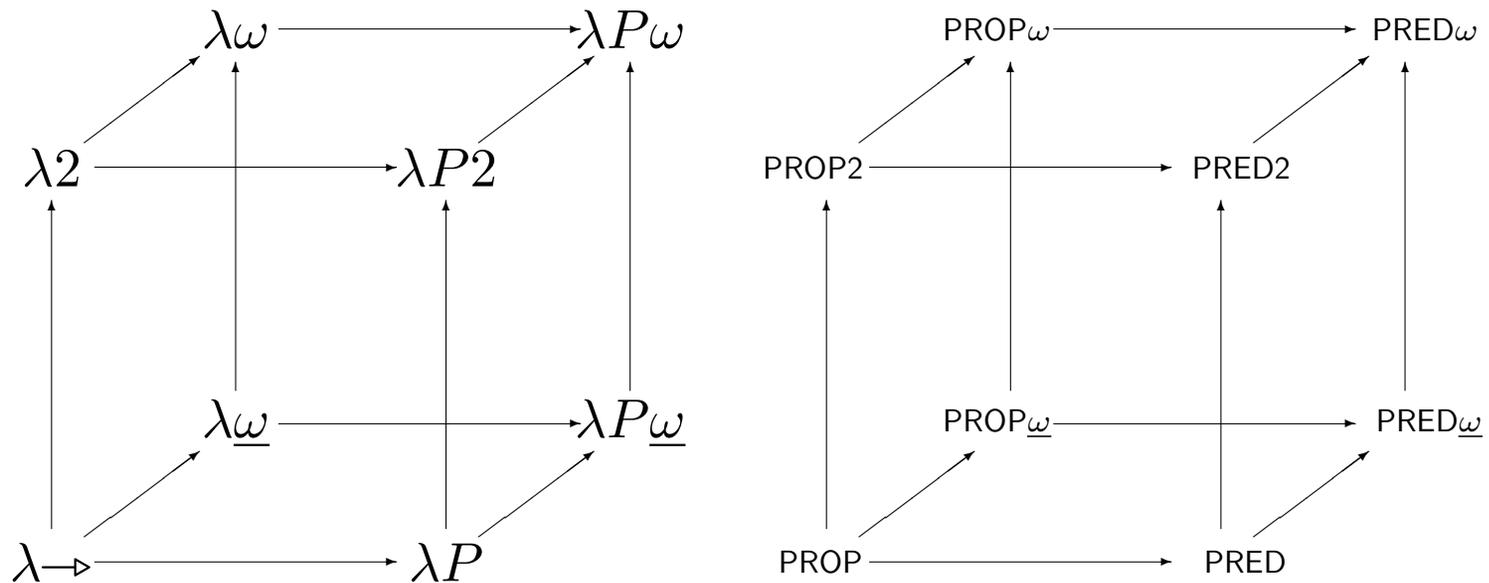
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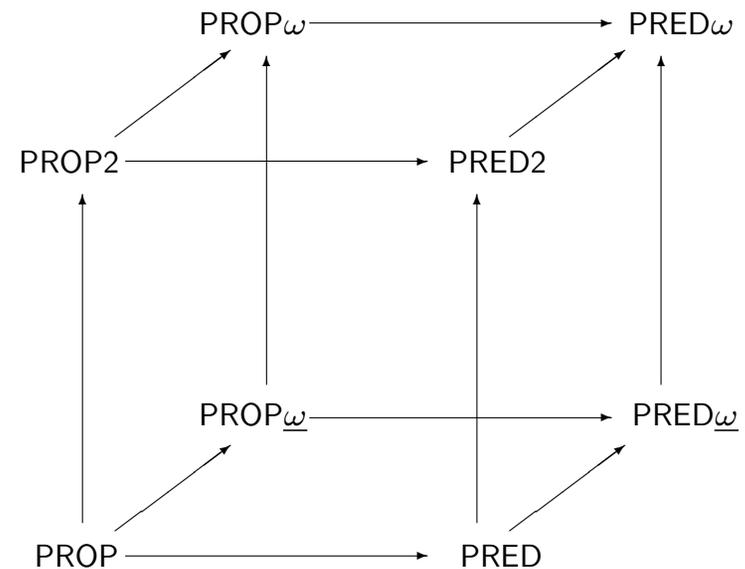
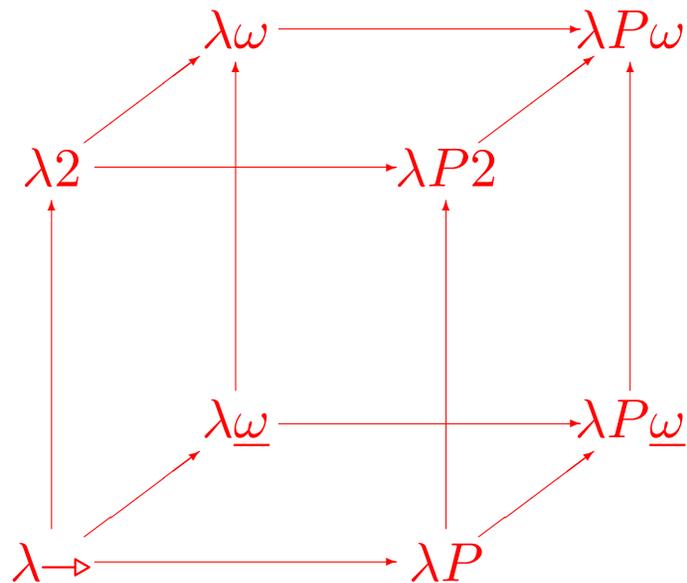
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- Subject Reduction Theorem as a correction criterion for cut-elimination **BACK**

The Famous Barendregt's λ -cube and its 8 logic systems



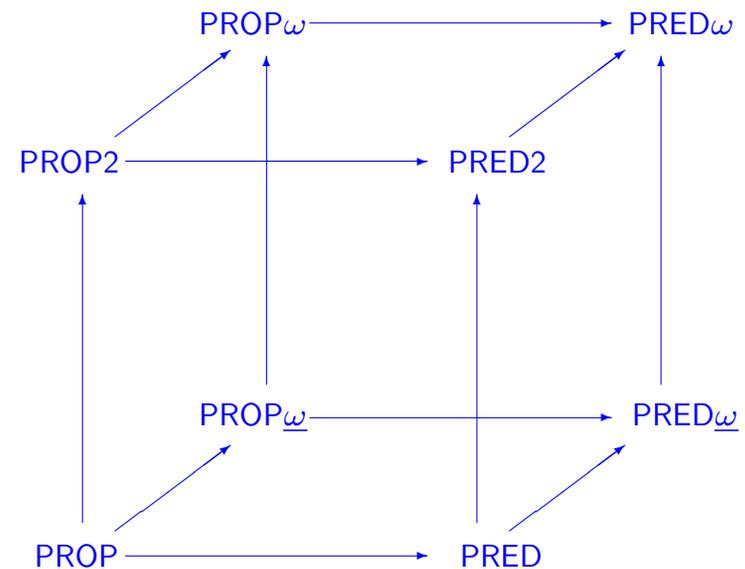
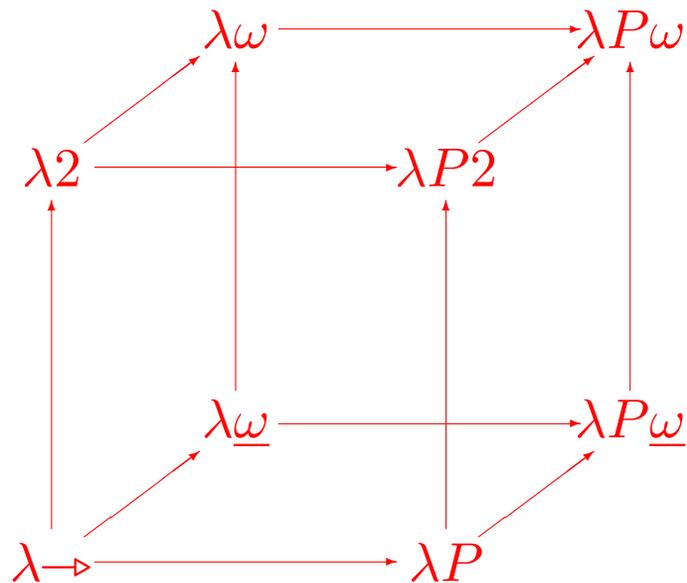
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PROP2	second-order PROP	PRED2	second-order PRED
PROP $\underline{\omega}$	weakly higher-order PROP	PRED $\underline{\omega}$	weakly higher-order PRED
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From Barendregt's λ -cube to Pure Type Systems (PTSs)

- Further generalization of various type systems invented independently by Berardi and Terlouw in '89
- Many systems of typed λ -calculus *à la* Church can be seen as PTSs
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- Examples of well-known PTSs are λHOL , $\lambda PRED$, λCC (*a.k.a.* the λ -cube)

BACK

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- The “Poincaré principle” can be $(\beta\iota\delta)$ -reductions, structural well-founded recursion, provable equality, or some arbitrary notion of reduction
- The more reductions principles you have in the metalanguage, the more “powerful” the proof assistant is ...

BACK

P²TS: One-step, Many-steps, Congruence

Let $\text{Ctx}[-]$ be any term \mathcal{T} with a “single hole” inside, and let $\text{Ctx}[A]$ be the result of filling the hole with the term A ;

1. the one-step evaluation \mapsto is defined by the following inference rule, where

$$\mapsto_{\rho\delta} \equiv \mapsto_{\rho} \cup \mapsto_{\sigma} \cup \mapsto_{\delta}:$$

$$\frac{A \mapsto_{\rho\delta} B}{\text{Ctx}[A] \mapsto_{\rho\delta} \text{Ctx}[B]} (\text{Ctx}[-])$$

2. the many-step evaluation $\mapsto_{\rho\delta}^*$ and congruence relation $\equiv_{\rho\delta}$ are respectively defined as the reflexive-transitive and reflexive-symmetric-transitive closure of

$$\mapsto_{\rho\delta}$$

BACK

Abbreviations and Priorities

$(A_i)^{i=1\dots n} \triangleq A_1, \dots, A_n$ structure/object

$A.B \triangleq A \bullet B \bullet A$ Kamin's self-application

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Operator	Associate	Priority
$-, -$	Right	$>$
$\sqrt{-:--}$	Right	$>$
$[- \ll - -].-$	Right	$>$
$- \bullet -$	Left	$>$

... still substitutions

- We let

$$\mathit{Dom}(\sigma) = \{X_1, \dots, X_m\}$$

and

$$\mathit{CoDom}(\Delta) = \bigcup_{i=1\dots m} \mathit{FVar}(A_i)$$

- A substitution σ is **independent** from Δ , written $\sigma \not\triangleleft \Delta$ if

$$\mathit{Dom}(\sigma) \cap \mathit{Dom}(\Delta) = \emptyset$$

and

$$\mathit{CoDom}(\sigma) \cap \mathit{Dom}(\Delta) = \emptyset$$

Termination of \mathcal{Alg}

- The relation \rightsquigarrow is defined as the reflexive, transitive and compatible closure of \rightsquigarrow
- If $T \rightsquigarrow T'$, with T' a matching system in **solved** form then, we say that the matching algorithm \mathcal{Alg} (taking as input the system T) succeeds
- The matching algorithm is clearly **terminating** (since all rules decrease the size of terms) and **deterministic** (no critical pairs), and of course, it works modulo α -conversion and Barendregt's hygiene-convention
- Starting from a given solved matching system of the form

$$T \triangleq \bigwedge_{i=0 \dots n} \mathbf{X}_i \ll_{\Delta_i}^{\Sigma} \mathbf{A}_i \quad \bigwedge_{j=0 \dots m} \mathbf{a}_j \ll_{\Delta_j}^{\Sigma} \mathbf{a}_j$$

the corresponding substitution $\{A_1/X_1 \cdots A_n/X_n\}$ is exhibited.

Functional P²TS

We require all specifications to be *functional*, i.e. for every $s_1, s_2, s'_2, s_3, s'_3 \in \mathcal{S}$, the following holds:

$$\begin{array}{lll} (s_1, s_2) \in \rangle A & \text{and} & (s_1, s'_2) \in \rangle A & \text{implies} & s_2 \equiv s'_2 \\ (s_1, s_2, s_3) \in \mathcal{R} & \text{and} & (s_1, s_2, s'_3) \in \mathcal{R} & \text{implies} & s_3 \equiv s'_3. \end{array}$$

Furthermore, we let \mathcal{S}^\top denote the set of *topsorts*, i.e.

$$\mathcal{S}^\top = \{s \in \mathcal{S} \mid \forall s' \in \mathcal{S}. (s, s') \notin \rangle A\}$$

and define a variant of delayed matching constraint as follows:

$$[A \ll_{\Delta} C]^\top . B = \begin{cases} B & \text{if } B \in \mathcal{S}^\top \\ [A \ll_{\Delta} C].B & \text{otherwise} \end{cases}$$

BACK

ZOOM on DIMPRO



Simple Functional Fixpoint

$\Gamma \equiv \text{fix} : (\mathbf{b} \rightarrow \mathbf{b}) \rightarrow \mathbf{b}$, and $\Delta \equiv X : \mathbf{b} \rightarrow \mathbf{b}$, and
 $\Omega \triangleq \text{fix}(X) \rightarrow_{\Delta} X \text{ fix}(X)$. We typecheck $\Gamma \vdash_{\mathbf{A}} \Omega \text{ fix}(\Omega) : \mathbf{b}$

$$\begin{array}{c}
 \Gamma, \Delta \vdash_{\mathbf{A}} \text{fix} : (\mathbf{b} \rightarrow \mathbf{b}) \rightarrow \mathbf{b} \quad \Gamma, \Delta \vdash_{\mathbf{A}} X : \mathbf{b} \rightarrow \mathbf{b} \\
 \Gamma, \Delta \vdash_{\mathbf{A}} X : \mathbf{b} \rightarrow \mathbf{b} \quad \Gamma, \Delta \vdash_{\mathbf{A}} \text{fix}(X) : \mathbf{b} \quad \Gamma \vdash_{\mathbf{A}} \text{fix} : (\mathbf{b} \rightarrow \mathbf{b}) \rightarrow \mathbf{b} \\
 \hline
 \Gamma, \Delta \vdash_{\mathbf{A}} \text{fix}(X) : \mathbf{b} \quad \Gamma, \Delta \vdash_{\mathbf{A}} X \text{ fix}(X) : \mathbf{b} \quad \Gamma \vdash_{\mathbf{A}} \Omega : \mathbf{b} \rightarrow \mathbf{b} \\
 \hline
 \Gamma \vdash_{\mathbf{A}} \Omega : \mathbf{b} \rightarrow \mathbf{b} \quad \Gamma \vdash_{\mathbf{A}} \text{fix}(\Omega) : \mathbf{b} \\
 \hline
 \Gamma \vdash_{\mathbf{A}} \Omega \text{ fix}(\Omega) : \mathbf{b}
 \end{array}$$

The reader can verify that our interpreter diverges, *i.e.*

$$\frac{\infty}{\vdots} \\
 \hline
 \emptyset \vdash \Omega \text{ fix}(\Omega) \Downarrow_{\text{val}} \text{stack overflow}$$

Encoding Term Rewrite Systems

- However, a suitable recursion operator in the style of the object-oriented encoding allows us to simulate the *global* behavior of a TRS. Let the constants *rec* and *add*, and let

$$obj.meth \triangleq ((obj\ meth)\ obj)$$

$$plus \triangleq \left(\begin{array}{l} rec(S) \rightarrow add(0, Y) \rightarrow Y, \\ rec(S) \rightarrow add(suc(X), Y) \rightarrow suc(S.rec\ add(X, Y)) \end{array} \right)$$

A Nice Circle ... and back

$$\sigma_0 \cdot \rho \vdash F \Downarrow_{\text{val}} f \cdot \sigma_1$$

$$\frac{\sigma_1 \cdot \rho \vdash A \Downarrow_{\text{val}} a \cdot \sigma_2 \quad \sigma_2 \vdash \langle f \cdot a \rangle \Downarrow_{\text{call}} v \cdot \sigma_3}{\sigma_0 \cdot \rho \vdash F A \Downarrow_{\text{val}} v \cdot \sigma_3} \text{ (Red-}\rho_v\text{)}$$

-
- `(define-method (Eval::value t::App env) ;()
 (with-access::App t (F A)
 (let ((f (Eval F env)))
 (let ((a (Eval A env)))
 (call f a)))))`
- Mutual Inductive `eval : expr->env->store->value->store->Prop :=`
 -
 - | `evalApp: (F:expr)(e:env)(s:store)(f:value)(s1:store)
 (eval F e s f s1) -> (A:expr)(a:value)(s2:store)
 (eval A e s1 a s2) -> (v:value)(s3:store)
 (call f a s2 v s3) ->
 (eval (App F A) e s v s3)`
 -